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**NLO 99**

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NLO Materials Workshop

DERA Malvern UK

20-21 September 1999

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## **NLO Materials Workshop**

**Woodward Building, DERA Malvern  
20-21 September 1999**

### **Monday 20 September**

- 09.15      Registration and Coffee
- 09.45      Introduction and Welcome  
            Jayne Ackroyd Manager EOP Dept DERA
- 10.00      NLO Materials – Key Technical Issues  
            A W Vere DERA
- 10.15      ZGP – The DERA Programme  
            C J Flynn DERA
- 10.35      ZGP annealing studies  
            L L Chng DSO Singapore
- 10.50      Non-linear absorption and damage measurements in  
            chalcopyrite crystals      Shekar Guha AFRL/MLPO
- 11.05      ZGP –crystals: homogeneity region, real defects and optical  
            quality      V Voevodin R&D Center 'ATOM Tomsk
- 11.25      Break
- 11.45      Secondary ion mass spectrometry analysis  
            of CdGeAs<sub>2</sub>      J Solomon University of Dayton
- 11.55      Refractive Index measurements and phase-matching  
            calculations in chalcopyrites      D Zelmon AFRL/MLPO
- 12.10      Analysis of CGA using Thermal admittance spectroscopy  
            Steven Smith University of Dayton, Research Institute
- 12.15      High Frequency ZGP Tandem OPO  
            J A C Terry DERA

We would like to thank the following for their contribution to the Workshop:

European Office of Aerospace Research and Development  
Air Force Office of Scientific Research  
United States Air Force Research Laboratory  
UK Ministry of Defence (International Research Collaboration Dept)  
Defence Evaluation and Research Agency

- 12.45 Buffet Lunch
- 14.00 Recent Advances in chalcopyrites for  
mid to far IR frequency conversion P Schunemann
- 14.20 NLO materials at IOM  
A Gribenyukov. Institute of Optical Monitoring Tomsk
- 14.35 ZGP Growth and thermal treatment  
G Verozubova IOM Tomsk Russia
- 14.50 Optical and electron transport properties of  
ZnGeP<sub>2</sub> and CdGeAs<sub>2</sub>  
B Bairamov Ioffe Institute St Petersburg Russia
- 15.20 Tea
- 15.50 Identification of defects in ZGP by EPR/ENDOR  
L Halliburton University of West Virginia
- 16.10 Theory of defects in chalcopyrites  
R Pandey University of Michigan
- 16.40 Defect energy and band structure of ZGP  
Keith Nash / Mike Fearn DERA Malvern
- 16.50 Tellurium-selenium alloys  
M Ohmer Materials Labs WPAFB Dayton Ohio
- 17.00 Discussion – Chalcopyrites II
- 19.30 **Workshop Dinner**  
(coach collection from hotels at approx 19.00)



## Tuesday 21 September

- 09.00 Non-linear Optical Crystal development at AFRL materials  
directorate N Fernelius AFRL/MLPO
- 09.30 Non-linear crystals for IR region in DTIM  
L. Isaenko Institute of Monocrystals Novosibirsk
- 10.00 Spectroscopic properties of Pure and Rare-Earth-ion-doped  
Non-linear Crystals for the mid IR  
A Elisseev Institute of Monocrystals Novosibirsk
- 10.15  $\text{LiNbO}_3$  H Gallagher U. of Strathclyde
- 10.30 Growth and Characterisation of photorefractive materials  
C Finnan University of Strathclyde
- 10.45 Coffee
- 11.00 Laboratory visits (or free discussion period)
- 12.30 Lunch
- 13.30 Developments in PPLN fabrication  
P Smith University of Southampton
- 13.50 Growth of phosphates and arsenates for periodic poling  
R Ward/K Hutton University of Oxford
- 14.10 Tunable quasi-phase-matched SHG of a  $\text{CO}_2$  laser  
in GaAs Shekar Guha AFRL/MLPO
- 14.30 Periodically -poled  $\text{BaTiO}_3$   
P Schunemann Lockheed Martin, Nashua
- 14.50 Panel discussion - Quasi-phase matching
- 15.20 Tea and informal discussion session on issues arising from  
the workshop and debate on future research
- 16.00 Workshop closes. (The room will be available for informal  
discussion groups until 17.00)

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## Bulk Optical Materials at DERA Malvern

- 1965 Laser materials  $\text{CaWO}_4$  Ruby YAG
- 1966 NLO Materials  $\text{LiNbO}_3$   $\text{LiTaO}_3$
- 1967 New NLO programme -wide materials survey  
tungsten bronzes BSN SBN, KLN and others  
Chalcogenides  $\text{Ag}_3\text{AsS}_3$   $\text{AgGaSe}_2$   $\text{AgGaS}_2$
- 1970's Expanding programme  
Solution growth KDP, KTN  
Bulk growth (CZ and Bridgman) CdTe CdSeTe

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Notes

**Welcome**

To the

**Non-linear Optical Materials Workshop**

DERA Malvern  
20 September 1999

Jayne Ackroyd  
Business Group Manager EO Protection

**DERA**

**Workshop Benefits**

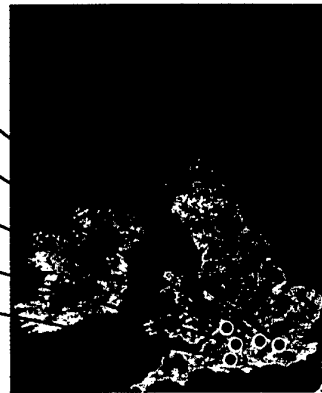
- ⌘ Collaboration
- ⌘ Ideas Exchange
- ⌘ Best use of funding and resources

**Enjoy!**

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## What is DERA?

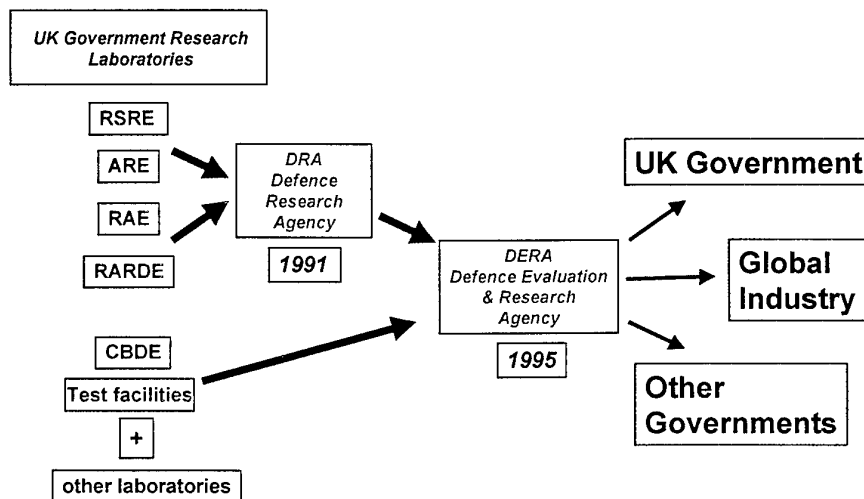
- The largest Research and Technology organisation of its kind in Europe
- £1 billion turnover
- Owned by the UK Government
- Our mission is:
  - to be the main advisor to the UK Government on technology issues
  - to create wealth by technology transfer to industry



May 1999

**DERA**

## DERA Evolution



May 1999

**DERA**

## **Bulk Optical Materials at DERA Malvern**

- 1985-90    Slow contraction of programmes  
Laser growth concentrated on eye-safe lasers  
YLF and related materials  
Alternatives to YAG e.g YAP
- 1985-90    Declining interest in NLO Materials  
Too difficult and limited markets
- 1992        Improved growth technology and emergence of  
ZGP, AgGaSe<sub>2</sub> as potential high power OPO  
and SHG materials reinvigorates NLO  
programme

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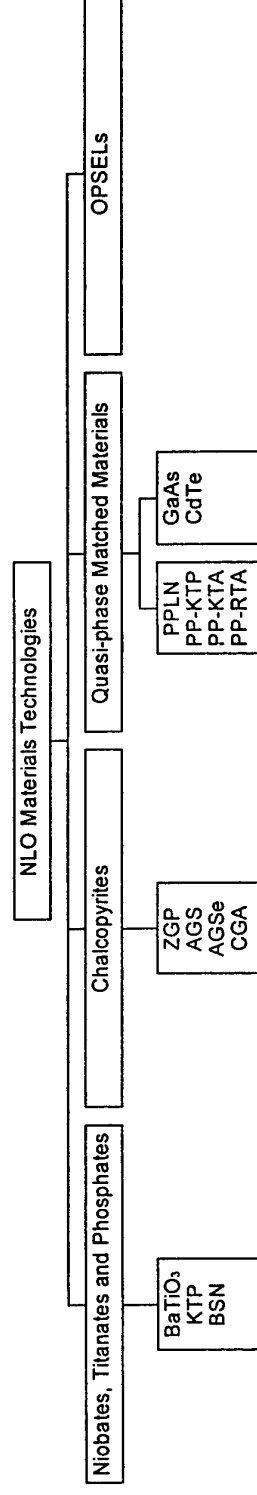
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## NLO Materials - What Next?

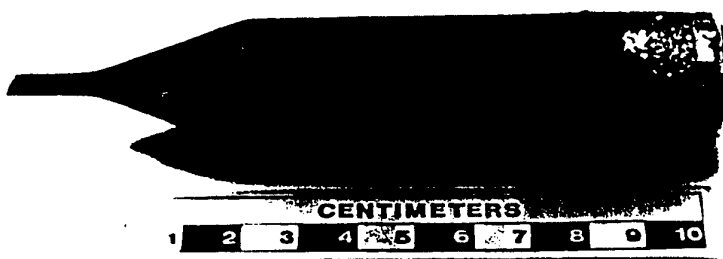
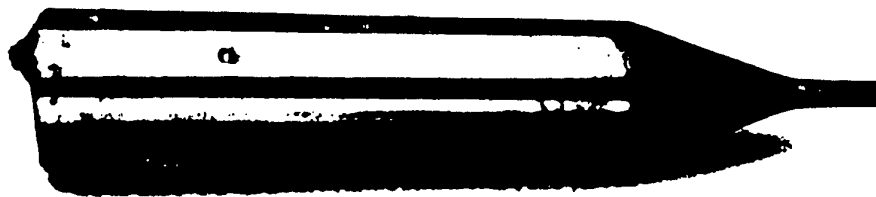
- A short Introduction to the range, content and key issues for discussion at the Workshop and beyond

- A W Vere  
DERA Malvern

# NLO Materials

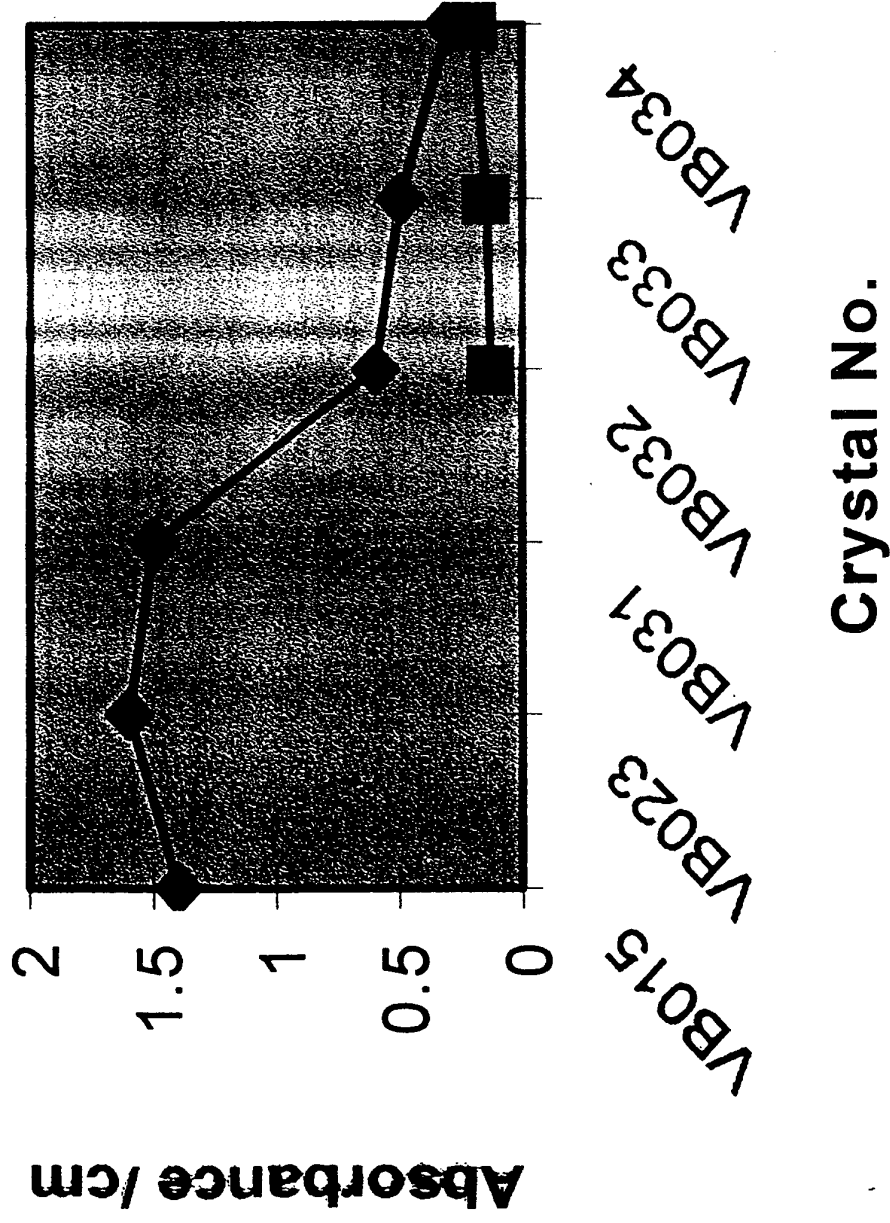






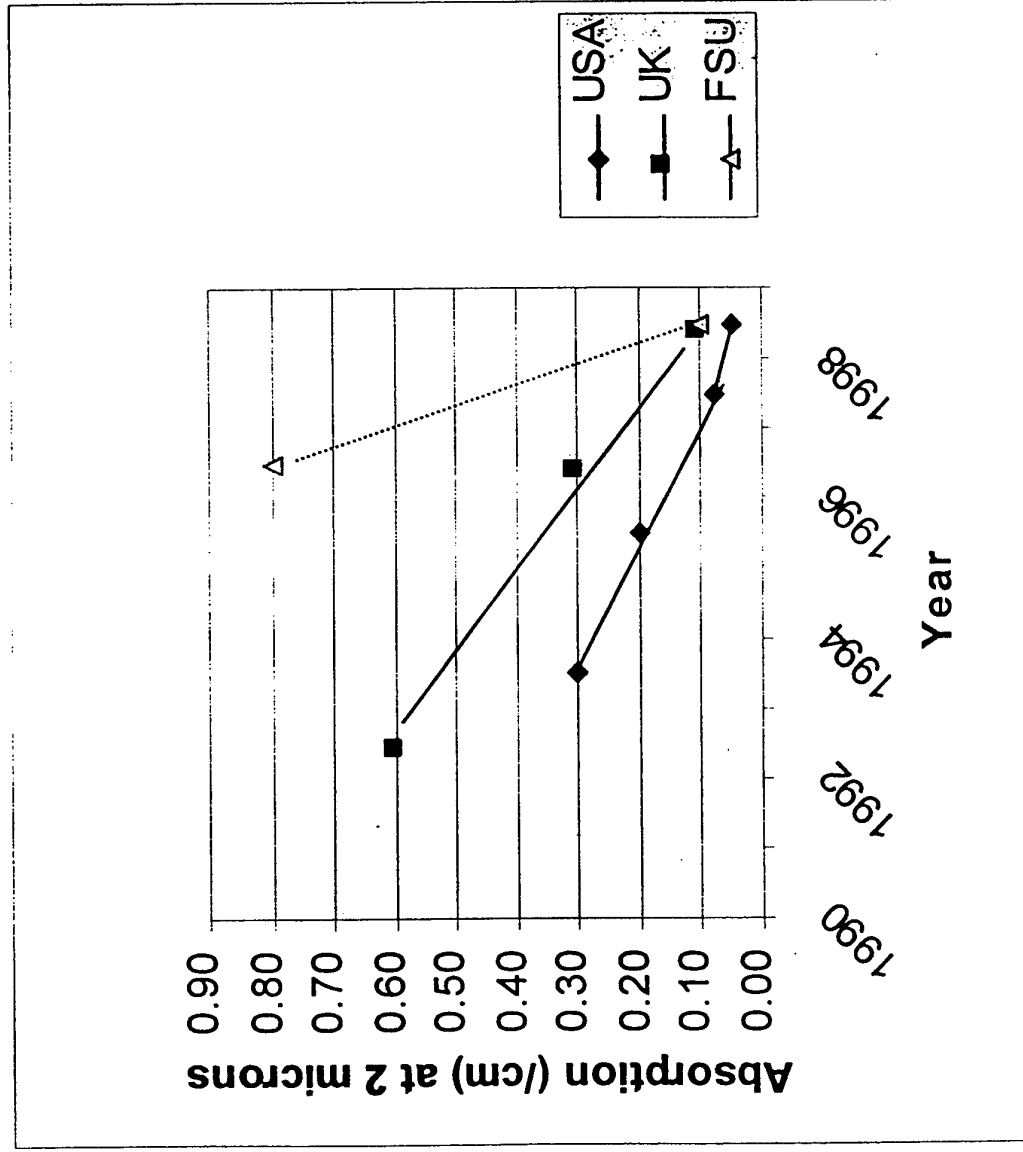
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## 2 micron absorbance

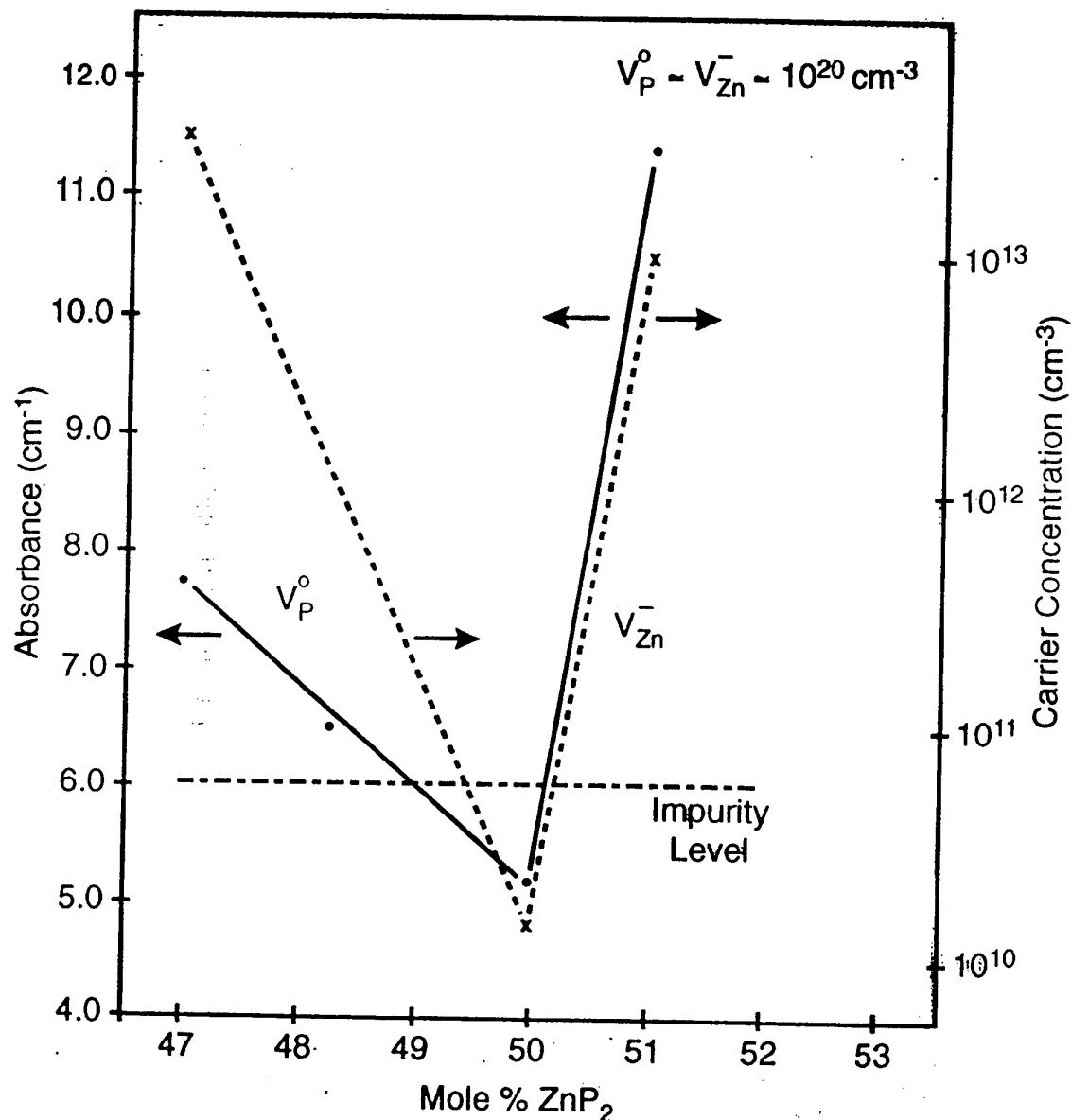


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# 2 micron absorption in ZGP



# Variation of Absorbance and Carrier Concentration with Stoichiometry



- — • P G Schunemann, Control of Stoichiometry in Semiconductor Heterostructures (Workshop – Bad Suhl, Germany, 1995)
- x - - - x V S Grigor'eva et al, Sov Tech Phys Lett **1** No 2 (1975) 61
- - - - Impurity Level (Hypothetical)

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# **ZnGeP2: DERA Malvern Programme**

Tony Vere, Colin Flynn, Phil Smith  
DERA Malvern

**DERA**

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# Key Properties of $\text{ZnGeP}_2$

- High vapour pressure ( $P_{\text{P}_2} \sim 10^{10}$  over melt)
- High melting point (1028 °C)
- Brittle fracture mode
- Thermal expansion coefficient ( $5.0 \cdot 10^{-6} \parallel c, 7.8 \cdot 10^{-6} \perp c$ )
- Potential precipitation problems
- Band edge optical absorption tail

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# **Growth programme: OBJECTIVE**

- Grow single crystal ZGP
- Low absorption ( $< 0.1 \text{ cm}^{-1}$  at  $2.128 \mu\text{m}$ )
- Understand optical absorption/scattering mechanisms
- Fabricate optical parametric oscillator (OPO) element for use in mid IR.

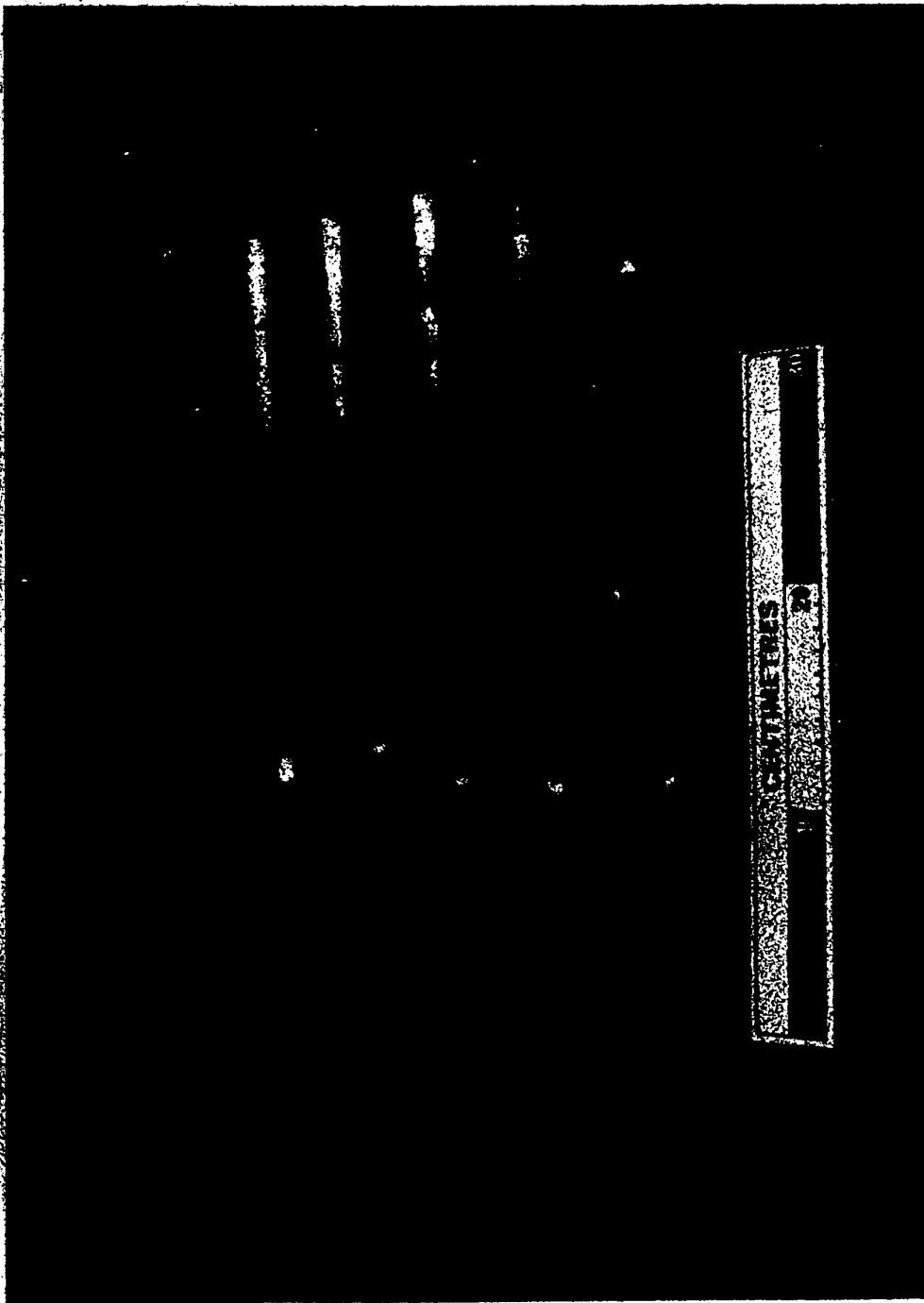
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# **Growth programme: HISTORY**

- Initially, starting material produced by Wafer Tech
- Vertical Bridgman (VB) & Horizontal Bridgman (HB) produced crystals with mosaic cracking for a few years
- VB now producing good single crystal (PBN crucibles, [016] seed, insulation around seed holder)
- HB improving but abandoned due to VB success
- Collaboration with Institute of Optical Monitoring (IOM), Tomsk
- Starting material now obtained from IOM

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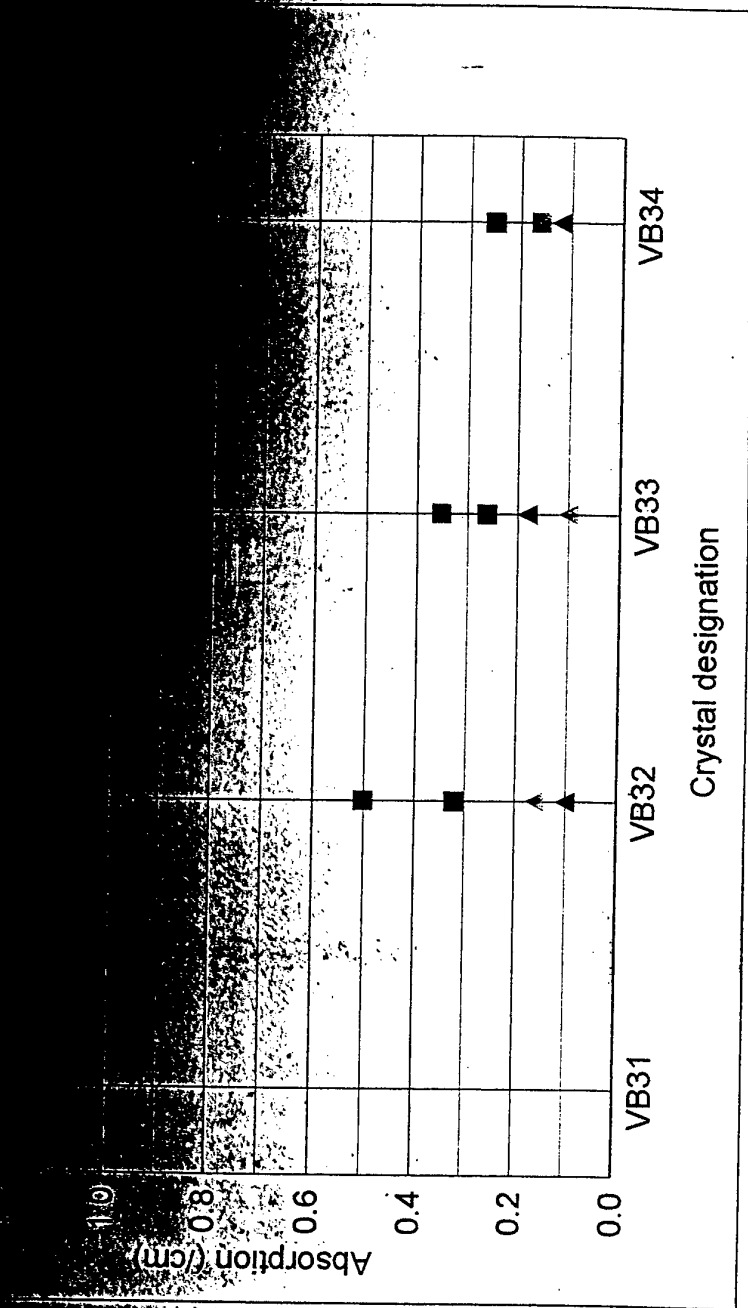
**DRA**

# Comparison of starting materials

- Glow Discharge Mass Spectrometry (GDMS) data for IOM and Wafer Tech starting material.

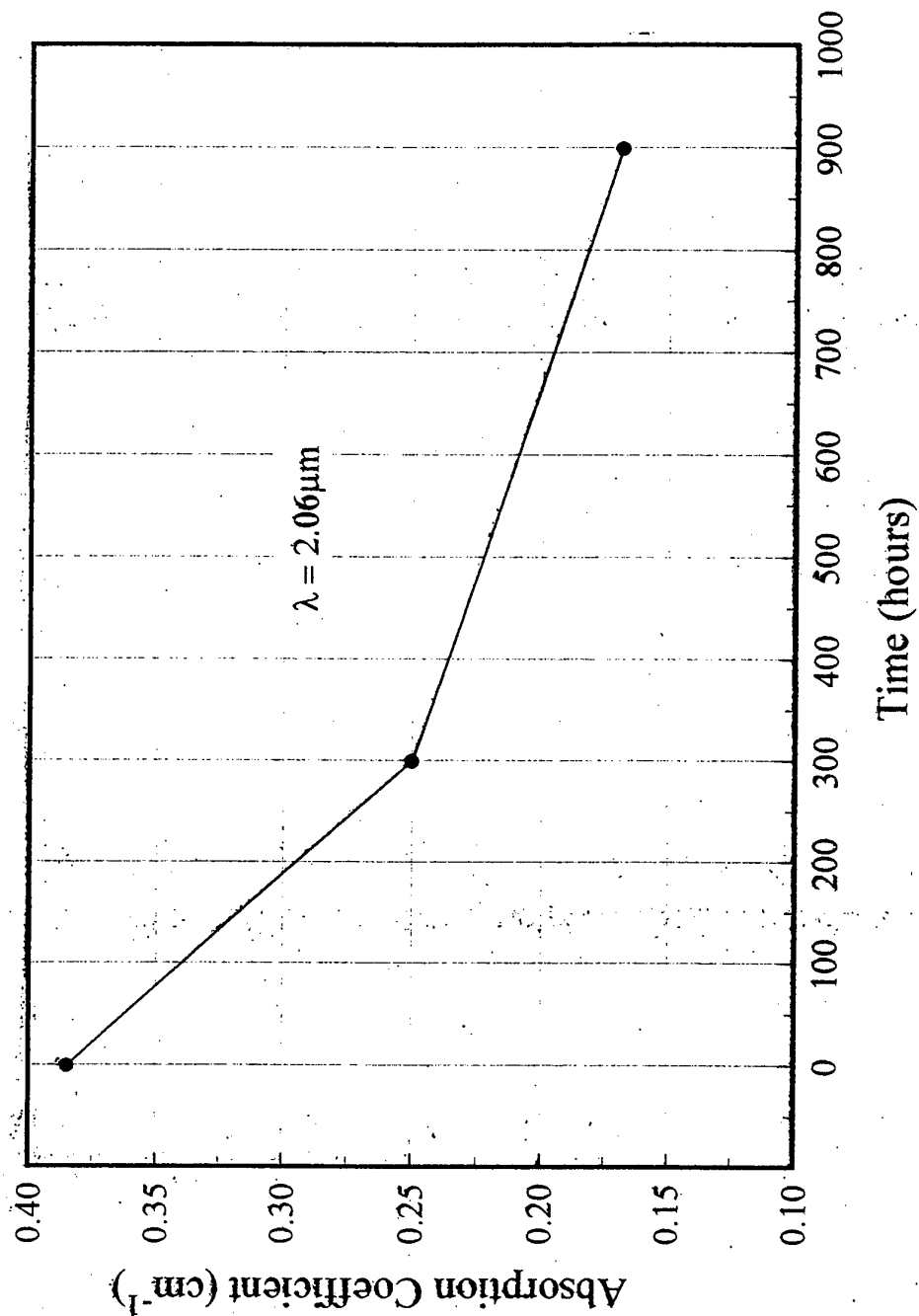
Element	ppb by atom	
	IOM	Wafer Tech
S	120	<20
Mn	29	200
Fe	24	280

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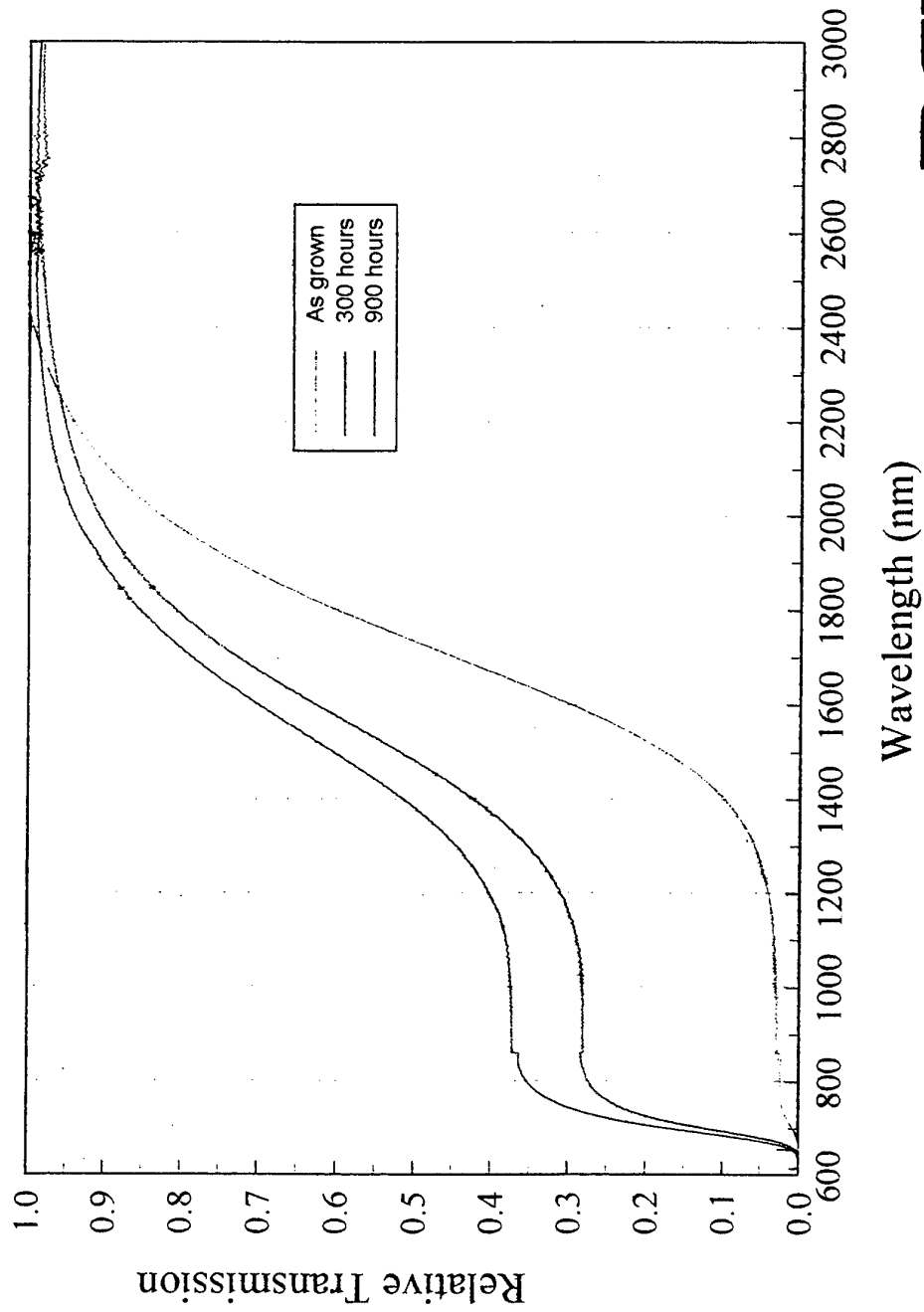
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**Variation of absorption coefficient with annealing time**



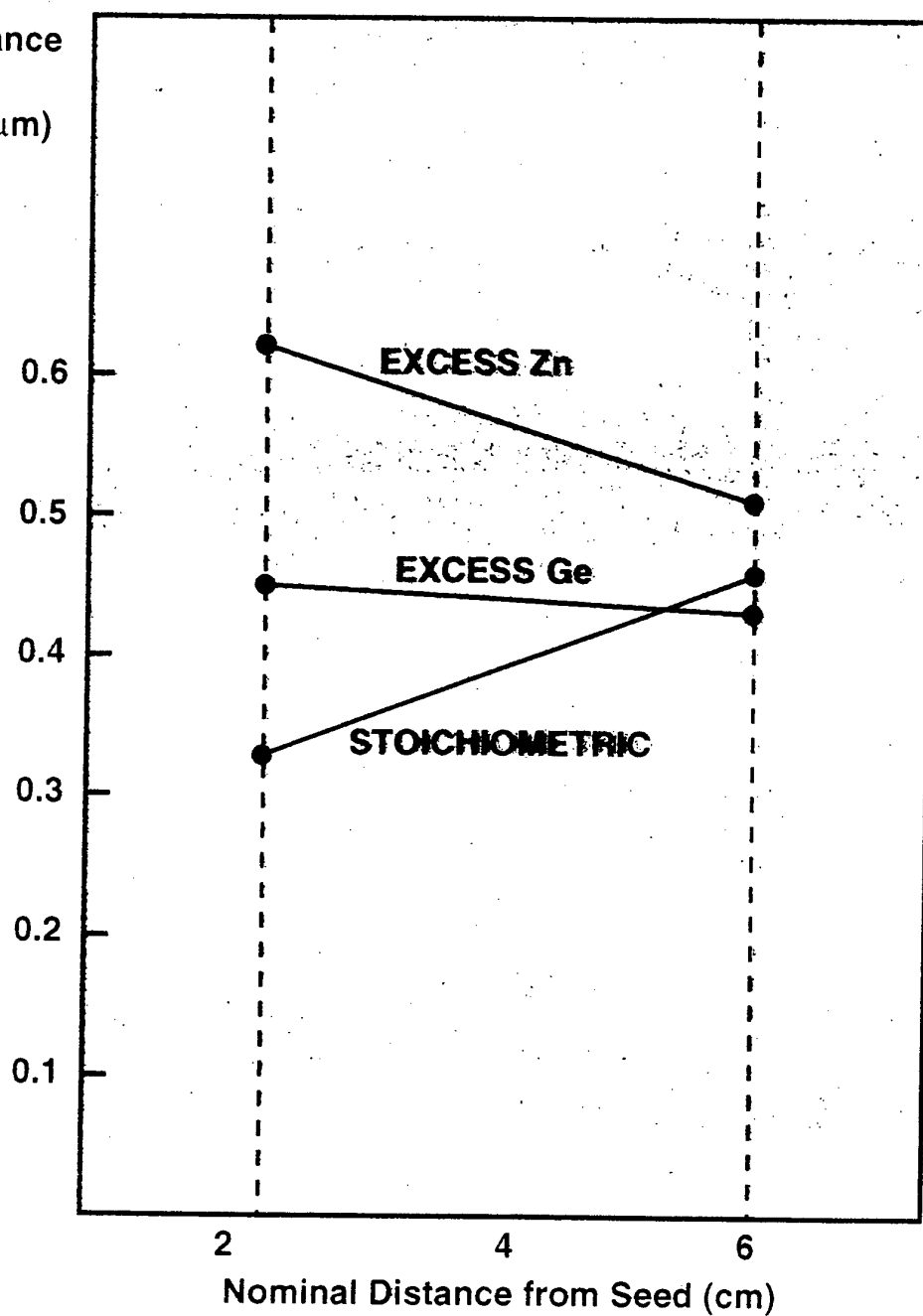
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## Effect of annealing on the transmission of ZGP



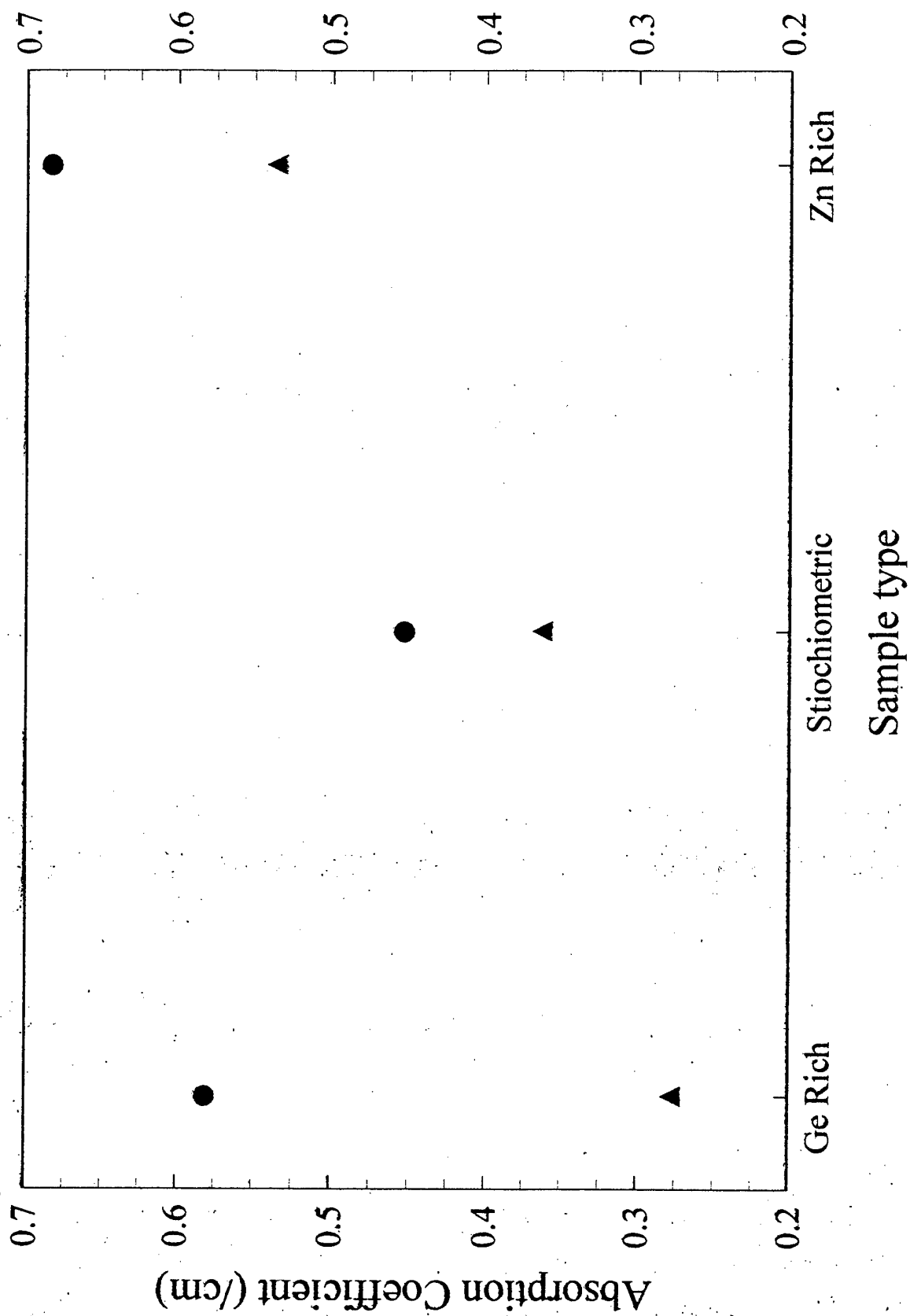
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Absorbance  
 $\text{cm}^{-1}$   
( $\lambda=2.05\mu\text{m}$ )

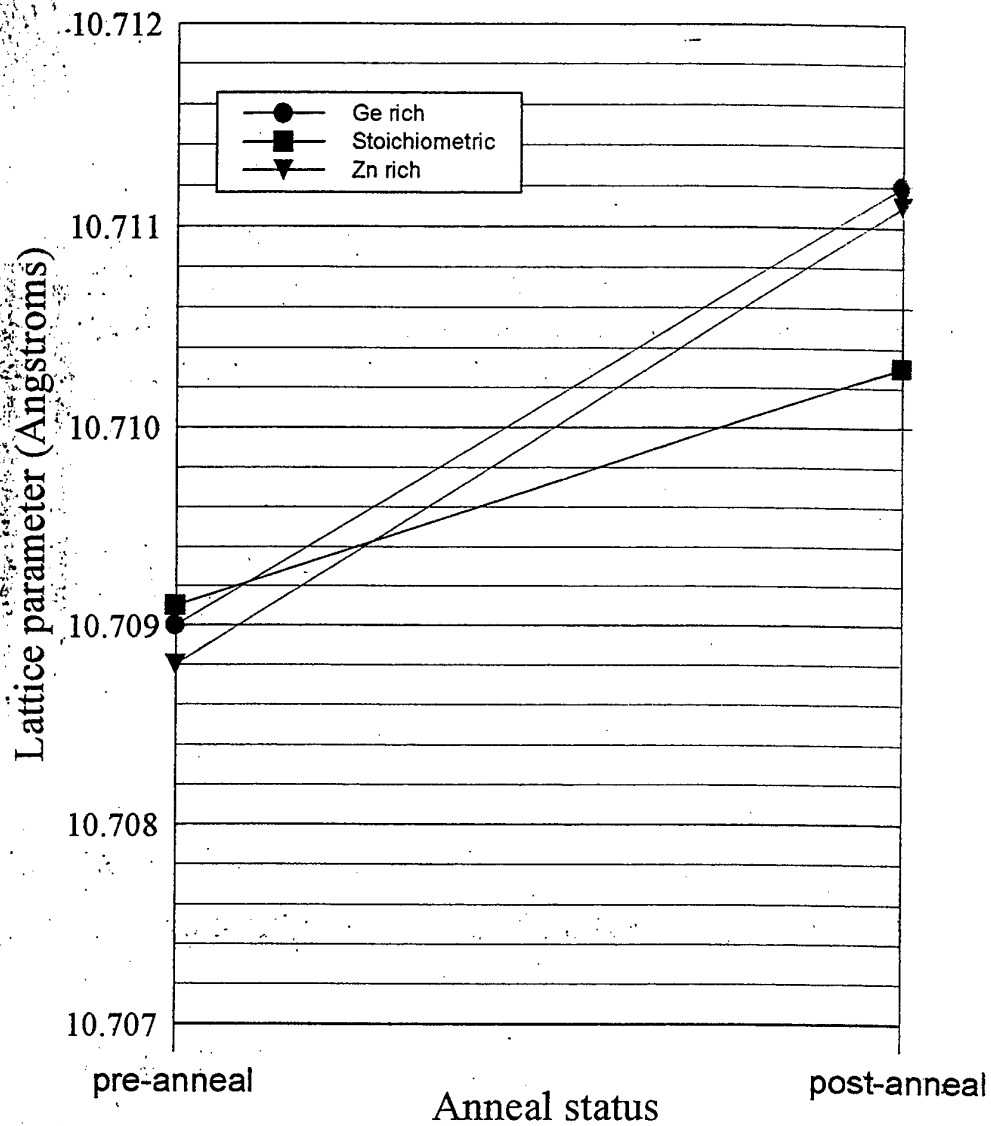


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**Absorption coefficient at 2.06 microns**



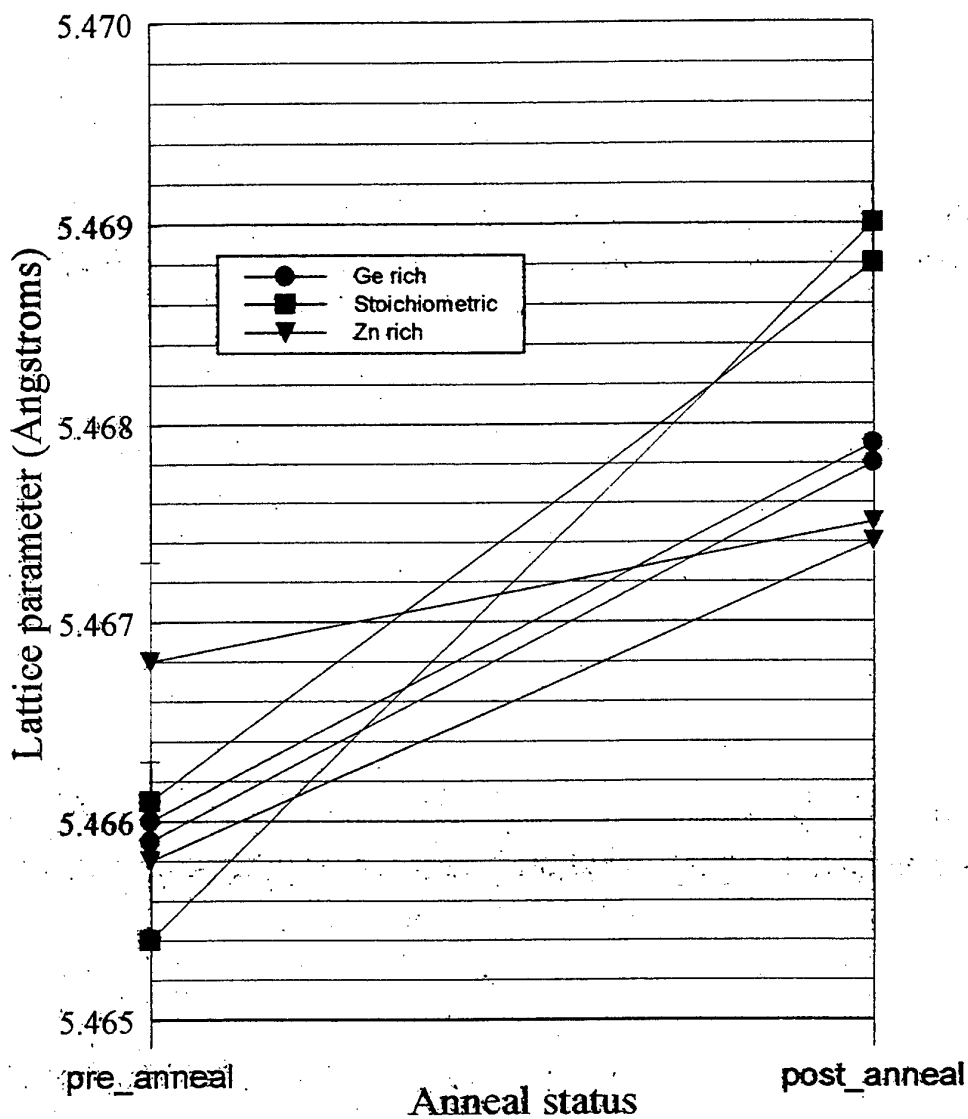
Lattice parameter for  $\langle 001 \rangle$  direction  
in first-to-freeze, c-axis slices



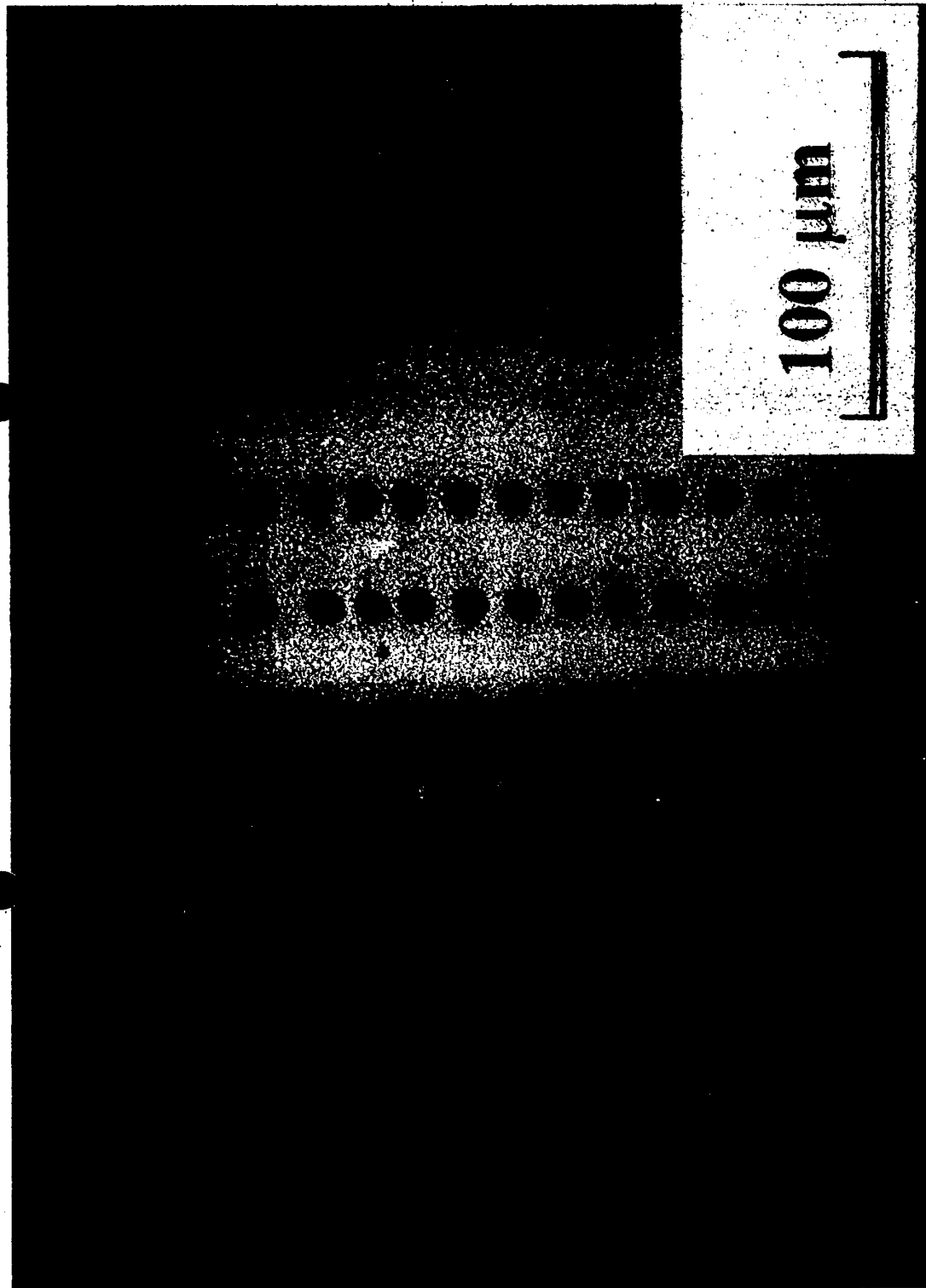
**DERA**



Lattice parameter for  $\langle 100 \rangle$  &  $\langle 010 \rangle$  directions  
in first-to-freeze, c-axis slices



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100  $\mu\text{m}$

**DERA**

12mm



10mm



## **Summary**

- Growing good quality single crystal ZGP
- Need to control stoichiometry of starting charge to avoid precipitation
- Absorption coefficient 'bottoming out' but still worthwhile pursuing annealing studies
- Now need to concentrate programme on identifying causes of absorption

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# ZGP Annealing Studies

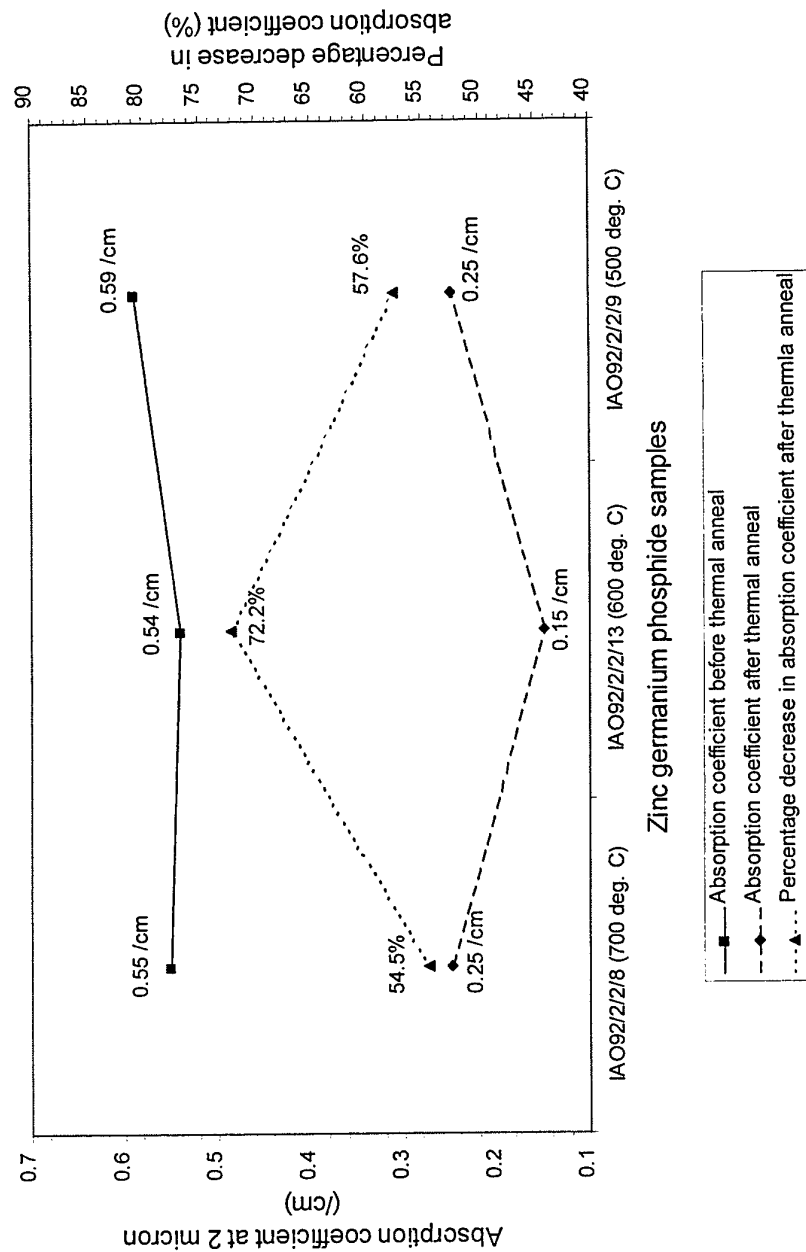
L L Chng, Y-W Lee and H-G Ang  
*DSO National Laboratories, Singapore*

C J Flynn, P C Smith and A W Vere

*DERA Malvern UK*

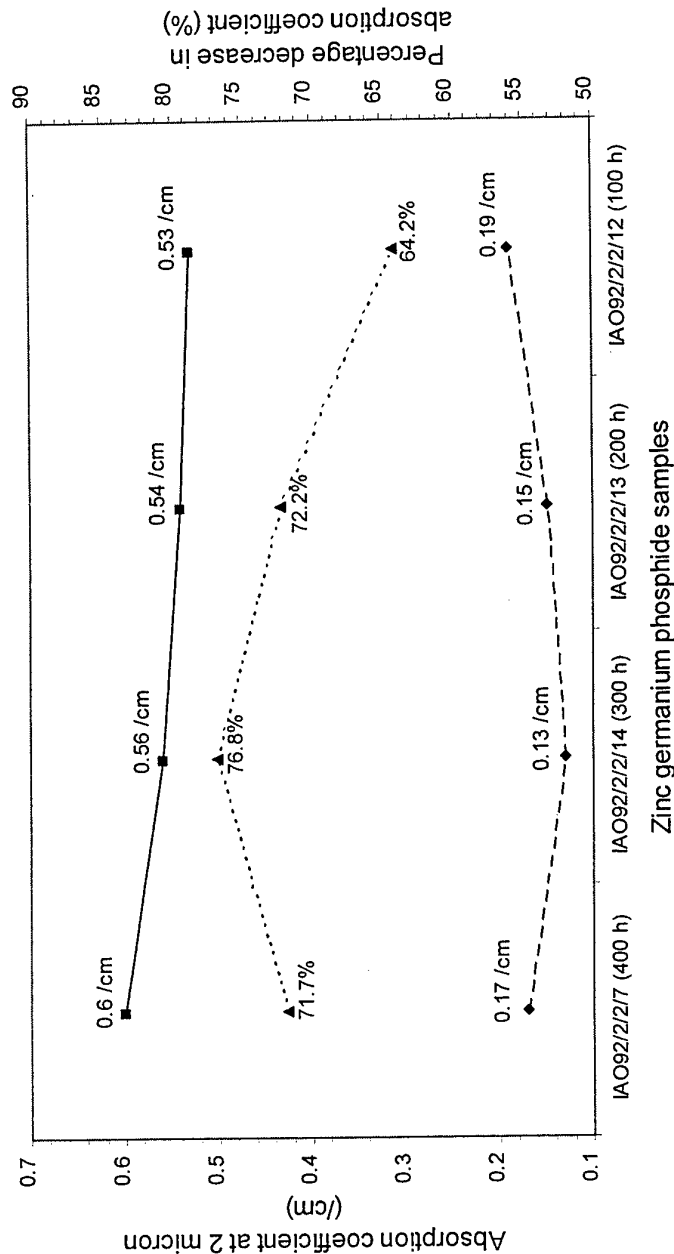
# Effect of Annealing Temperature on the Optical Absorption of IAO Zinc Germanium Phosphide Samples

Effect of Annealing Temperature on the Absorption Coefficient at 2 micron



# Effect of Annealing Time on the Optical Absorption of IAO Zinc Germanium Phosphide Samples

Effect of Annealing Time on the Absorption Coefficient at 2 micron



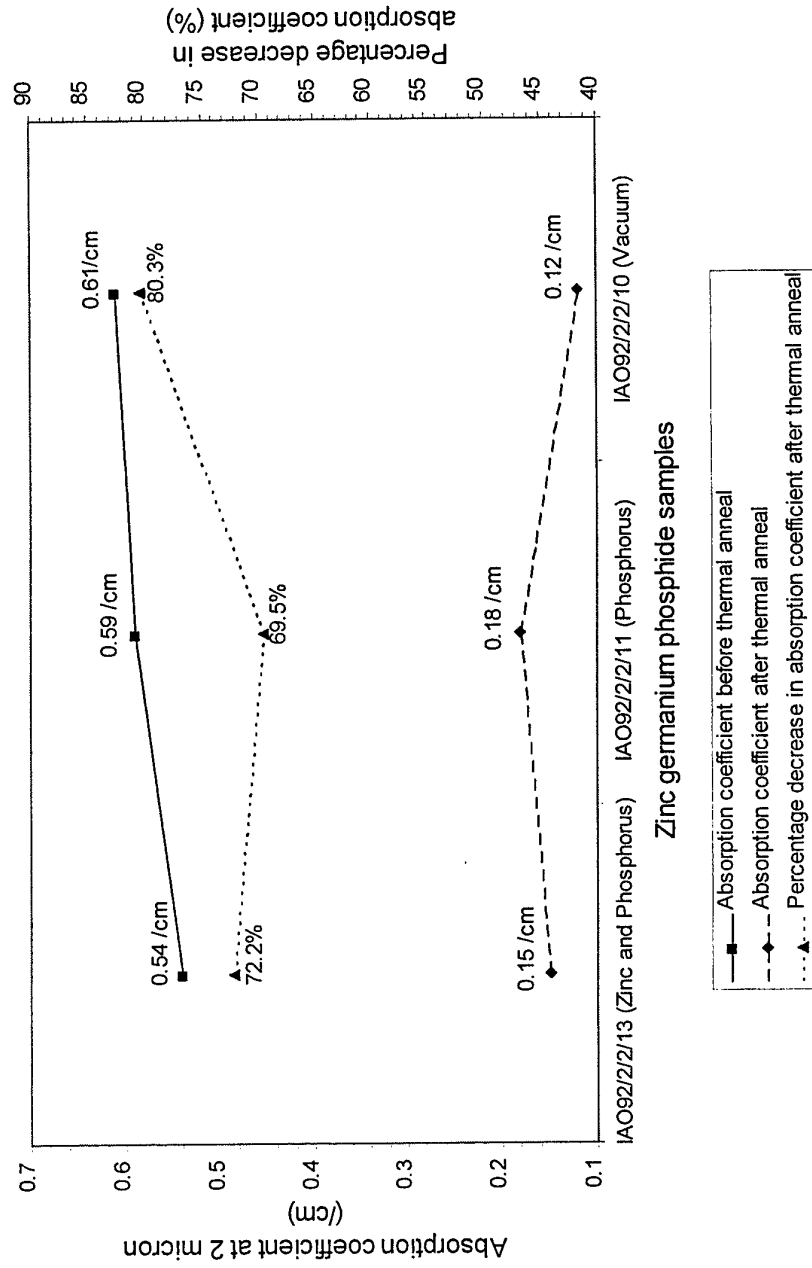
—■— Absorption coefficient before thermal anneal  
- - - ♦ - - Absorption coefficient after thermal anneal  
... ▲ ... Percentage decrease in absorption coefficient after thermal anneal



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# Effect of Annealing Vapour Pressure on the Optical Absorption of IAO Zinc Germanium Phosphide Samples

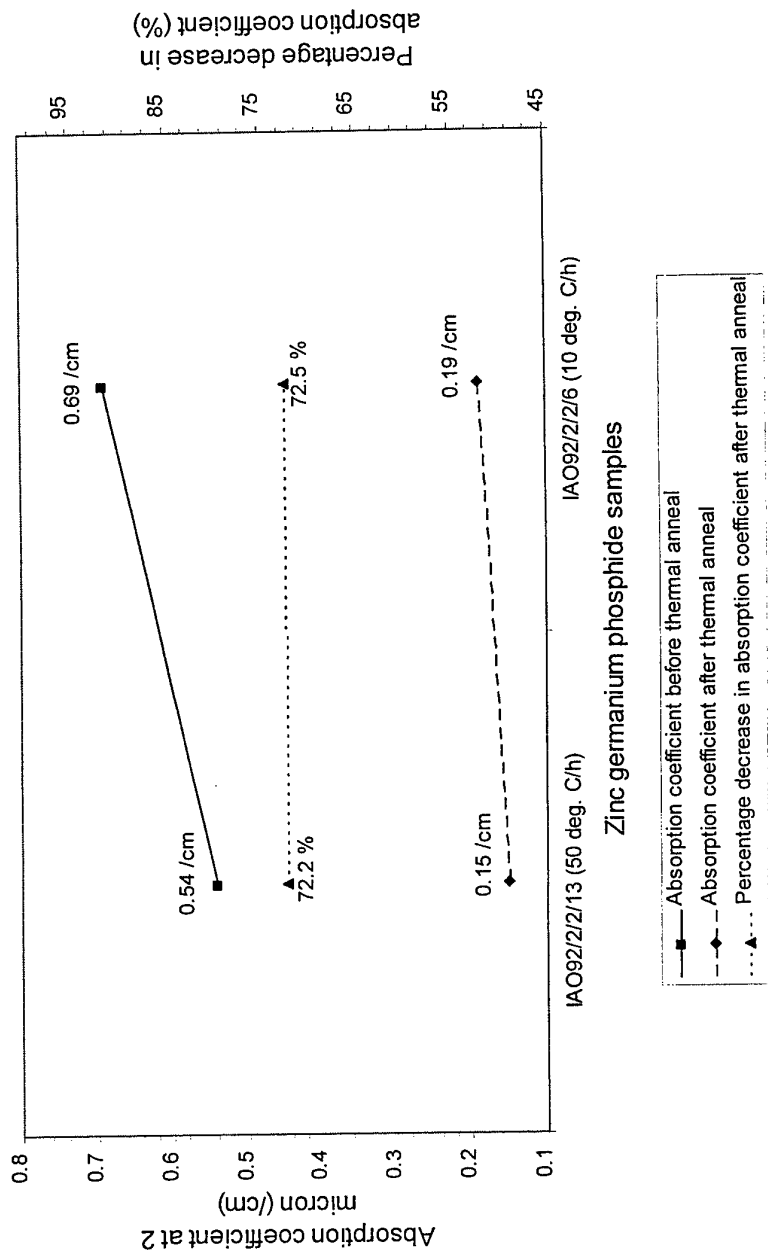
Effect of Annealing Vapour Pressure on the Absorption Coefficient at 2 micron





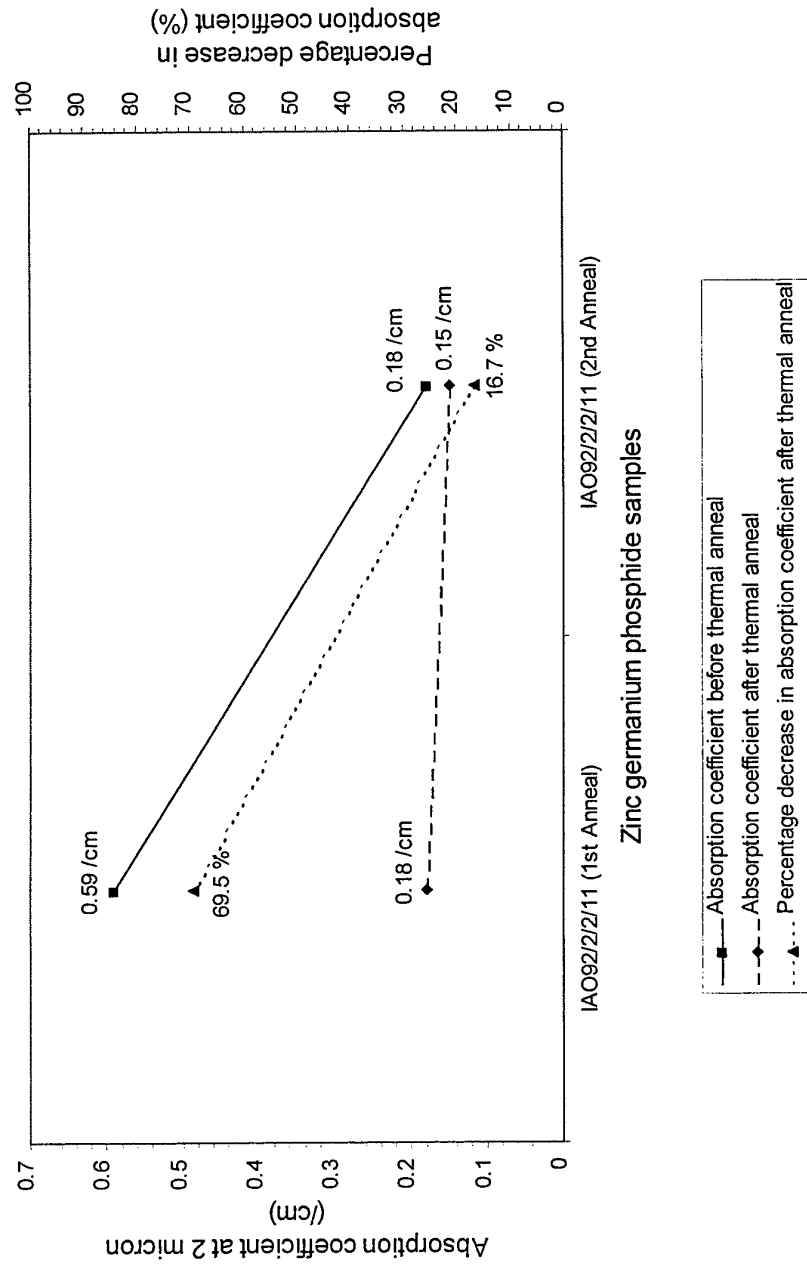
# Effect of Annealing Heating/Cooling Rate on the Optical Absorption of IAO Zinc Germanium Phosphide Samples

Effect of Annealing Heating/Cooling Rate on the Absorption Coefficient at 2 micron



# Effect of Re-Annealing on the Optical Absorption of IAO Zinc Germanium Phosphide Samples

Effect of Re-Annealing on the Absorption Coefficient at 2 micron



# Reduction of the Near-Infrared Absorption of Zinc Germanium Phosphide Through Post-Growth Annealing Treatment

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- Optimal annealing temperature of ZGP is 600°C.
- Optimal annealing time should be 200 - 400 h.
- Optimal annealing atmosphere is vacuum.
- Thermal annealing of zinc germanium phosphide decreased the 2- $\mu\text{m}$  optical absorption by at least 50%.
- Rate of heating and cooling ZGP did not affect the percentage decrease in the 2  $\mu\text{m}$  absorption coefficient.
- Re-annealing ZGP reduced further the 2  $\mu\text{m}$  absorption coefficient.



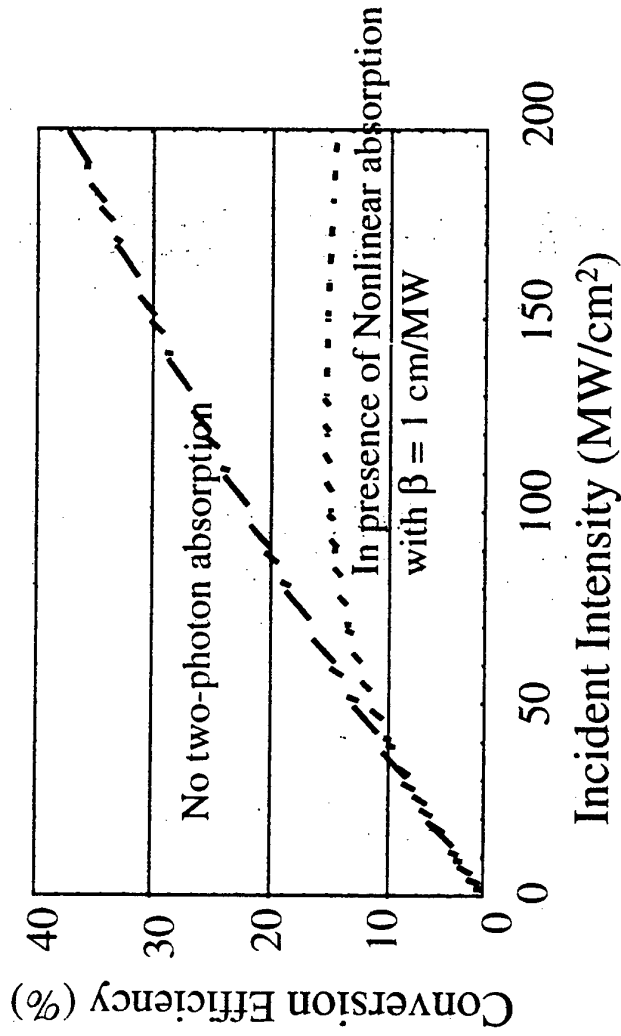
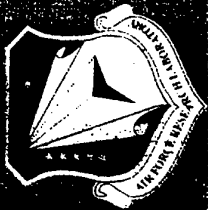
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NLO99 Workshop, DERA, Malvern, UK, 20 - 21 September, 1999



$$\frac{dA_1}{dz} = -i\kappa A_1^* A_2$$

$$\frac{dA_2}{dz} = -i\kappa A_1^2 - \beta(A_2 A_2^*) A_2$$

**Presence of two-photon absorption limits high power generation**

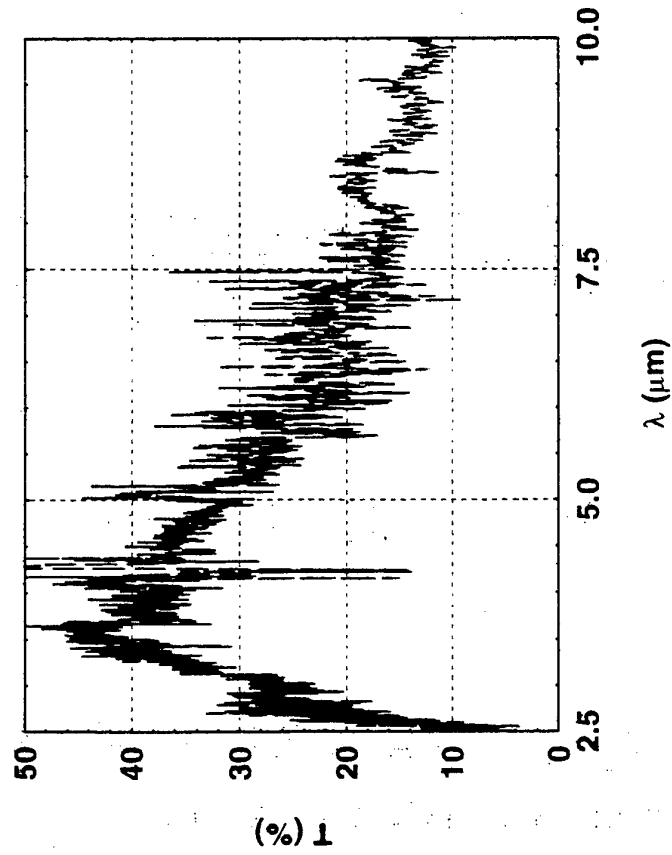


Sample name	Sample thickness ( $\mu\text{m}$ )	Carrier concentration ( $10^{16} \text{ cm}^{-3}$ )	Absorption coefficient ( $\text{cm}^{-1}$ )			
			300 K		90 K	
				$\perp$		$\perp$
2G	974	0.4	2.5	2.8	0.6	0.1
4Q	912	4.9	19	9	8.5	4.2
4M	934	6.9	22	9	11	0.6
4O	957	7.8	32	18	10	0.6

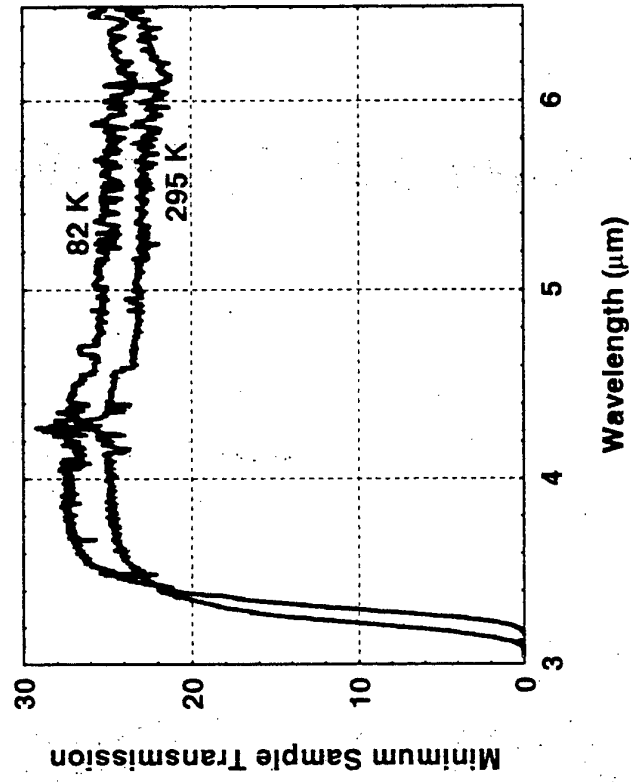
Sample size:  $\sim 1 \text{ cm} \times 1 \text{ cm} \times 1 \text{ mm}$   
c axis in the polished face



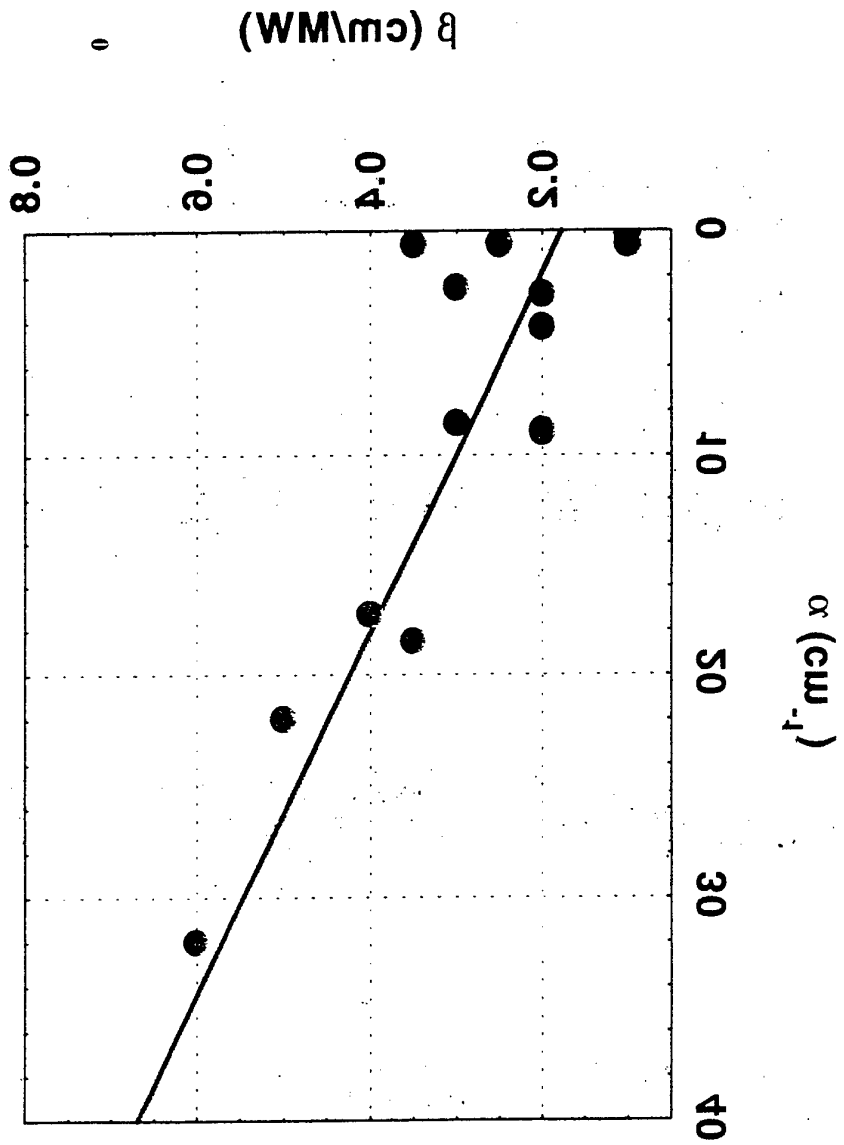
CGA (S 106)



CdGeAs<sub>2</sub> sample 2



Bandgap of CGA increases with temperature increase

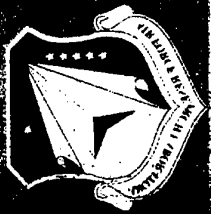




- Temperature Dependence
- Anisotropy

40	0.6	0.4	1	0.32
4W	0.2	0.5	1.2	0.1
4Q	0.32	0.5	0.5	0.3
5G	0.3	0.5	0.52	0.1
		T		T
Sample	300 K		30 K	

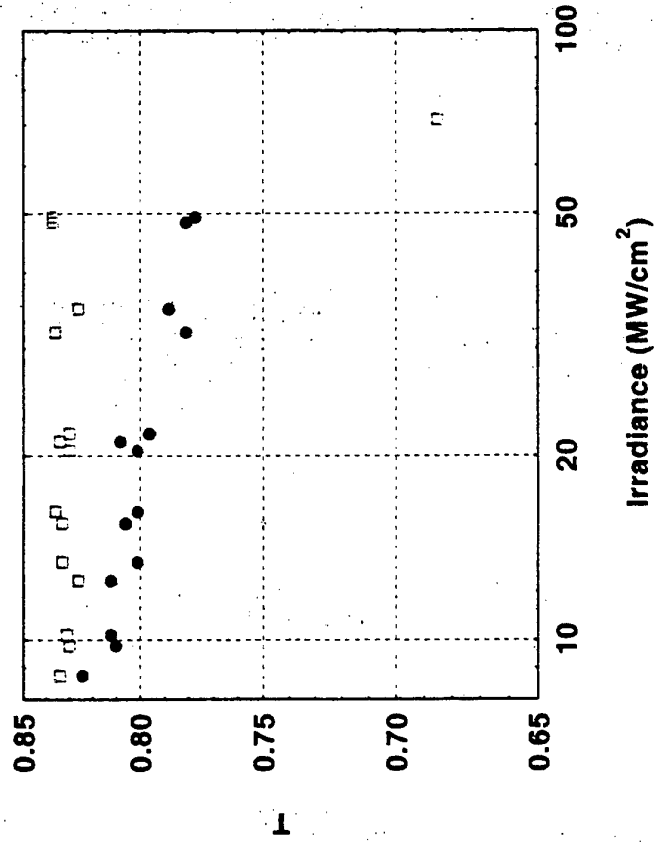
$\beta$  (cm/Wm)





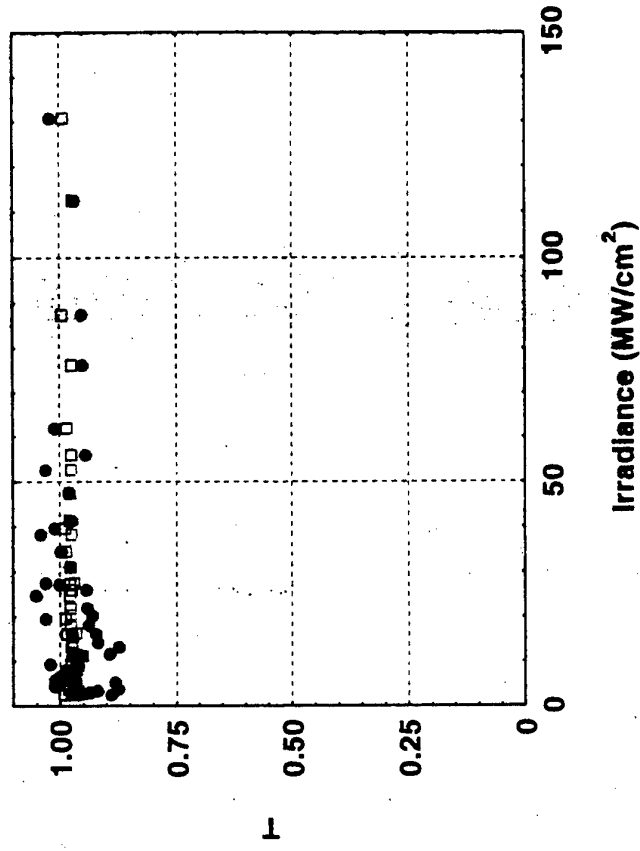
2.09  $\mu\text{m}$

ZGP (91M)



2.94  $\mu\text{m}$

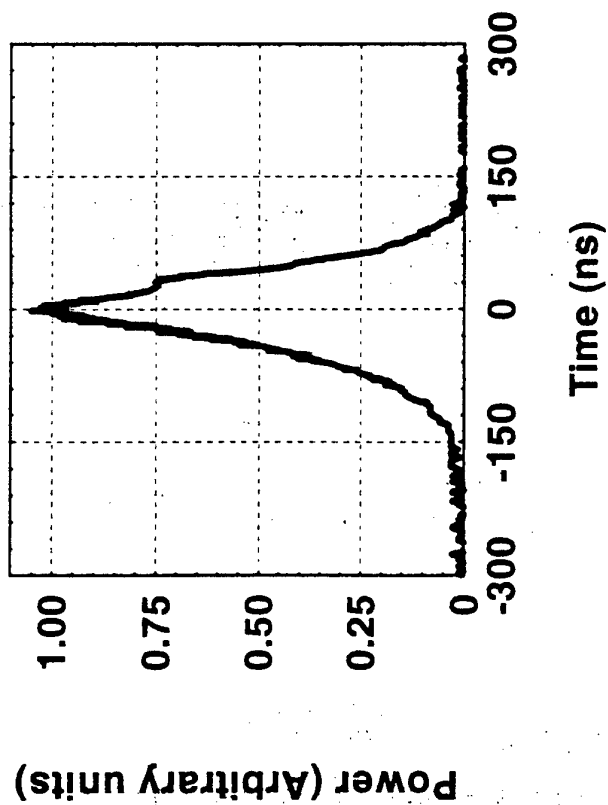
ZGP (91M)



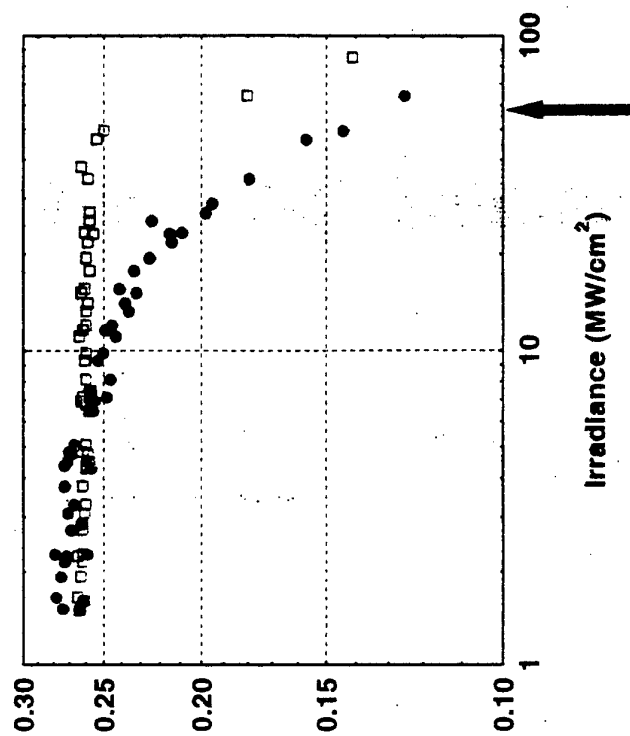
Nonlinearity and damage in  $\text{ZnGeP}_2$  observed at 2.09  $\mu\text{m}$  but not at 2.94  $\mu\text{m}$



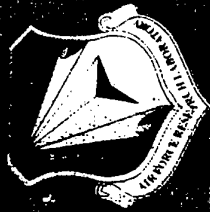
Shape of 2.94  $\mu\text{m}$  short pulse



CGA sample S106  
2.94  $\mu\text{m}$



Effective Nonlinearity < 0.05 cm/MW



Sample Number (6M/MW)	Coating status	Linear Absorption (cm-1)		Nonlinear Absorption <i>cm<sup>2</sup>/W</i>	
		Ordinary	Extraordinary	Ordinary	Extraordinary
92H	Uncoated	0.29	1.04	0.04	0.12
92N	Standard AR	0.33	0.70	0.08	0.35
92P	Standard AR	0.32	0.69	0.06	0.20
92Q	ARDO52x98	0.30	0.75	0.12	0.25

Strong anisotropy in the NLA of  $\text{ZnGeP}_2$  is observed

## **ZGP - crystals: homogeneity region, real defects and optical quality**

R@D Center ATOM  
(Advanced Technologies for Optical Materials)

Semiconductor Material Science Laboratory  
Siberian Physico-Technical Institute at Tomsk State University

<b>Crystals</b>	<b>GaSe</b>	<b>ZnGeP<sub>2</sub></b>	<b>CdGeAs<sub>2</sub></b>	<b>Tl<sub>3</sub>AsSe<sub>3</sub></b>
Transparency region, $\mu\text{m}$	0.7-16	2.1 2.5-8 10	2.5-16	2-17
Optical losses in transparency region, $\text{cm}^{-1}$	< 0.1	< 0.2 < 0.1 0.2	< 0.2	< 0.1
Monocrystals size				
diameter, mm	30	30	20	40
length, mm	100	80	50	80
Nonlinear elements size, $\text{mm} \times \text{mm} \times \text{mm}$	$\leq 20 \times 20 \times 20$	$\leq 15 \times 15 \times 25$	$\leq 10 \times 10 \times 15$	-

MOLTECH Corp. (USA), EKSMA (Lithuania), ELAN (St.-Petersburg, Russia) and other.

## **Chronology of $\text{ZnGeP}_2$ researches in Siberian Physico-Technical Institute**

1973 - 1975 - Coping the  $\text{ZnGeP}_2$  technology developed in Ioffe PTI

1978 - beginning the works on development new technology of  $\text{ZnGeP}_2$  growing (V.G.Voevodin)

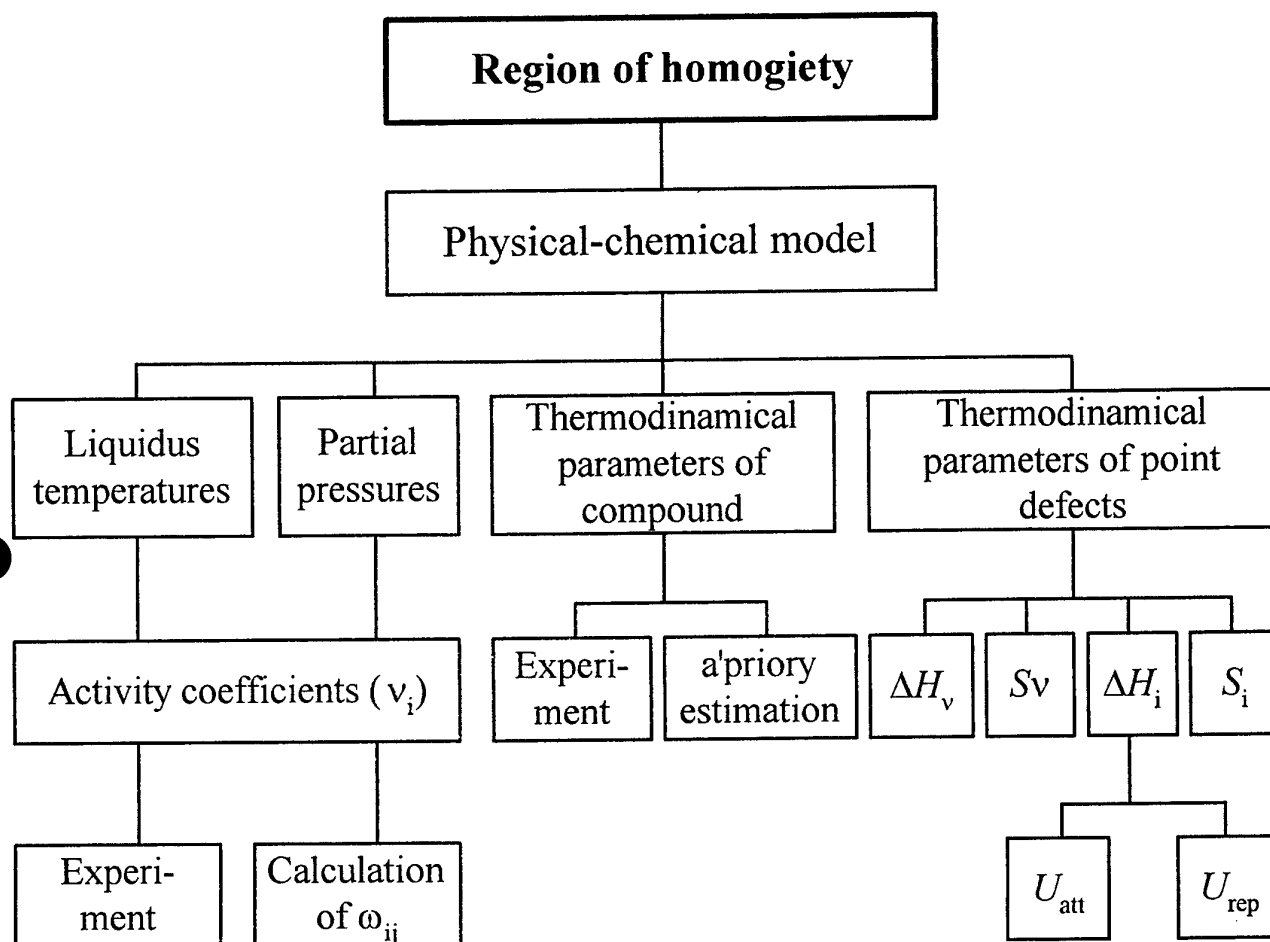
1980 - producing large  $\text{ZnGeP}_2$  single-crystal ingots of high optical quality ( $\alpha < 0.1 \text{ cm}^{-1}$  @ 2.5 - 8.5 mkm)

1982 - 1986 - the cycle of main publications on PFC in  $\text{ZnGeP}_2$  (SPTI, IAO, IGP, IAP)

1986 - 1988 transfer the  $\text{ZnGeP}_2$  technology to SD "Optika" (now IOM) together with the equipment and part of servicing staff

1990 - present - together with R&D Centre "ATOM" team-work on the solving of the following problems:

- thermodynamical calculations of  $\text{ZnGeP}_2$  homogeneity region;
- clearing up the nature of defects in  $\text{ZnGeP}_2$ ;
- search the reliable ways of reduce the optical losses in the range  $\lambda < 2.5 \text{ mkm}$



## Formation of the neutral vacancies in $\text{ZnGeP}_2$

Table II. Entropies and enthalpies of neutral vacancies in  $\text{ZnGeP}_2$

Element	Zn	Ge	P
Entropy in J/(mol K)	41.6	54.4	52.4
Enthalpy in kJ/mol	18.3	28.9	16.8

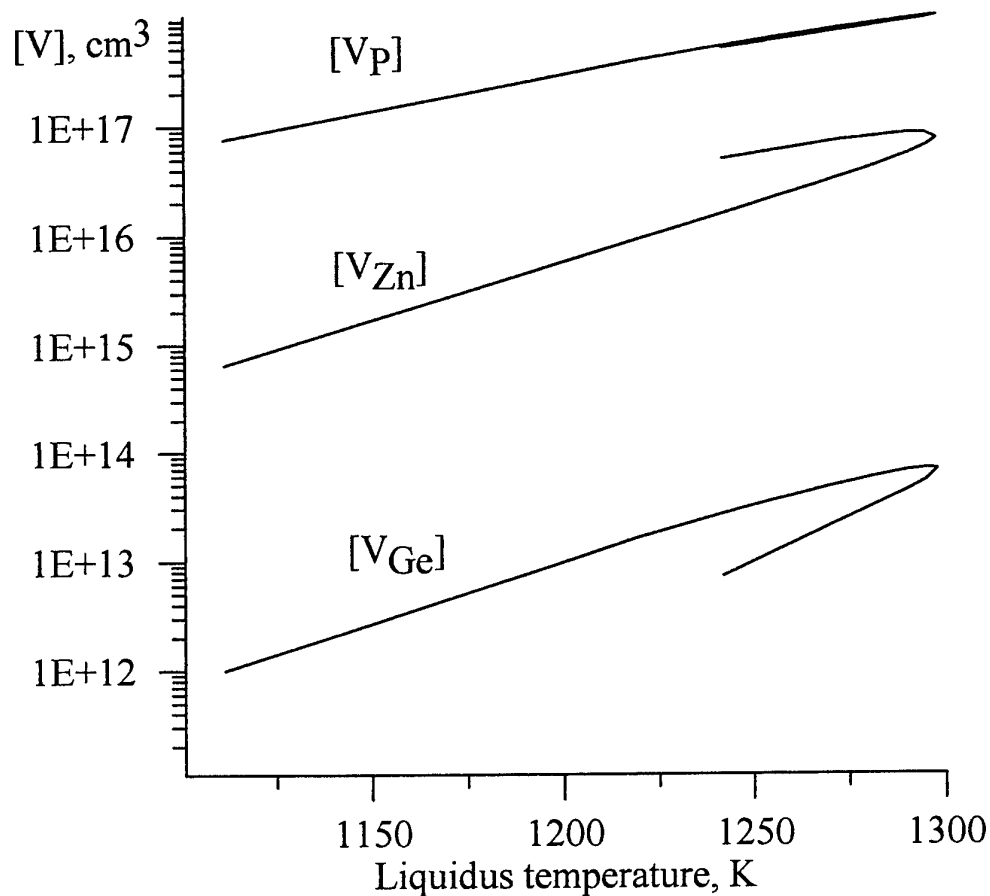


Fig. 3. The neutral vacancies concentration in  $\text{ZnGeP}_2$  as a function of liquidus temperature; cut Ge -  $\text{ZnP}_2$ .



## The ionised vacancies concentrations in $\text{ZnGeP}_2$

Ionisation energy  $E_{\text{tM}} = I_{\text{M}}(m^*/m)(z/\epsilon + 5C/6)^2$ ,  $C = 1/\epsilon_0 - 1/\epsilon$  (11)

where  $I_{\text{M}}$  is first ionisation potential of atom M,  $z$  is effective charge,  $\epsilon$  is static dielectric constant,  $\epsilon_0$  is high-frequency dielectric constant.

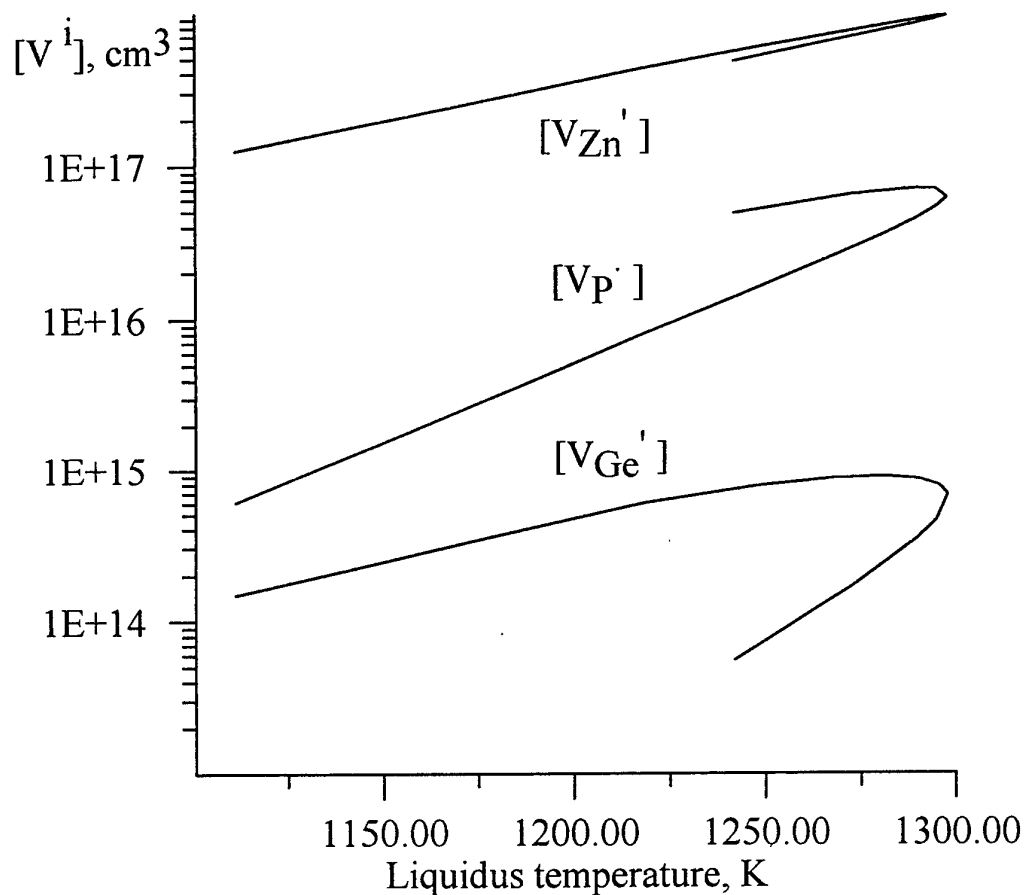


Fig. 4. The ionised vacancies concentration in  $\text{ZnGeP}_2$  as a function of liquidus temperature; cut Ge -  $\text{ZnP}_2$ .

92

## Results of estimation of interstitials concentrations

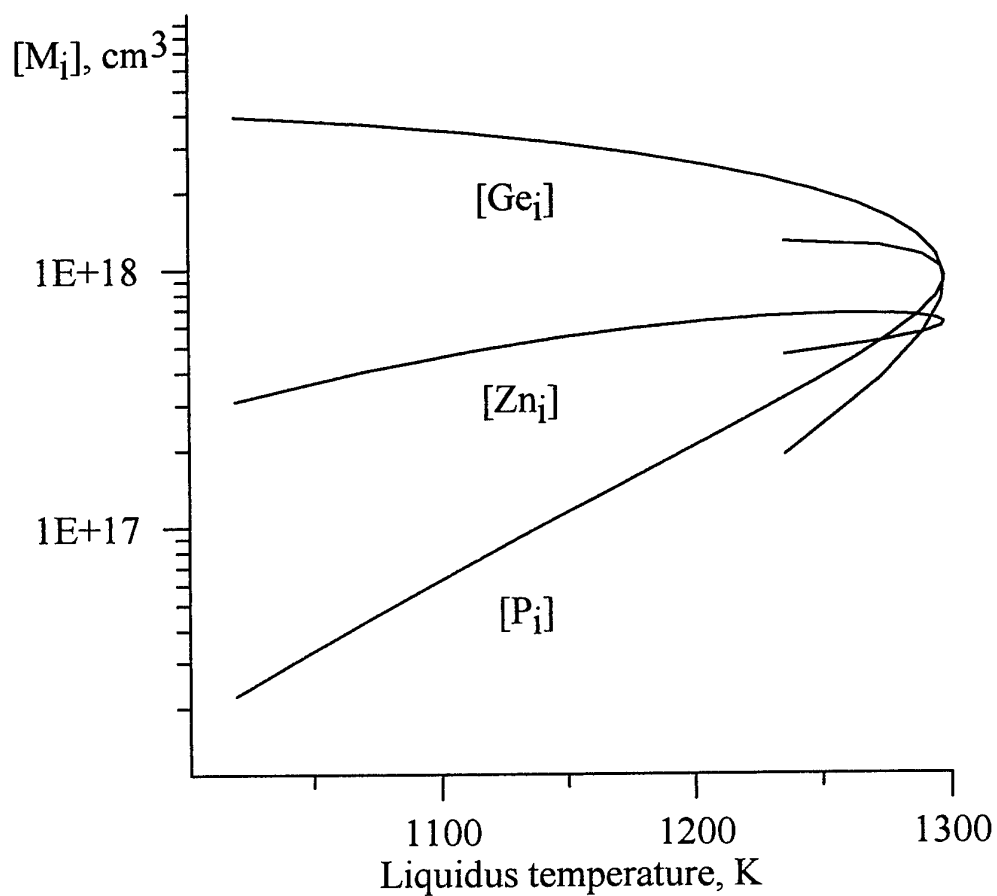


Fig. 5 Interstitials concentration in  $\text{ZnGeP}_2$  as a function of liquidus temperature; Ge -  $\text{ZnP}_2$  - cut

## Results of simulation of the region of homogeneity formation

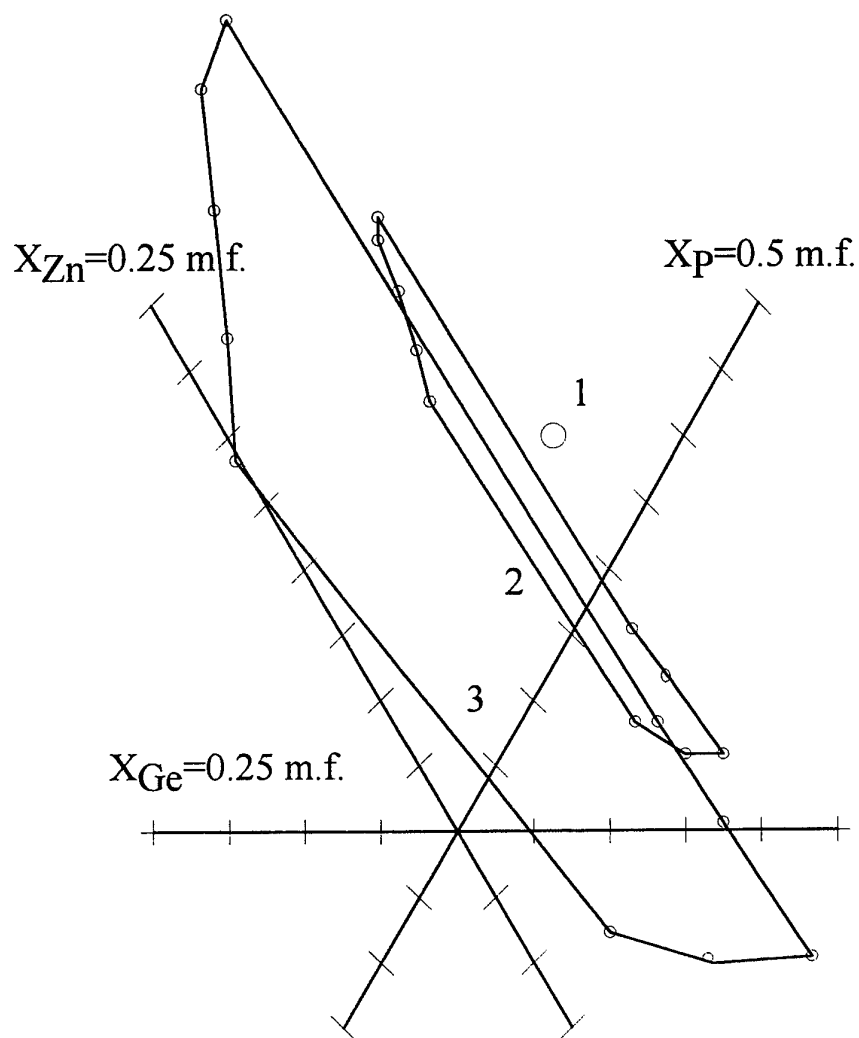


Fig. 6.  $\text{ZnGeP}_2$  region of homogeneity, estimated as deviation of corresponding concentrations of point defects.

T, K: 1 - 1298; 2 - 1270; 3 - 1210.

Axes correspond to

$X_{\text{Zn}} = 0.25 \text{ mol fractions}$ ,  $X_{\text{Ge}} = 0.25 \text{ mol fractions}$ ,  $X_{\text{P}} = 0.5 \text{ mol fractions}$ .

Value of scale deviation is 0.0003 mol %.

14

## Optical losses in ZGP at $\lambda < 2.5 \mu$

---

Versions  
of main reason  
for the losses

- A: photoionization of deep acceptors ( $V_{zn}$ ?) [Brudnyi ao]
- B: light scattering by microinclusions of Zn and Ge [Voevodin ao]
- C: light scattering by  $\beta$ -ZGP clusters or photoionization of  $Zn_{Ge}$ - $Ge_{Zn}$  antisite pairs [Shimony ao, J. Cryst. Growth, 198/199 (1999) 583-587]

## Post-growth treatment of ZGP for losses decreasing

---

- |                                 |               |       |
|---------------------------------|---------------|-------|
| 1. Annealing at 500-550°C       | [Rud' ao]     | A. B? |
| 2. Electron (e-) irradiation    | [Brudnyi ao]  | C?    |
| 3. Laser & 1.06 $\mu$ annealing | [Voevodin ao] | B.    |
| 4. $\gamma$ -irradiation        | [Shuneman ao] | A. B? |
| 5. Ultrasonic treatment         | [Voevodin ao] | B. C? |

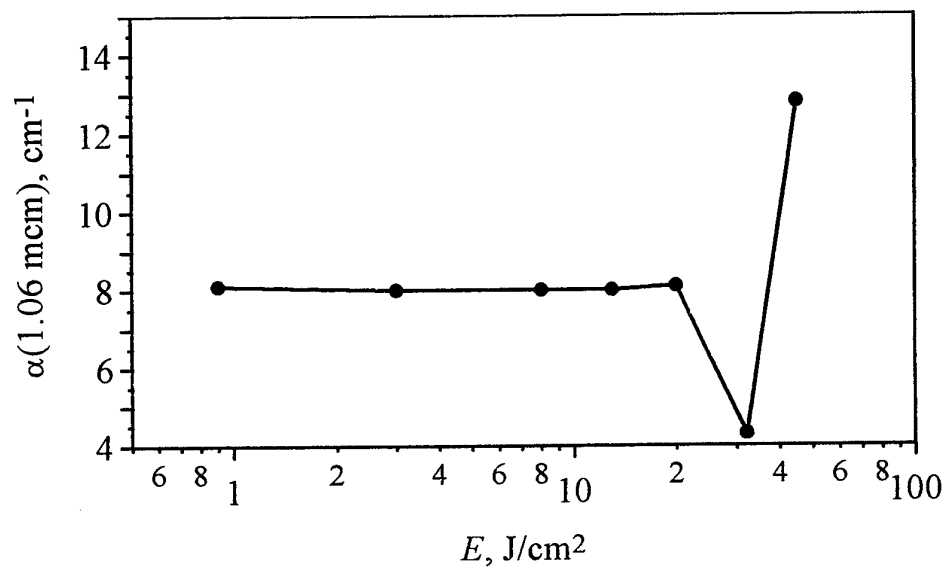
### LT-annealing of ZGP crystals

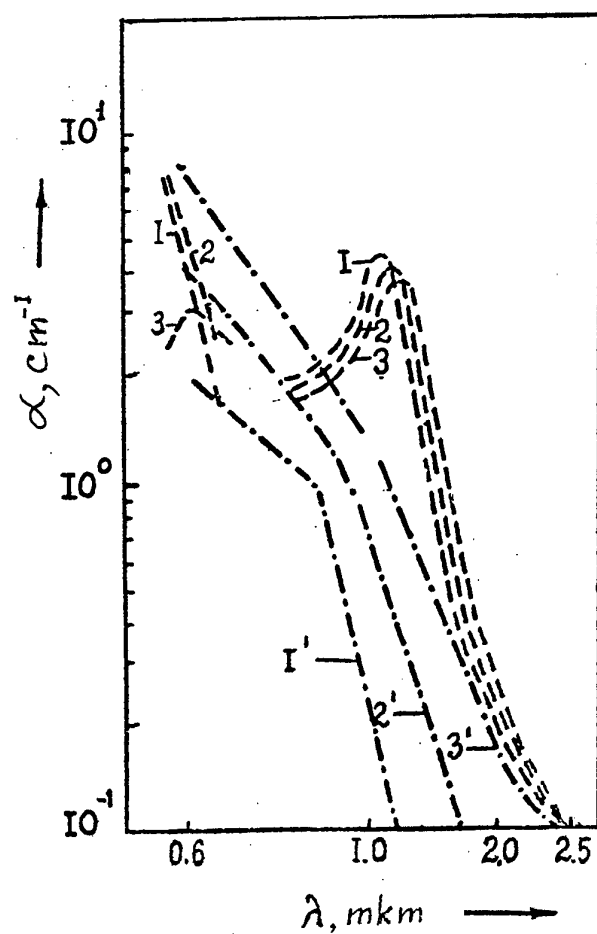
Sample #	As-grown			Condition of LTA	Annealed		
	$\alpha, \text{cm}^{-1}$ ( $\lambda=2.5 \mu$ )	$\alpha, \text{cm}^{-1}$ ( $\lambda=5 \mu$ )	$N_d, \text{cm}^{-2}$		$\alpha, \text{cm}^{-1}$ ( $\lambda=2.5 \mu$ )	$\alpha, \text{cm}^{-1}$ ( $\lambda=5 \mu$ )	$N_d, \text{cm}^{-2}$
187	0.5	0.3	$10^6$	550°C 150 h ZGP powder	0.2	0.01	$3 \cdot 10^5$
165	1.0	0.7	$5 \cdot 10^4$	550°C 150 h 2 at P <sub>4</sub>	0.3	0.07	$10^5$
282	0.8	0.3	$10^5$	550°C 150 h 1.3 at P <sub>4</sub>	0.3	0.1	$8 \cdot 10^4$
273	1.8	1.8	$5 \cdot 10^4$	550°C 150 h 2 at P <sub>4</sub>	0.05	0.04	$3 \cdot 10^4$

### Ultrasonic treatment of ZGP crystals

Sample #	As-grown				After treatment			
	$\alpha, \text{cm}^{-1}$ ( $\lambda=2.5 \mu$ )	$\alpha, \text{cm}^{-1}$ ( $\lambda=5 \mu$ )	$N_d, \text{cm}^{-2}$	$N_i, \text{cm}^{-2}$	$\alpha, \text{cm}^{-1}$ ( $\lambda=2.5 \mu$ )	$\alpha, \text{cm}^{-1}$ ( $\lambda=5 \mu$ )	$N_d, \text{cm}^{-2}$	$N_i, \text{cm}^{-2}$
188	1.8	1.8	$10^5$	$2 \cdot 10^2$	1.3	1.3	$7 \cdot 10^4$	$10^2$
306	0.8	0.6	$6 \cdot 10^4$	$2 \cdot 10^3$	0.5	0.4	$4 \cdot 10^4$	$10^3$

Absorption coefficient of  $\text{ZnGeP}_2$  at wavelength  $\lambda = 1.06 \text{ mcm}$   
versus energy density of pulsed laser radiation ( $\tau = 1 \text{ ms}$ )





Calculated spectra of light losses in  $\text{ZnGeP}_2$  with microinclusions of Zn (1-3) and Ge (1'-3').

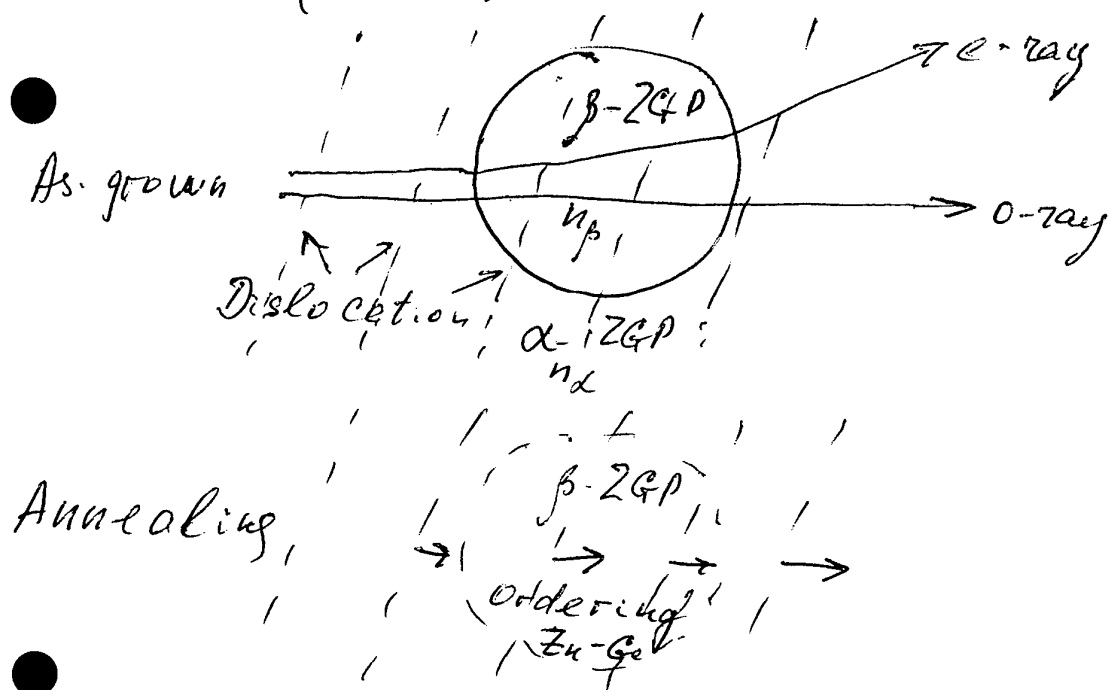
Diameter of inclusions is : 1, 1' - 200 Å; 2, 2' - 400 Å; 3, 3' - 600 Å;

Volume fraction is  $C=10^{-6}$

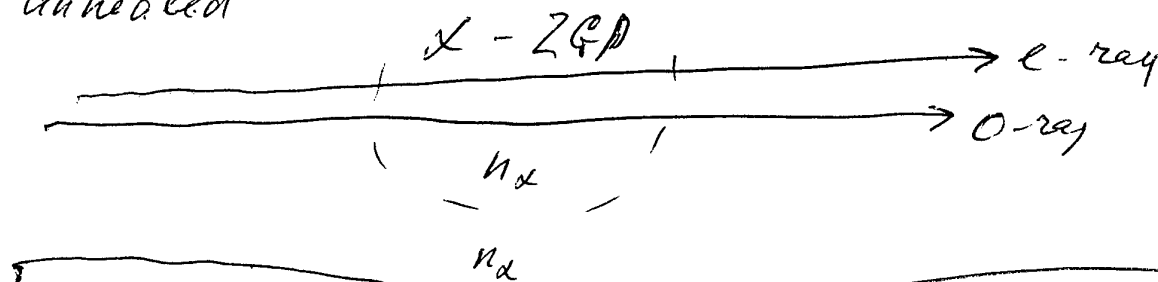
# LASER@1.06 $\mu$ ANNEALING MODEL



## LT(550°C)-ANNEALING MODEL



Post-annealed





## **SIMS Analysis of CdGeAs<sub>2</sub>**

J. S. Solomon\*  
University of Dayton Research Institute  
Dayton, OH 45469-0167  
USA

\* Work supported by the Materials and Manufacturing Directorate  
Air Force Research Laboratory/United States Air Force

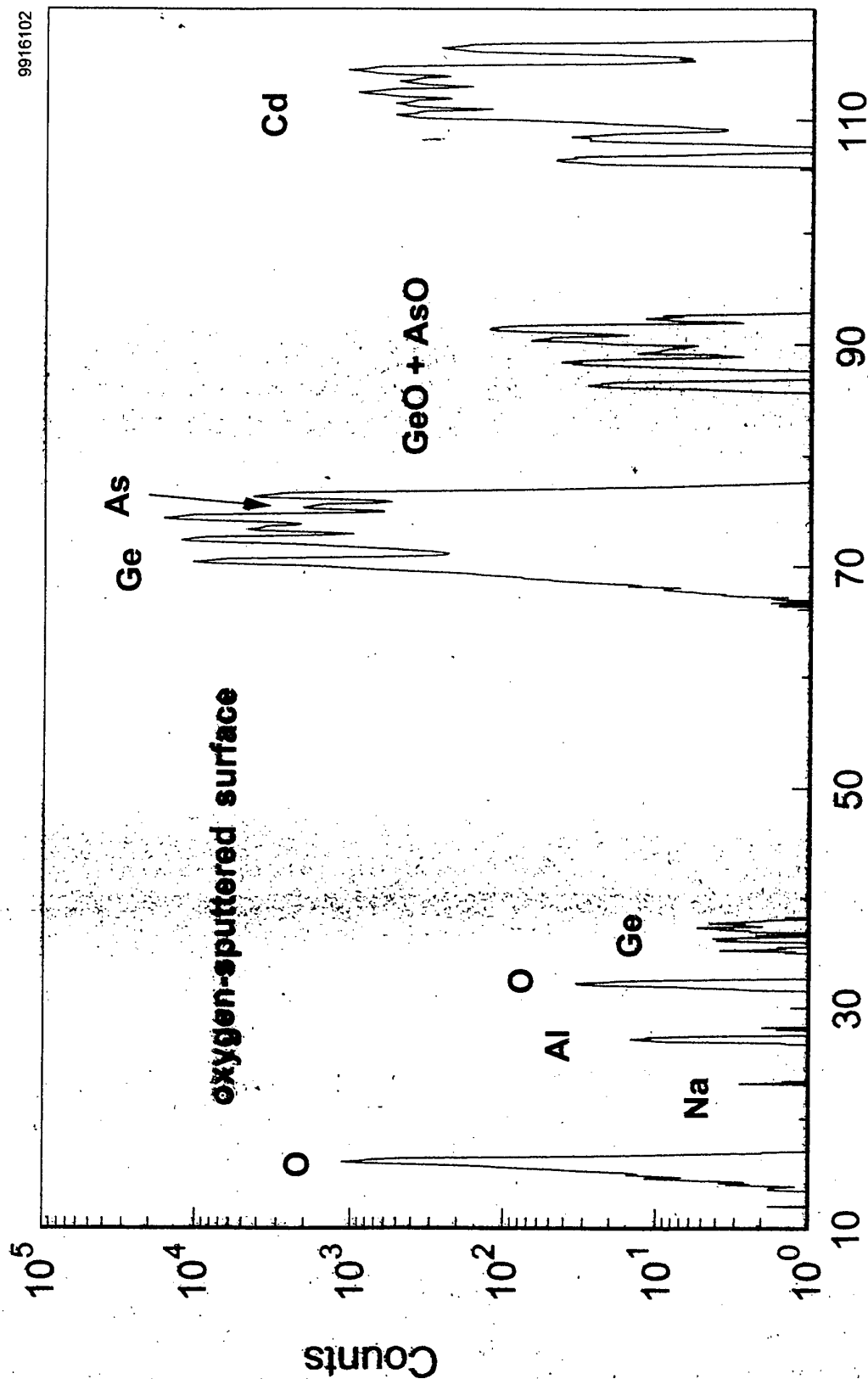
# **Main Features of SIMS**

## **POSITIVE:**

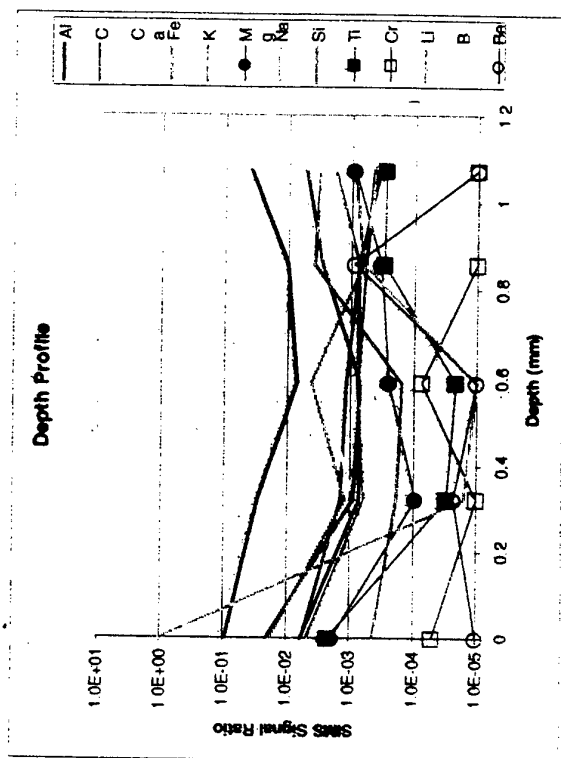
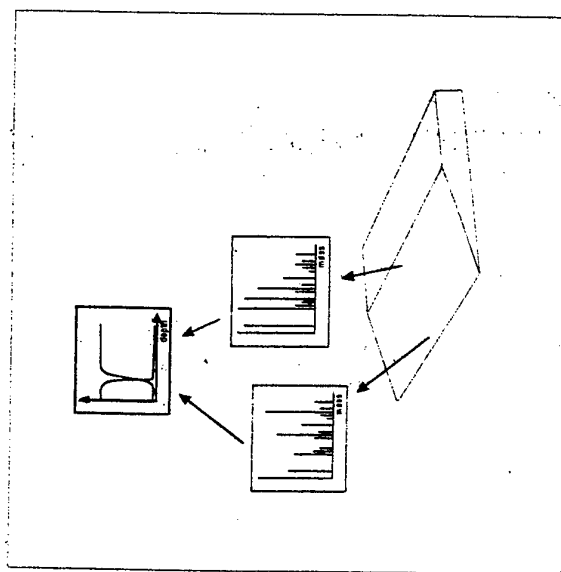
- Information depth in the "monolayer range"
- Detection of all elements and isotopes
- Extremely high elemental sensitivity for many elements
- Quantitative (with standards)

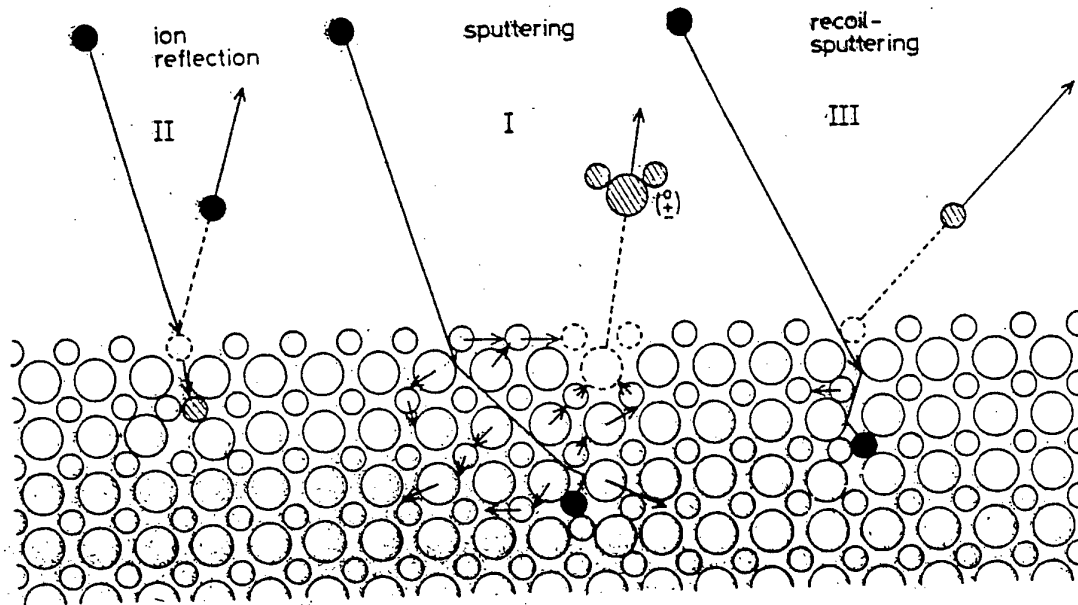
## **NEGATIVE:**

- Large differences in sensitivity for many elements
- No unified model to explain process.
- Process highly dependent on  
Instrumental Parameters  
Matrix composition
- Quantification difficult in mixed matrixes
- Destructive



# SIMS Analysis of ZnO





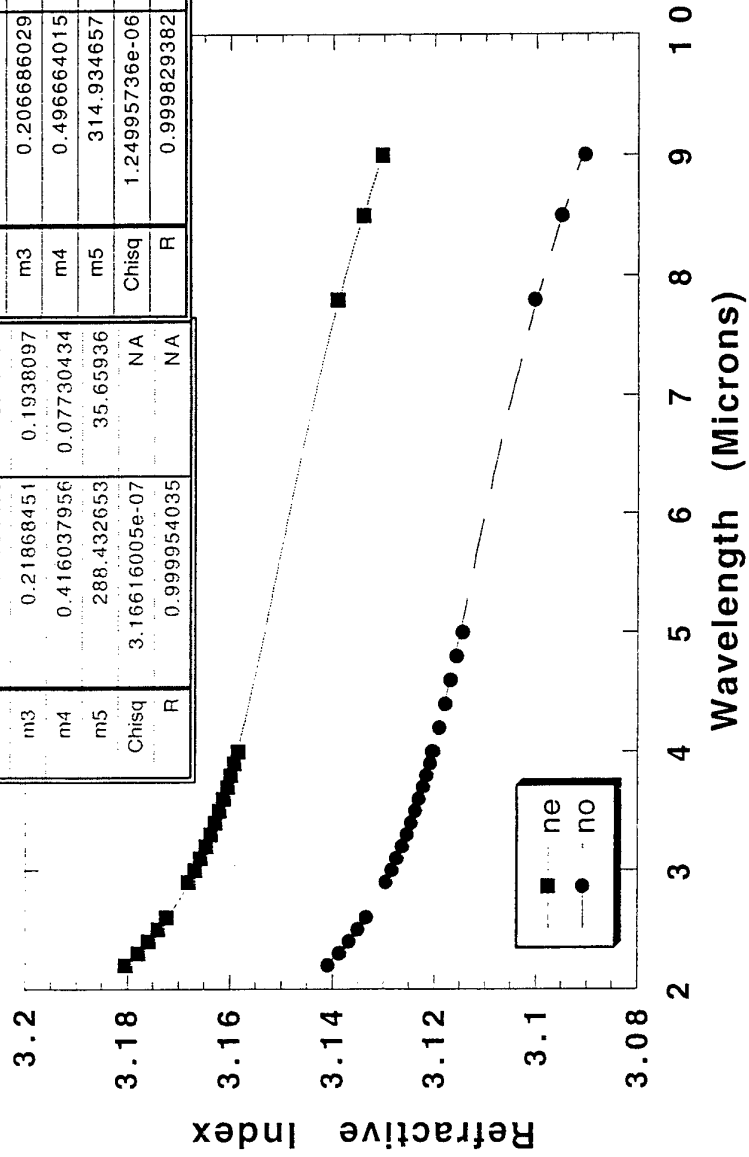


Materials and Manufacturing Directorate  
Sensor Materials Branch *AFRL/MLPO*

# **Refractive Indices of Zinc Germanium Phosphide from 2-9 Microns and Implications for Phase Matching in Optical Parametric Oscillators**

**David E. Zelmon, David L. Small, and  
Peter G. Schunemann**

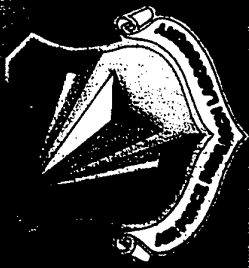
# Refractive Indices-ZGP DAVE S + me-9/9/99



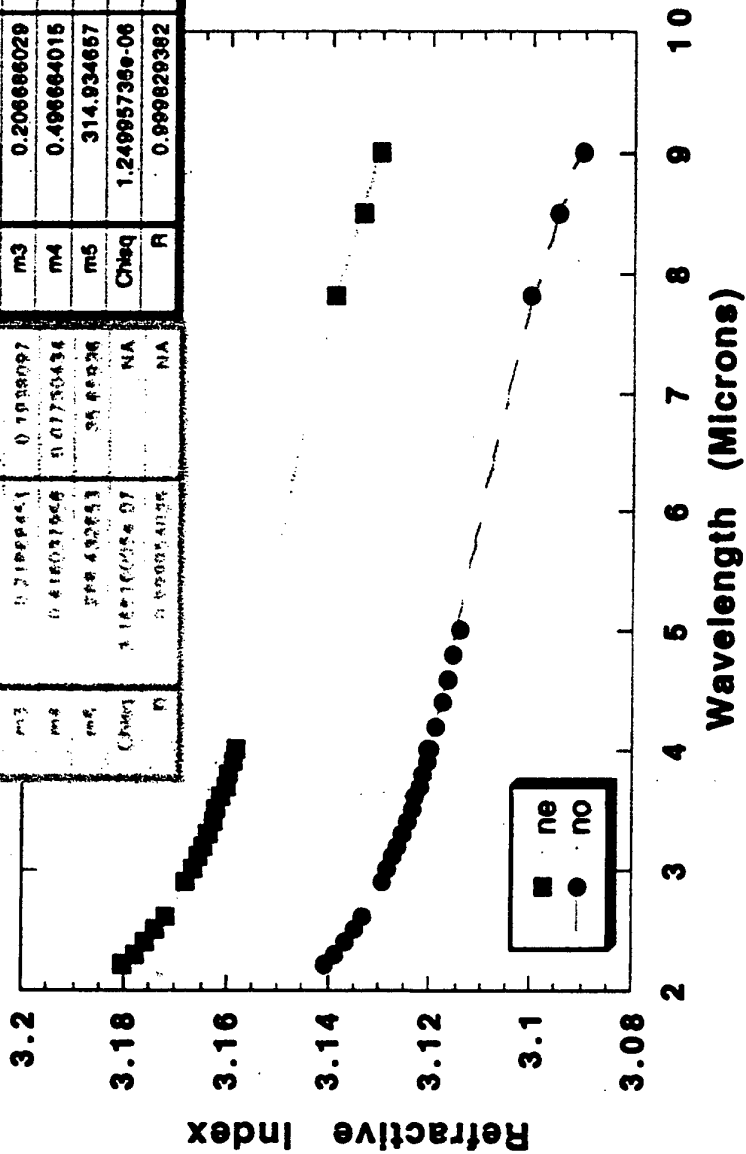
y = sqrt(m1+m2*m0^ 2/(m0^ 2-...			
ne	Value	Error	
m1	6.33463522	3.42104	
m2	3.61682203	3.41727	
m3	0.21868451	0.1938097	
m4	0.416037956	0.07730434	
m5	288.432653	35.65936	
Chisq	3.16616005e-07	NA	
R	0.999954035	NA	

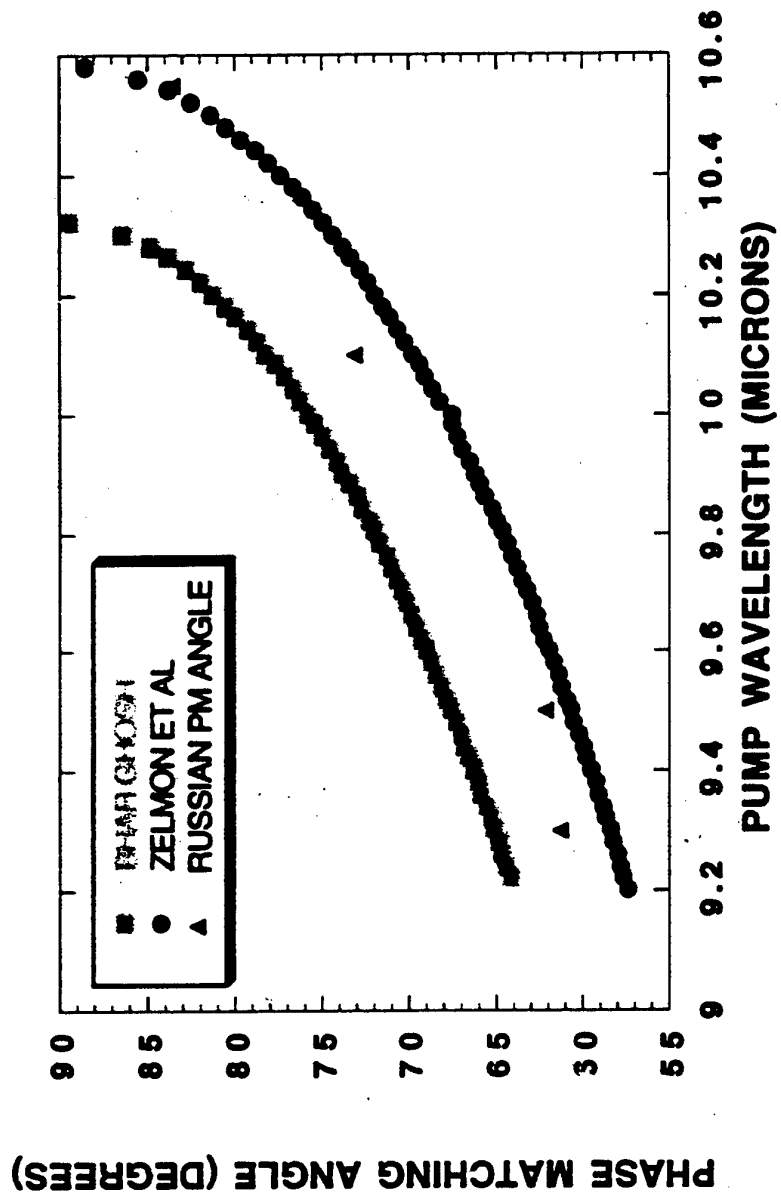
y = sqrt(m1+m2*m0^ 2/(m0^ 2-...			
no	Value	Error	
m1	6.16504	5.270687	
m2	3.55150249	5.265372	
m3	0.206686029	0.2885603	
m4	0.496664015	0.1568017	
m5	314.934657	69.0479	
Chisq	1.24995736e-06	NA	
R	0.999829382	NA	

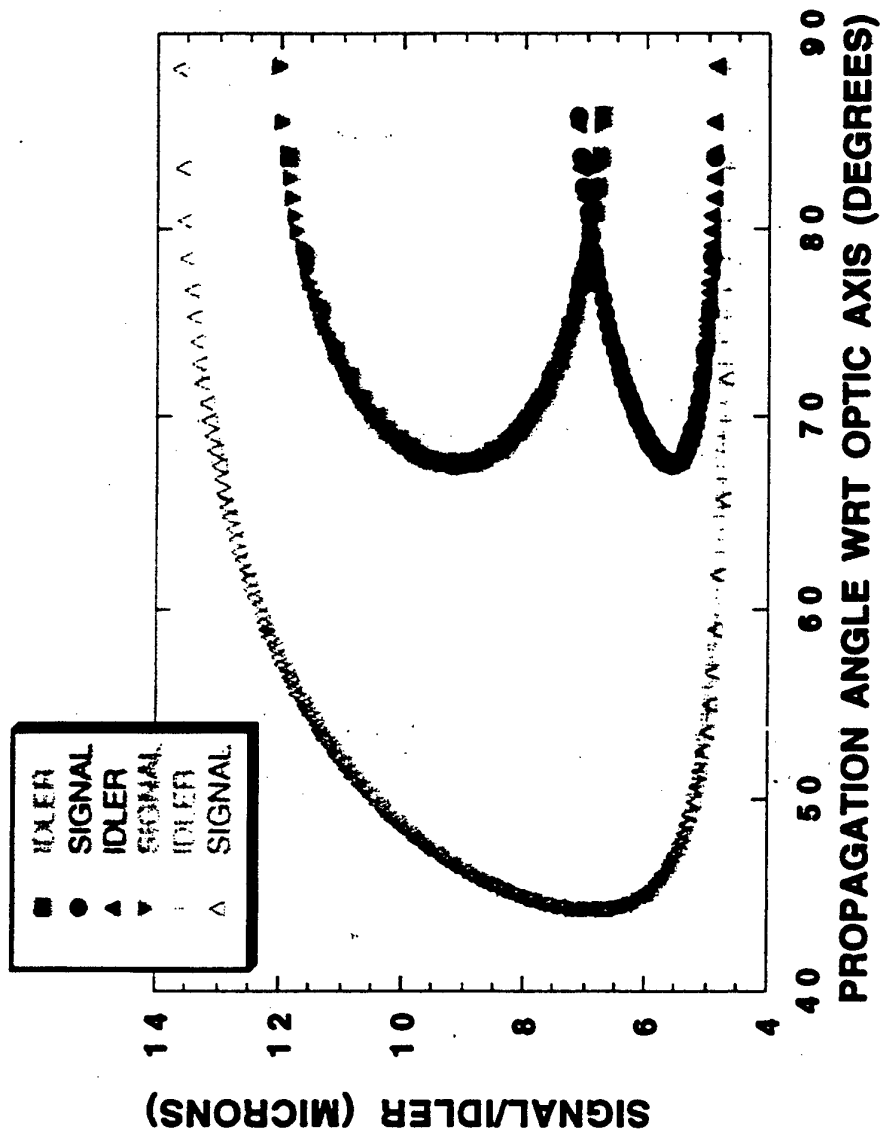


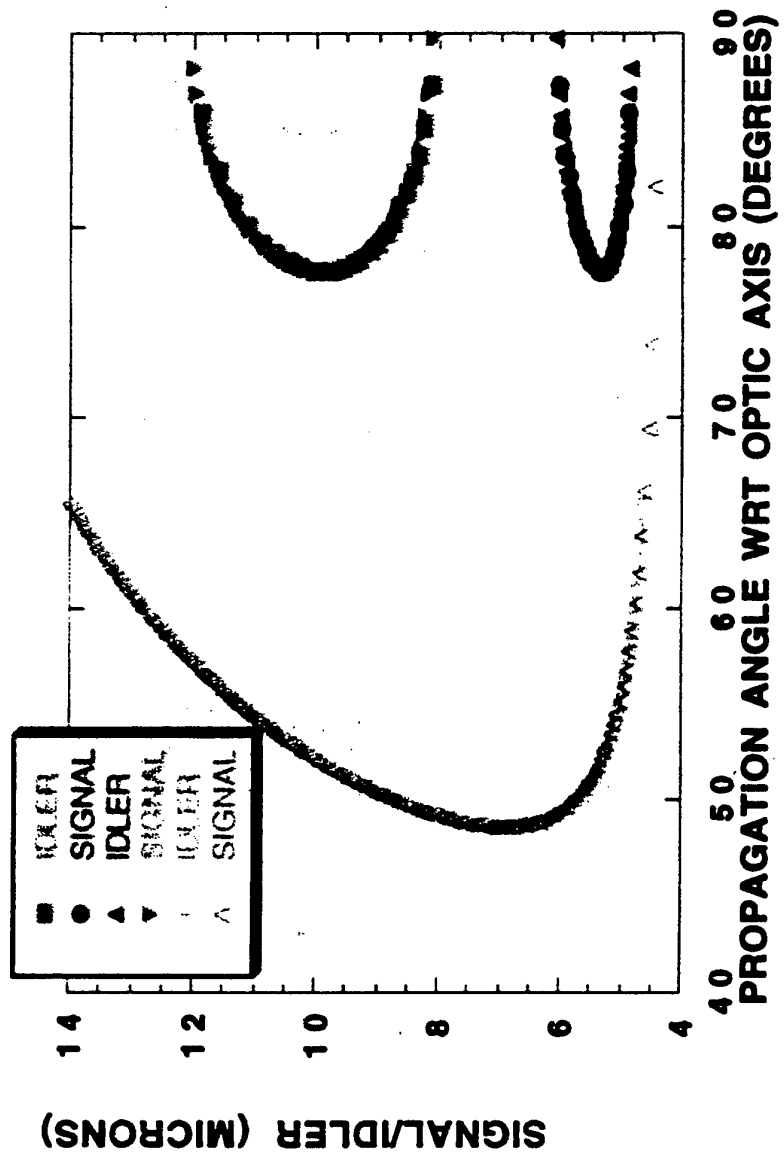
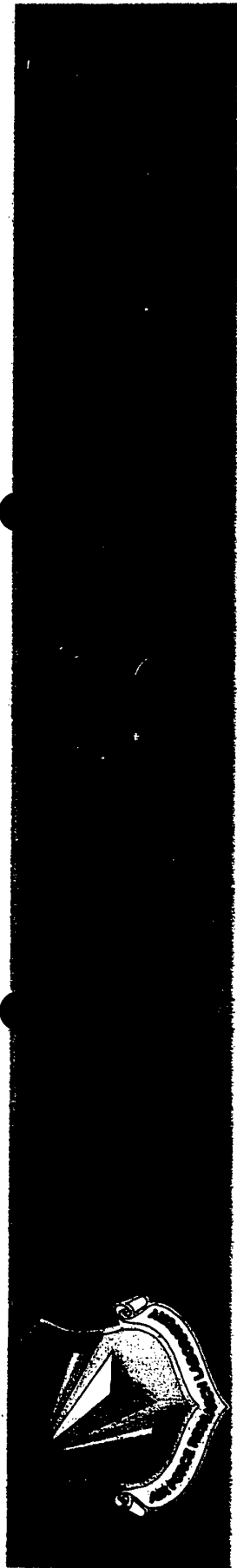
y = exp(m1+m2*m0^2/(m0^2-2...		
no	Value	Error
m1	6.16504	5.270897
m2	3.55150249	5.265372
m3	0.206898029	0.2885603
m4	0.498664015	0.1568017
m5	314.934657	69.0479
ChiSq	1.24995739e-06	NA
R	0.999829382	NA

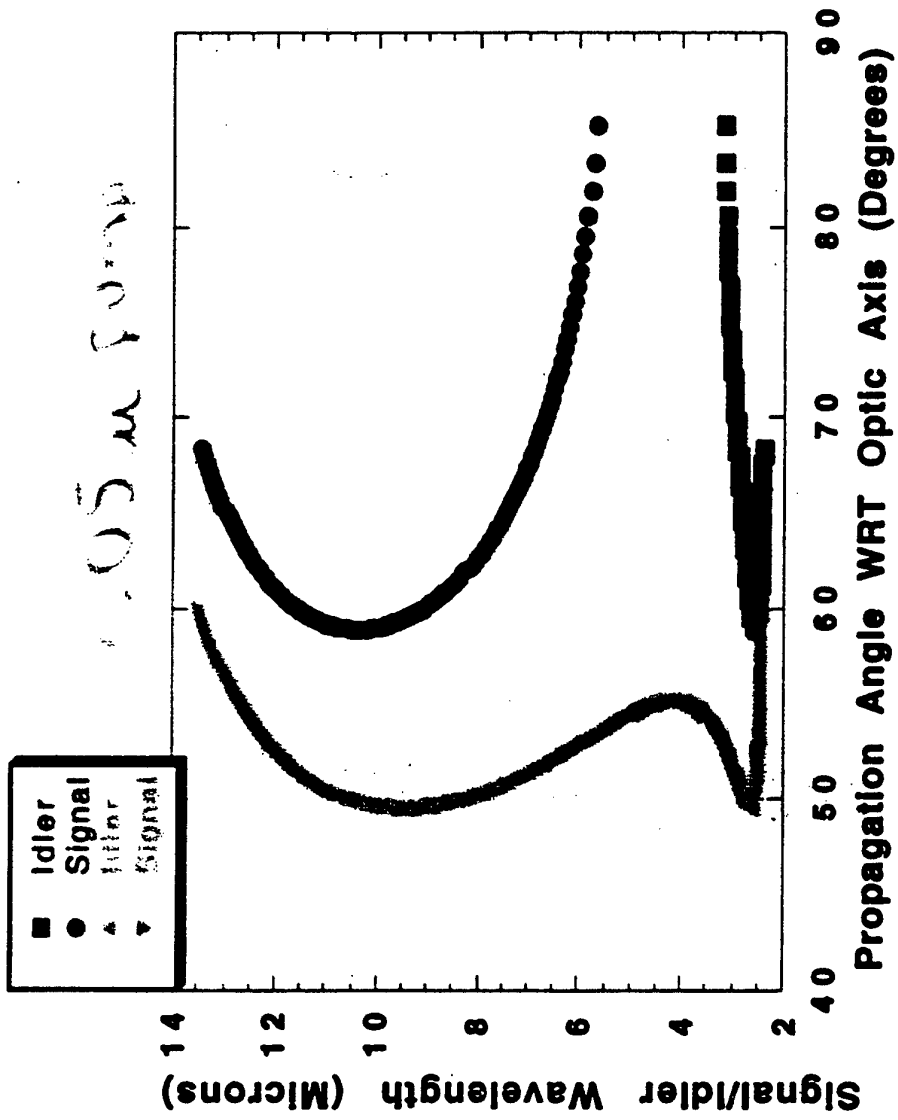
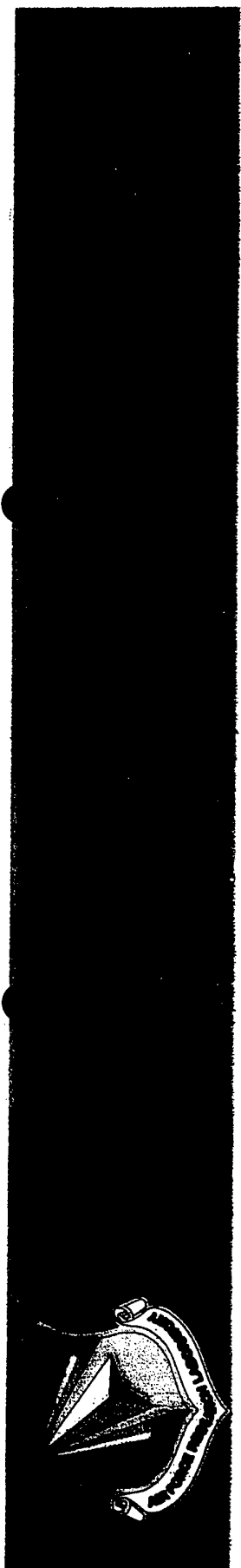










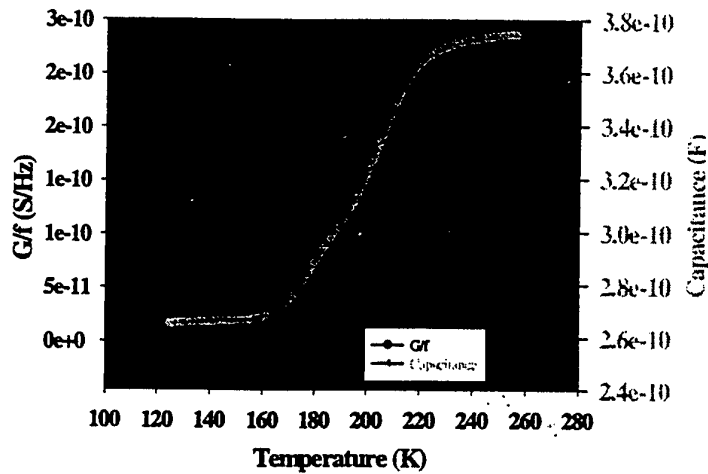


Analysis of CdGeAs<sub>2</sub> using thermal  
admittance spectroscopy

Steven Smith

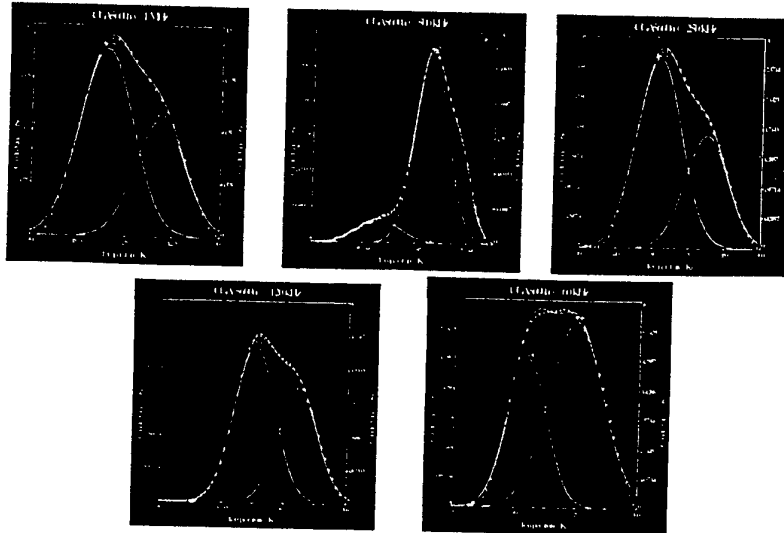
University of Dayton Research Institute

## Principles of TAS

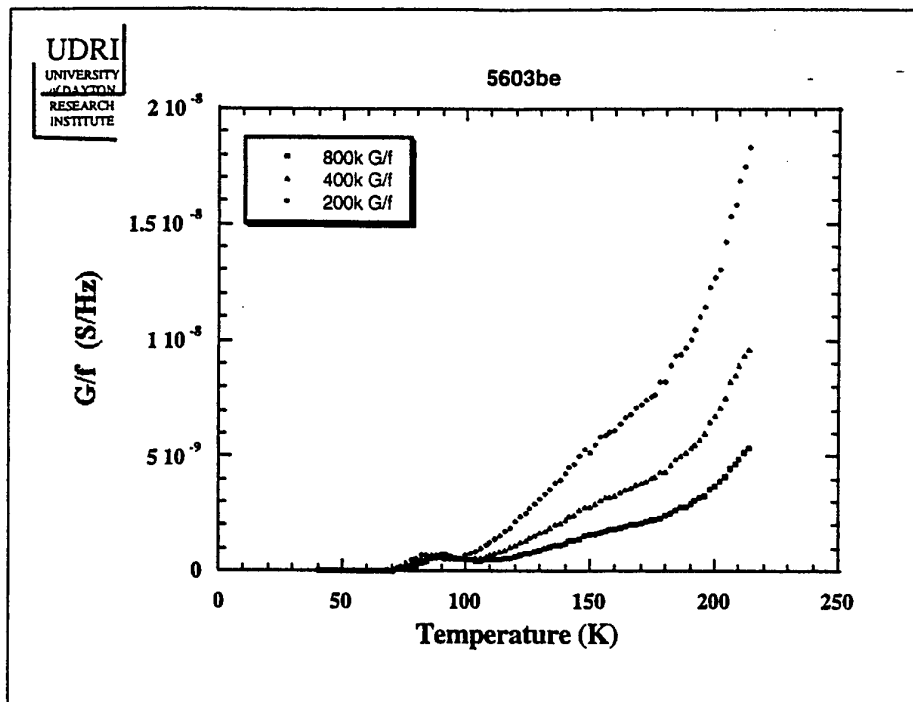


**Thermal Admittance Spectroscopy (TAS)** measures the response of a Schottky diode as a function of frequency and temperature. The resulting peaks in the conductance spectrum, or inflection points in the capacitance spectrum, can be used to determine the thermal activation energy(s) of the defects (impurities). Both spectra are shown in this slide.

## CGA5600a (4Q)

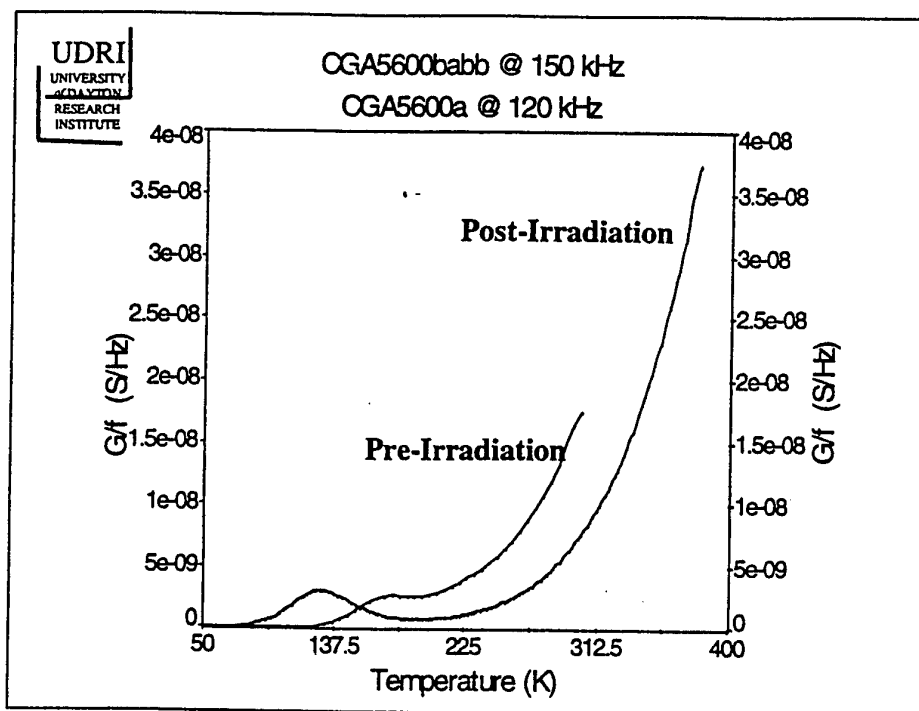


Fitting the peak in the TAS spectrum of specimen 5600 (4Q) demonstrates that more than one defect is responsible for the peak. The evolution of the shape demonstrates the relative response of the defects as a function of frequency.



TAS spectra of specimen 5603 (4N) differs significantly from those of 5600 and 5601. A deeper level is evidenced by the broad 'bump' in the spectra around 150 K.





Comparison of the TAS spectra before and after electron irradiation of specimen 5600. A slight shift to lower energy is noted by the position of the peak in the post-irradiation spectrum.

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# High rep rate Tandem OPO

## NLO Materials Workshop

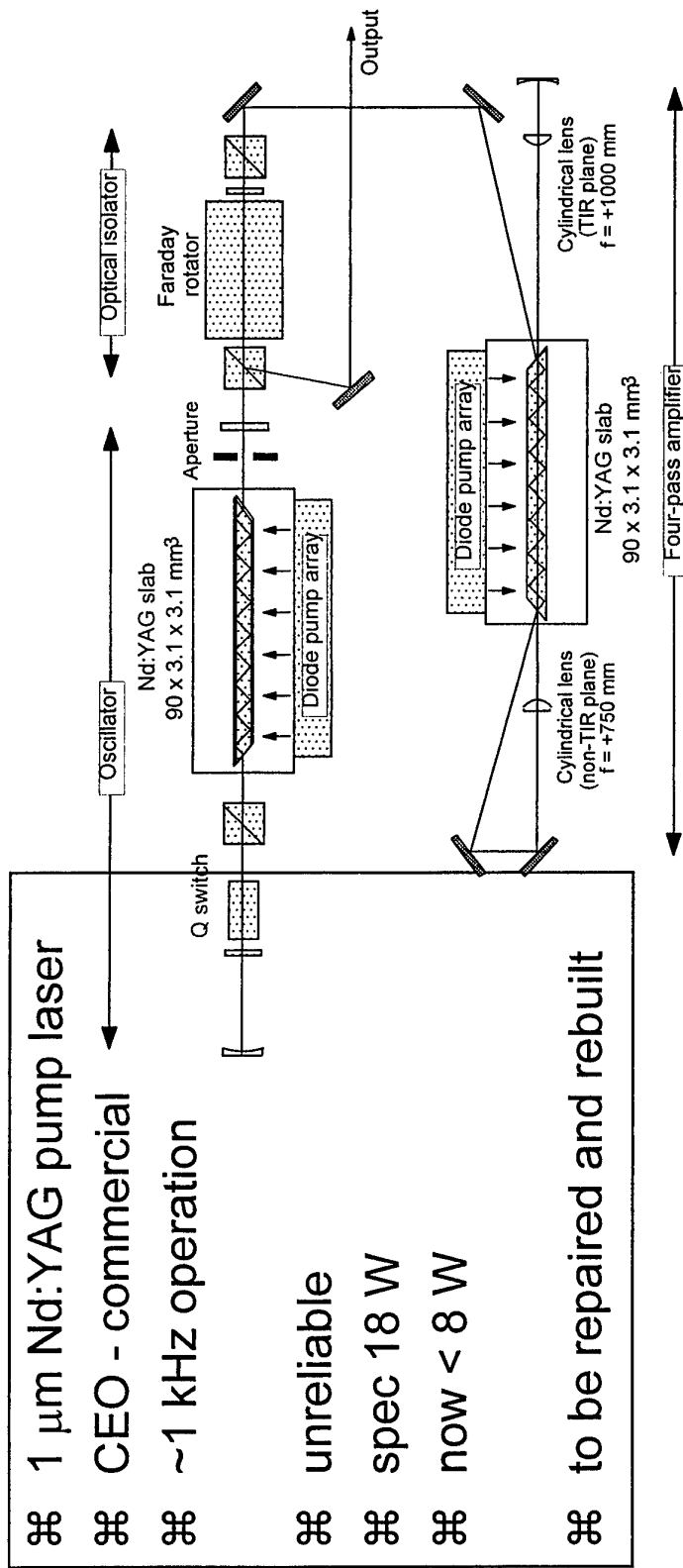
20 - 21 September 1999  
DERA Malvern

J A C Terry

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**DERA**

# Experimental - 3

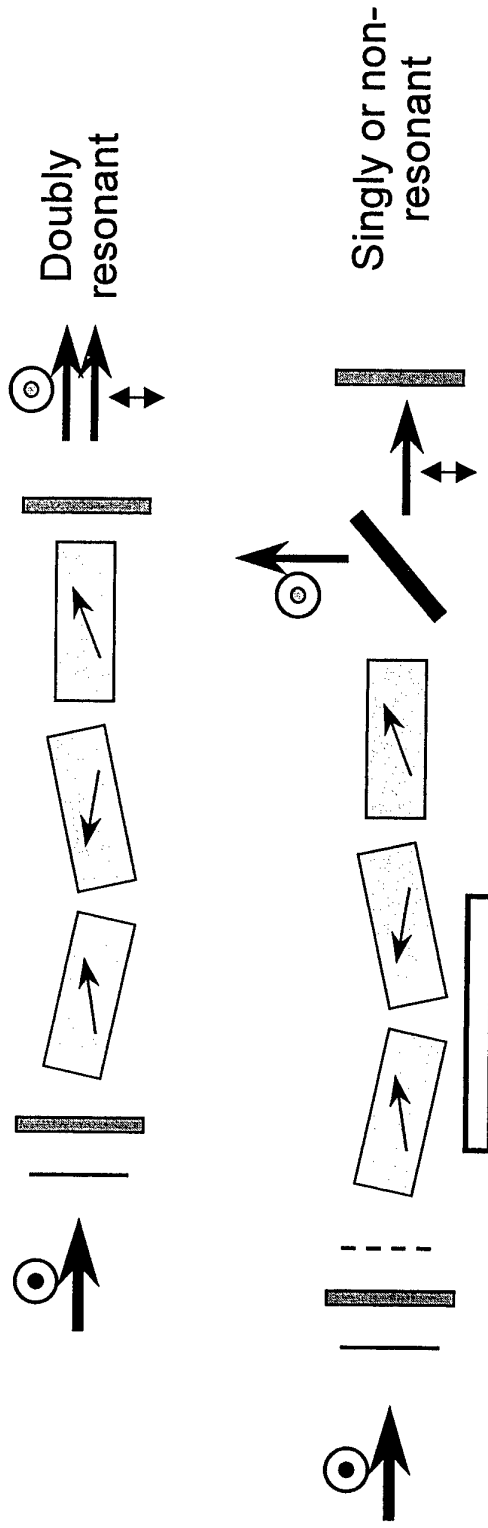


**DERA**

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## Experimental - 4

⌘ 1st OPO - wavelength doubler (3 KTP crystals)



**DERA**

## Experimental - 5

### ⌘ WD power and efficiencies

#### ⌘ Doubly resonant

⌘ 2.2 W (both polarisations)

⌘  $P_{th}$  - 3.9 W, s.e. - 45 %,  $\sigma$  - 7 %

#### ⌘ Singly resonant

⌘ 2.3 W ('single' polarisation)

⌘  $P_{th}$  - 3.7 W, s.e. - 36 %,  $\sigma$  - 11 %

#### ⌘ Non-resonant

⌘ 2.4 W ('single' polarisation)

⌘  $P_{th}$  - 4.5 W, s.e. 44 %,  $\sigma$  - 14 %

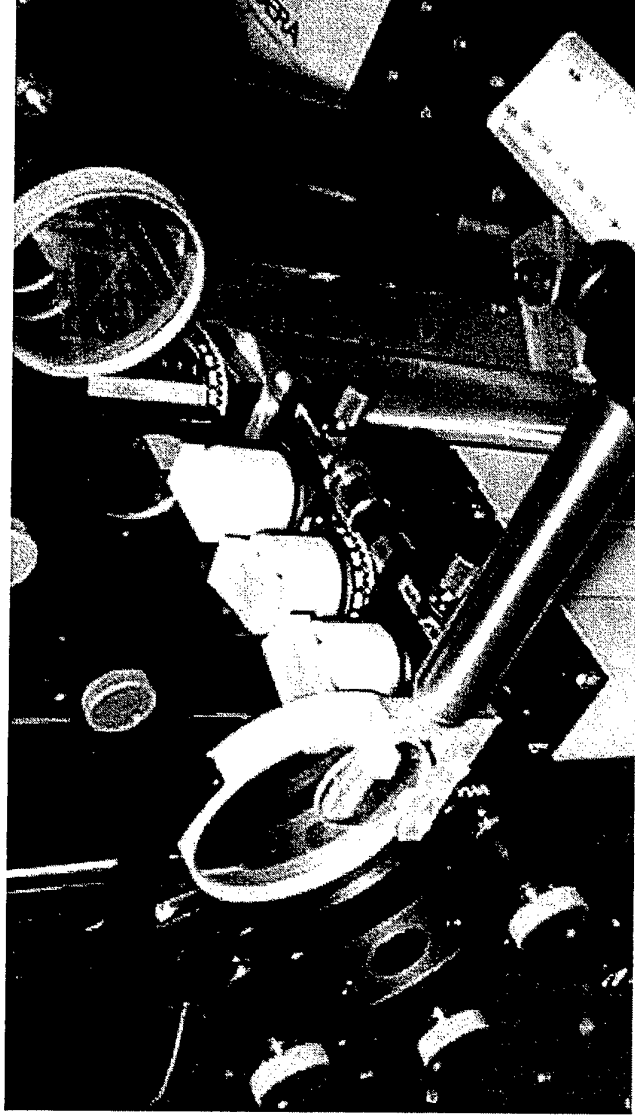
### ⌘ Beam quality

⌘  $M^2 \sim 1.5 \times 2.3$  (better in walk-off plane)

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● ●  
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## Experimental - 6



**DERA**

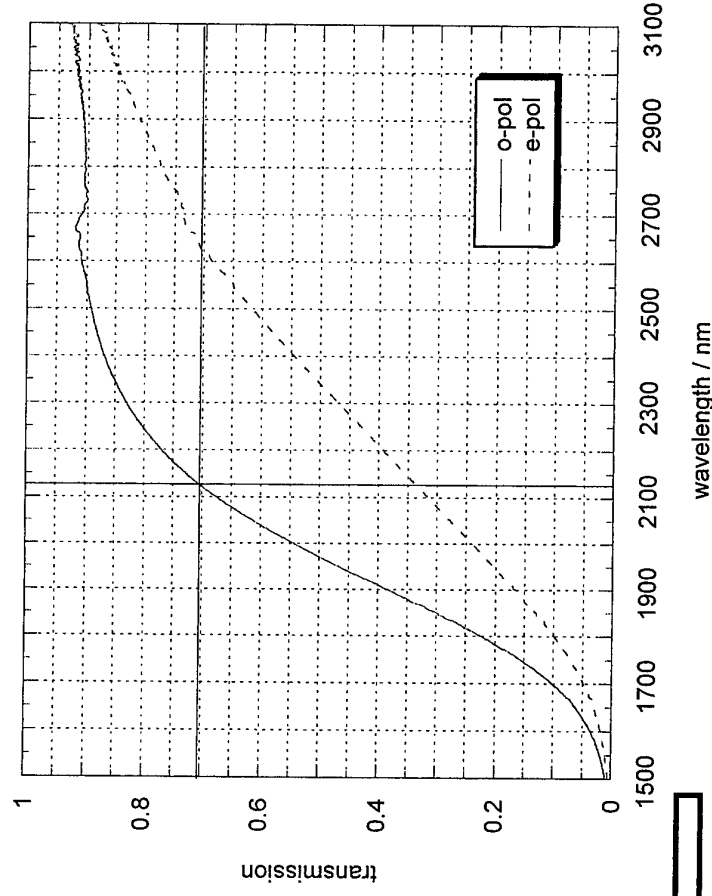
20-21/9/99

NLO materials workshop - High rep rate Tandem OPO

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# Experimental - 7

Polarised transmission of coated ZGP sample VB34/2/2/2/3



⌘ DERA ZGP crystal

⌘ 6.5 x 6.5 x 18 mm<sup>3</sup>

⌘ nominally  $\theta = 53$ ,  $\phi = 0$

⌘ actually  $\theta = 90$ ,  $\phi = 53$

⌘ AR coated

⌘ 2.1, 3.5-4.0  $\mu\text{m}$

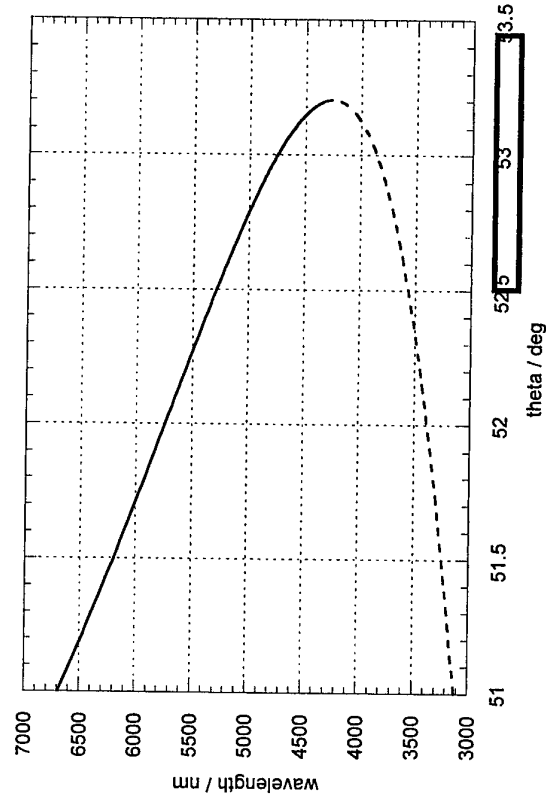
**DERA**

# Experimental - 8

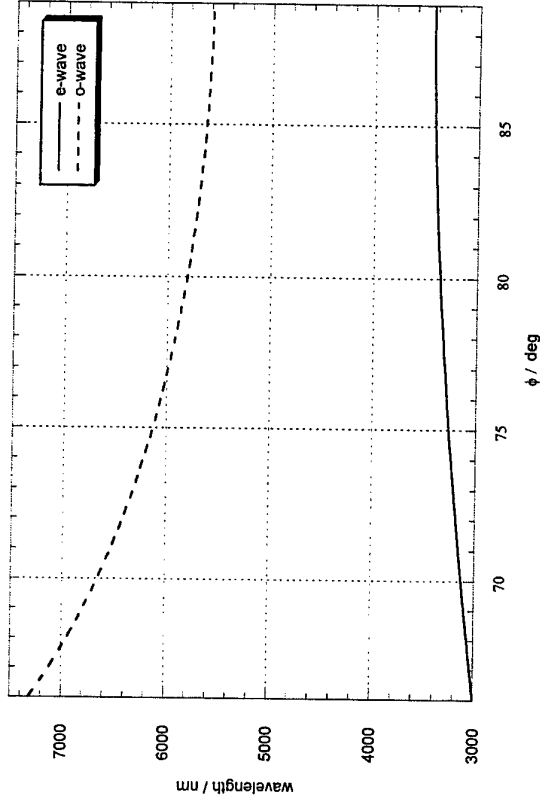
## ⌘ ZGP phasematching

- ⌘ type I - generated waves both have same polarisation plane
- ⌘ type II - generated waves have orthogonal polarisation planes

Type I phasematching for 2128 nm pumped ZGP ( $\phi = 0$ )



Type II phasematching for 2128 nm pumped ZGP ( $\theta = 90$ )



**DERA**



# Experimental - 9

⌘ Output power, slope efficiency and threshold

⌘ v incident power

⌘  $P_{th} \sim 350 \text{ mW}$

⌘ s.e.

⌘ total  $\sim 16.5 \%$

⌘ signal  $\sim 10 \%$

⌘ idler  $\sim 6.5 \%$

⌘ v unabsorbed power

⌘  $P_{th} \sim 250 \text{ mW}$

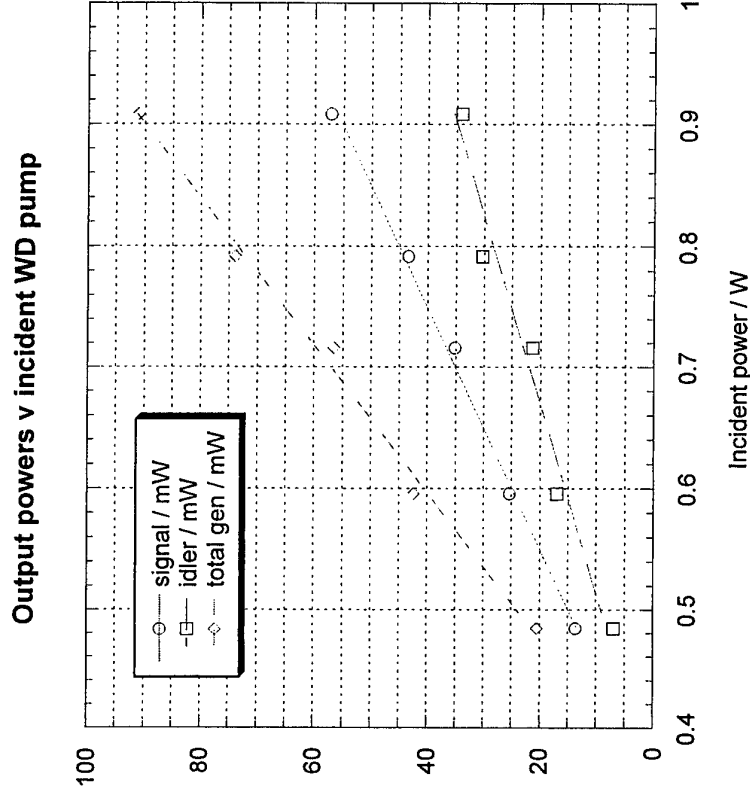
⌘ s.e.

⌘ total  $\sim 23.5 \%$

⌘ signal  $\sim 14.5 \%$

⌘ idler  $\sim 9 \%$

⌘ Maximum output ~~100 mW~~  
for  $\sim 900 \text{ mW}$  incident ( $2 \mu\text{m}$ )



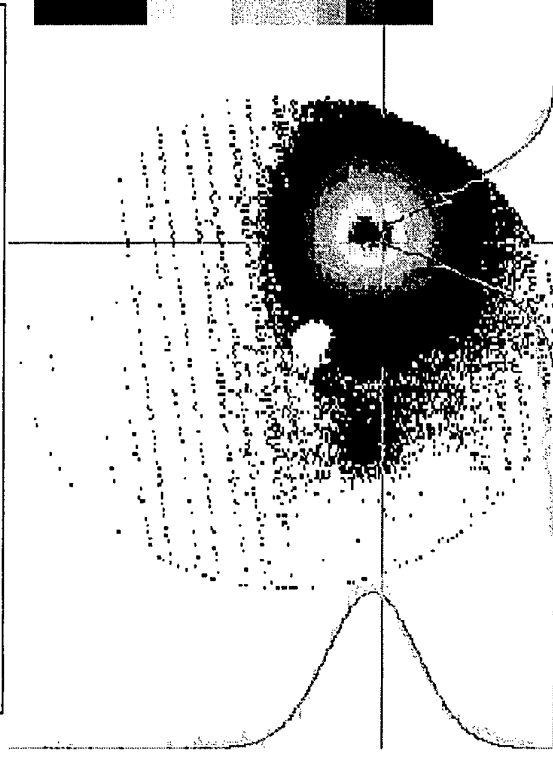
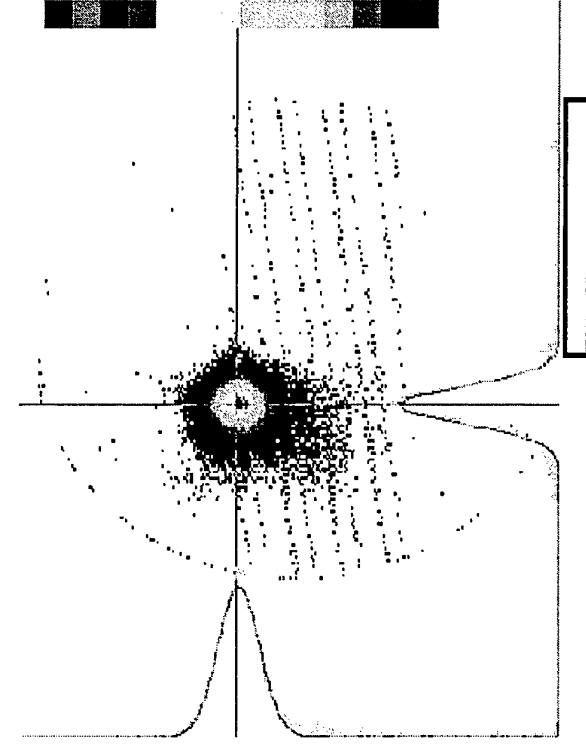
**DERA**

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# Experimental - 10

⌘ Signal - 3.4  $\mu\text{m}$   
⌘  $M^2 \sim 2.4$

⌘ Idler - 5.7  $\mu\text{m}$   
⌘  $M^2 \sim 4.8$



**DERA**

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## Summary

- ⌘ Operation of a tandem OPO system has been demonstrated at ~1 kHz rep rate
- ⌘ Device (wavelength doubler) with bulk NLO material demonstrated to operate with ~ 10 mJ energy per pulse
- ⌘ Demonstration of the utility of DERA ZGP
  - ⌘ In this case wrongly orientated, but reasons for this understood
- ⌘ Target of 1 W in band 4 not met
  - ⌘ Further experiments required to understand the limitations of this technology, especially thermal effects

**DERA**

# Recent Advances in Chalcopyrites for Mid- to Far-IR Frequency Conversion

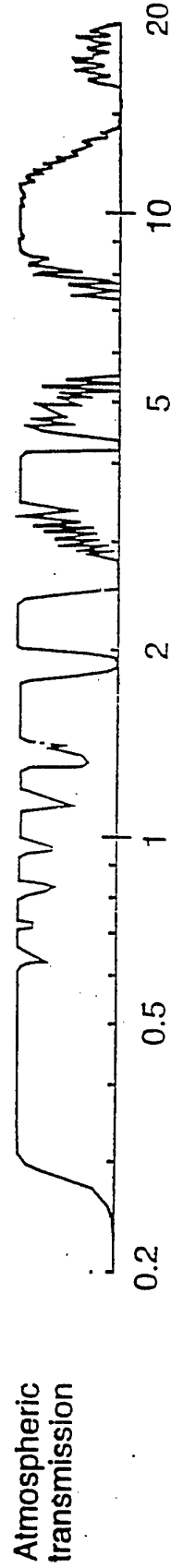
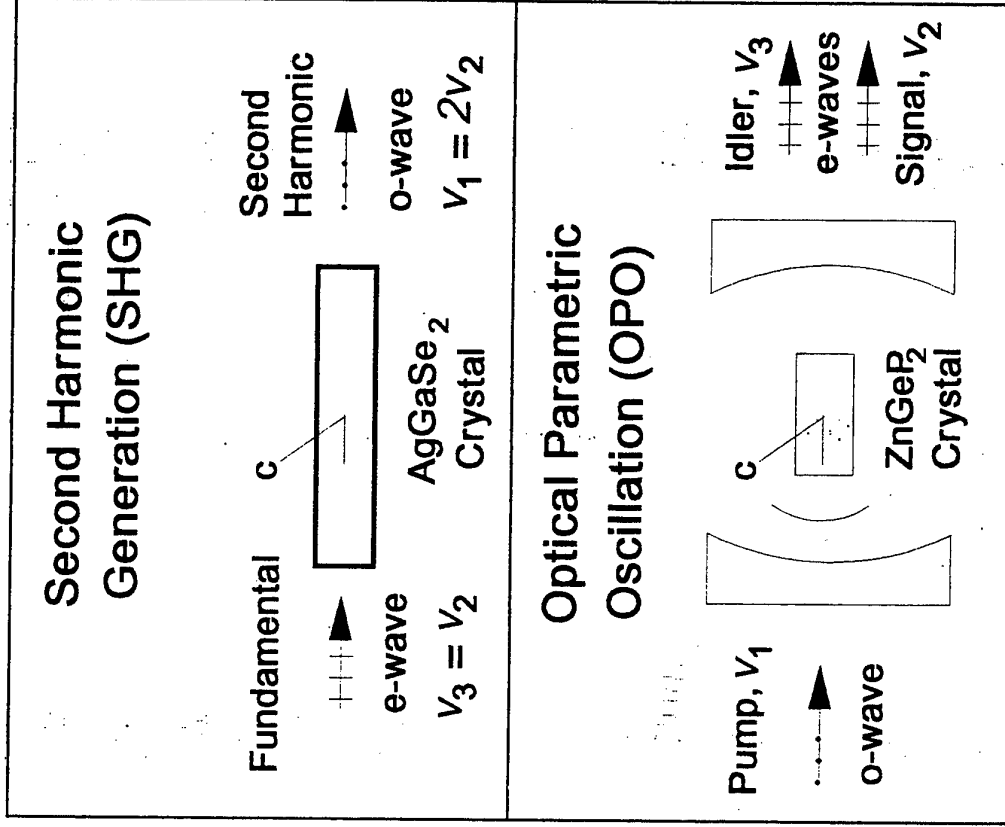
P. G. Schunemann and T. M. Pollak



Presented at the 1999 Nonlinear Optical Materials  
Workshop, (NLO 99), DERA, Malvern, UK, Sept. 20, 1999

Work supported L.N. Durvasula at DARPA (via the Air Force Research Laboratory Materials Directorate  
contract No. F33615 -94-C-5415) and Sanders Internal R&D Funding

- For the past 10 years we have focused on crystal growth and processing of bulk chalcopyrites for nonlinear optical (NLO) frequency conversion:
  - Frequency doubling of CO<sub>2</sub> Lasers (SHG)
  - "Wavelength doubling" of 2um solid state lasers (OPO)
- The Goal:
  - Produce efficient mid-IR lasers operating in regions of high atmospheric transmission
- Applications:
  - Laser radar, remote sensing, etc.



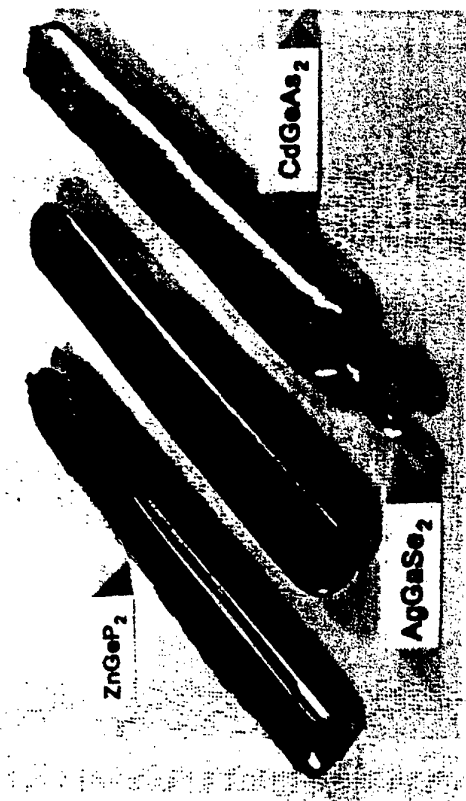
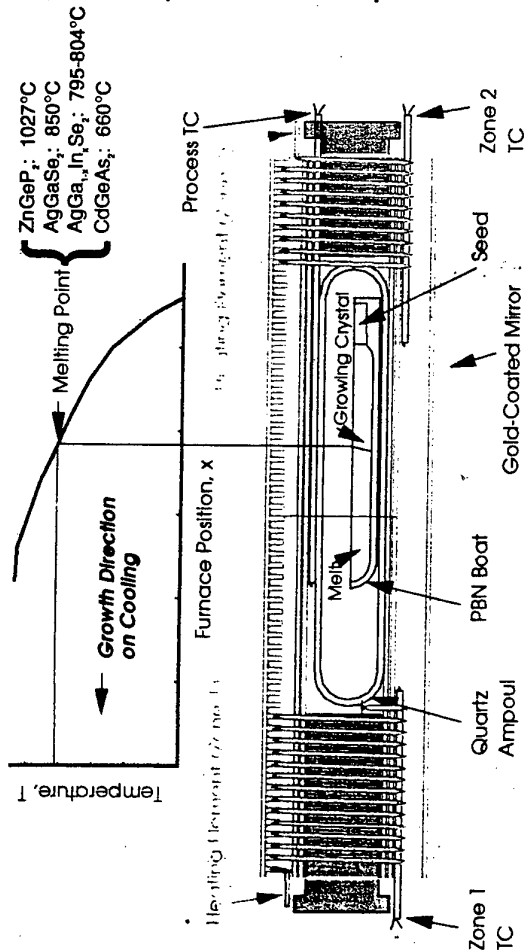
## 2 $\mu$ m-pumped OPO's

- Material of Choice: ZnGeP<sub>2</sub>
  - Highest NLO Coefficient with sufficient band gap ( $d_{14}=75$  pm/V)
  - High Thermal Conductivity (0.35W/cmK)
  - Reduced Losses ----> Efficient, High Power Output
- Alternatives for better performance:
  - **None:** Continue to Reduce ZnGeP<sub>2</sub> Near-IR Absorption

## CO<sub>2</sub> Doubling

- Material of Choice: AgGaSe<sub>2</sub>
  - Respectable NLO Coefficient (39 pm/V)
  - Wide transparency and phase-matching range (.78-18 $\mu$ m)
  - Low absorption Losses
- Alternatives for better performance:
  - CdGeAs<sub>2</sub>: Highest Nonlinearity ( $d_{14}=236$  pm/V)  $\blacktriangleright$  Reduce Absorption Loss
  - Ag(Ga,In)Se<sub>2</sub>: Adjust Birefringence for Noncritical Phase-Matching (NCPM)
  - ABX<sub>2</sub>: Continue Search for New Materials

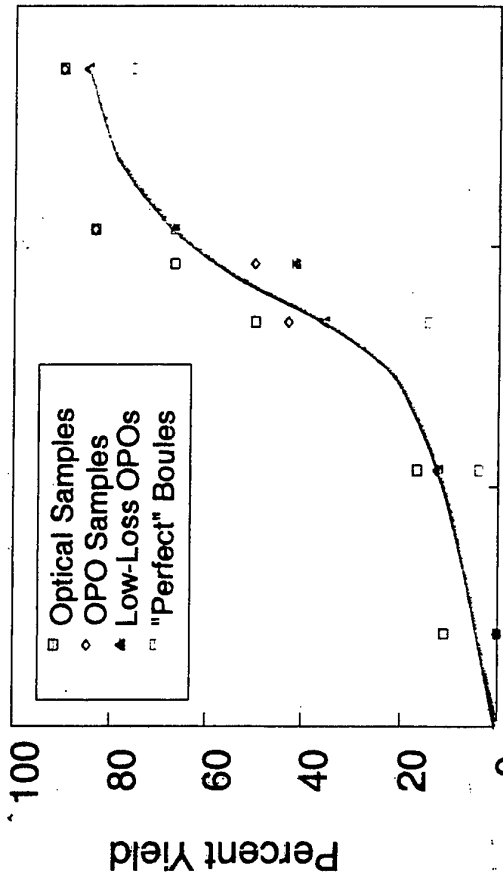
# Horizontal gradient freeze growth led to advances in NLO chalcopyrites



## HGF Approach: Key Aspects

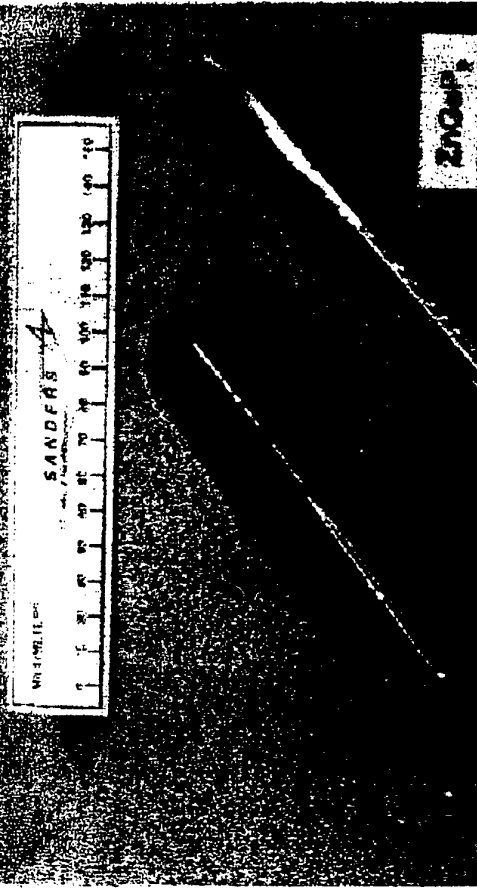
- **Low thermal gradients**
  - Minimize vapor transport
  - Eliminate cracking due to anisotropic thermal expansion
- **Transparent Furnace**
  - Simplifies the seeding process
  - Allows *in situ* monitoring of the S/L interface shape & position
  - Facilitates interactive growth (secondary grains can be re-melted)
- **Seeded growth**
  - Eliminates initial polycrystallinity due to supercooling
  - Optimizes orientation to accommodate negative c-axis thermal expansion
  - Enables growth along phase matching direction for max. device length & yield

## Improved Single Crystal Yield

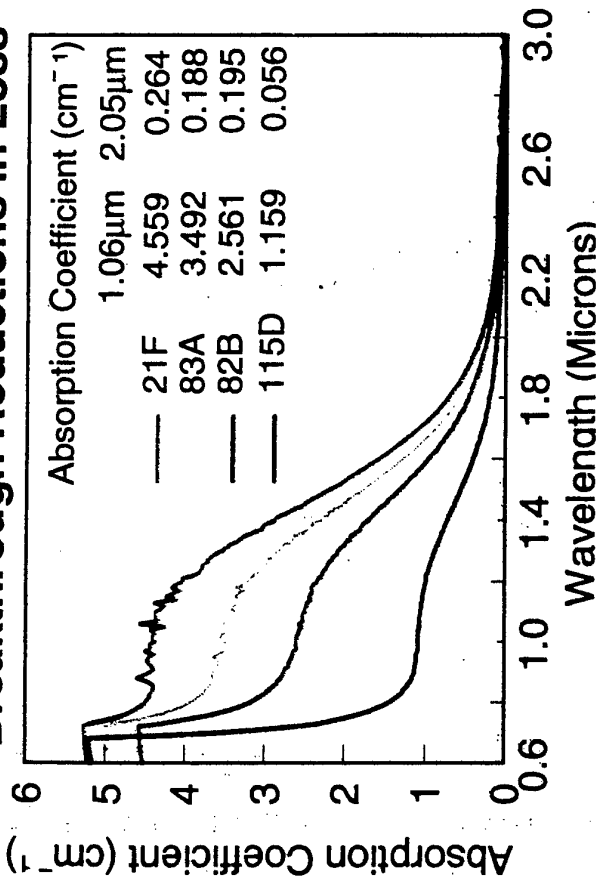


Dec-88 Sep-91 Jun-94 Mar-97  
Program Time Line

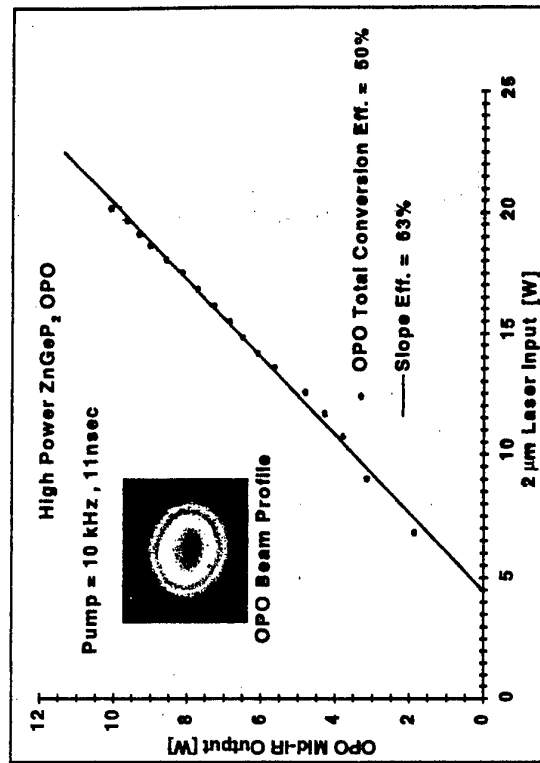
## Sealed Up Crystal Growth



## Breakthrough Reductions in Loss



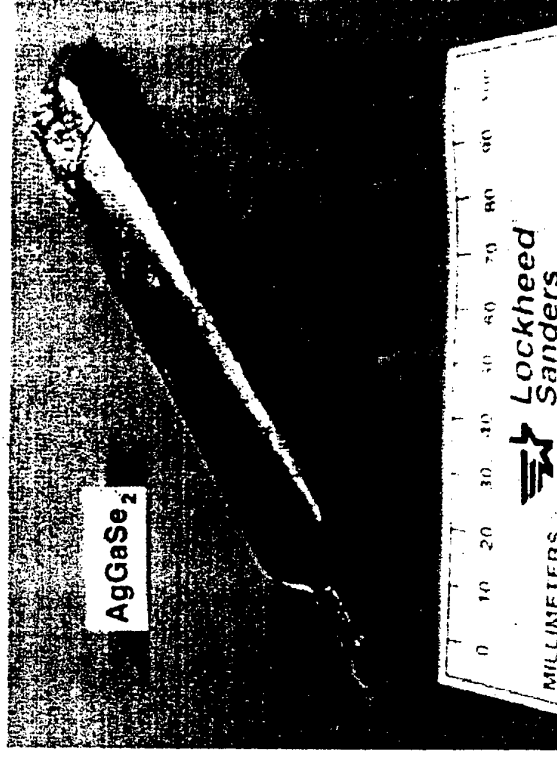
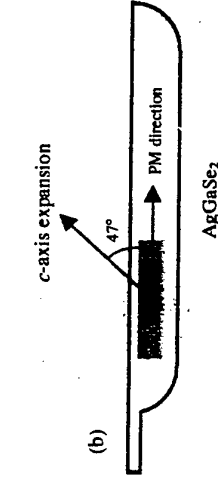
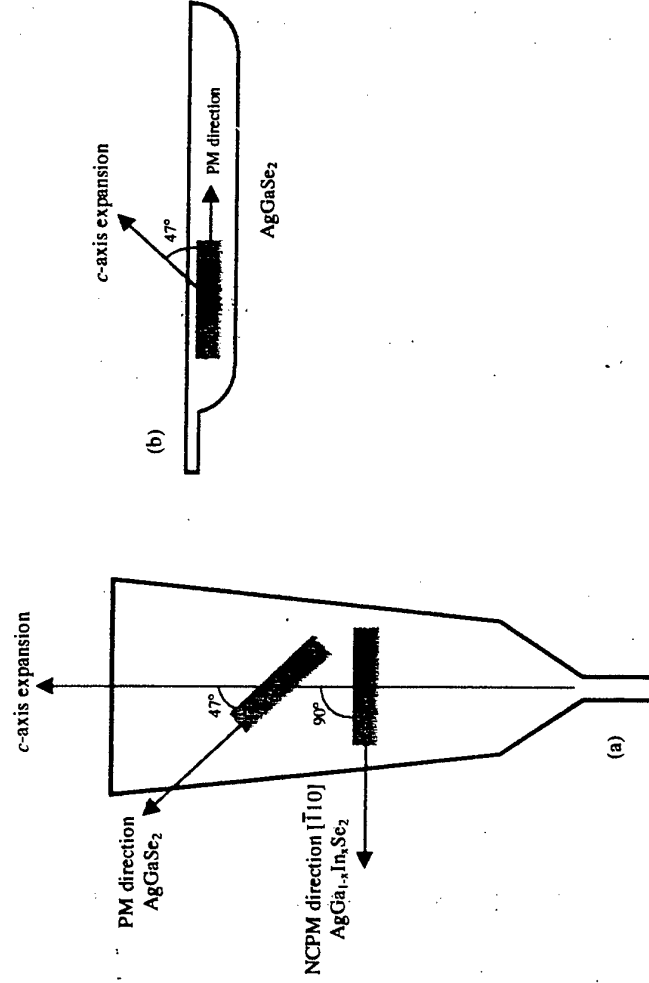
## Enhanced OPO Performance



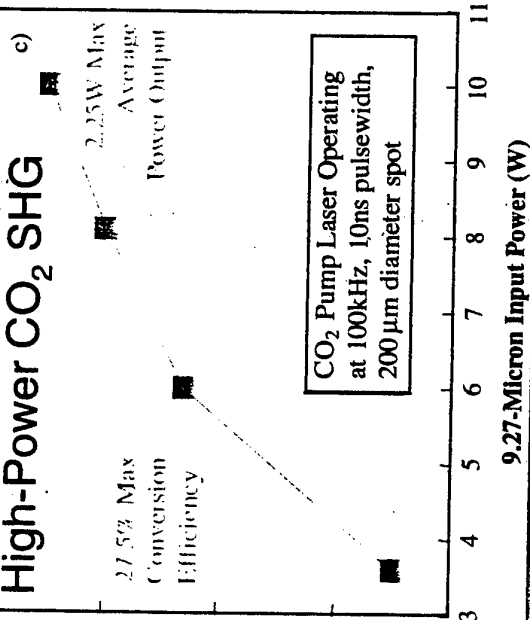
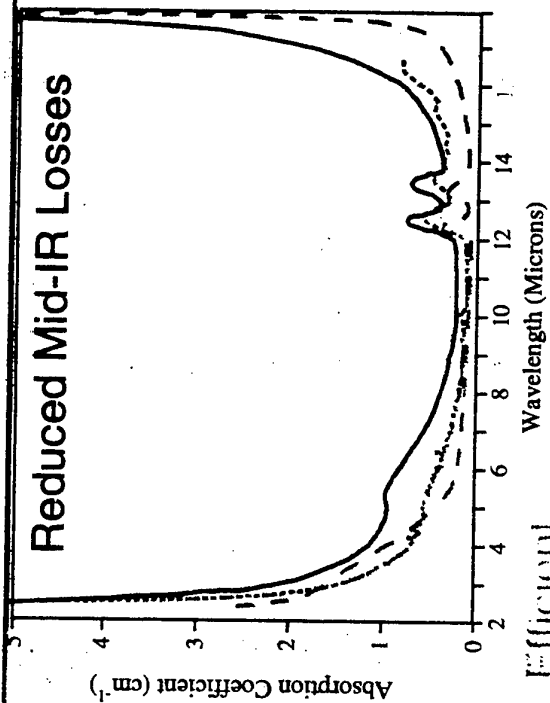


# “Phase-Matched” Crystal Growth of $\text{AgGaSe}_2$

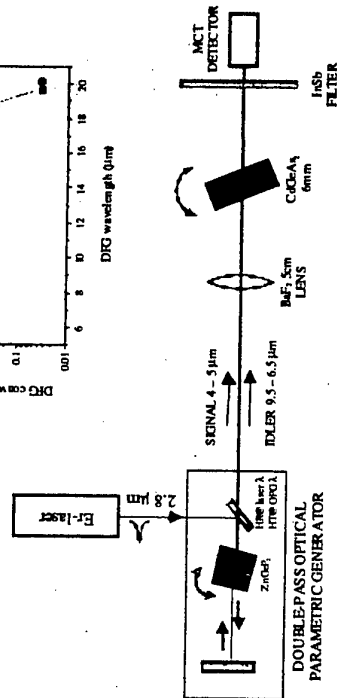
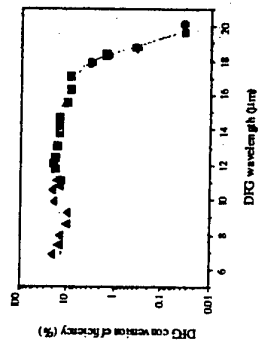
- Vertical Bridgman growth of  $\text{AgGaSe}_2$  requires seeding along c-axis for unconstrained thermal expansion during cool-down
- The Horizontal Gradient Freeze (HGF) technique allows “phase-matched” growth along device orientation, yielding longer interaction lengths and minimal waste



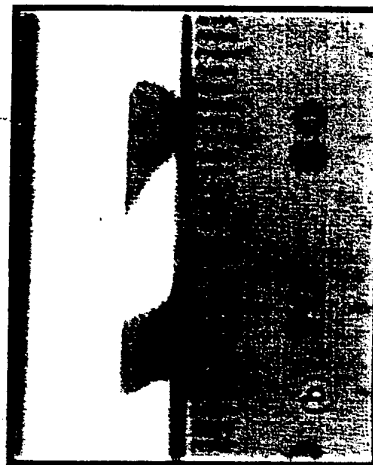
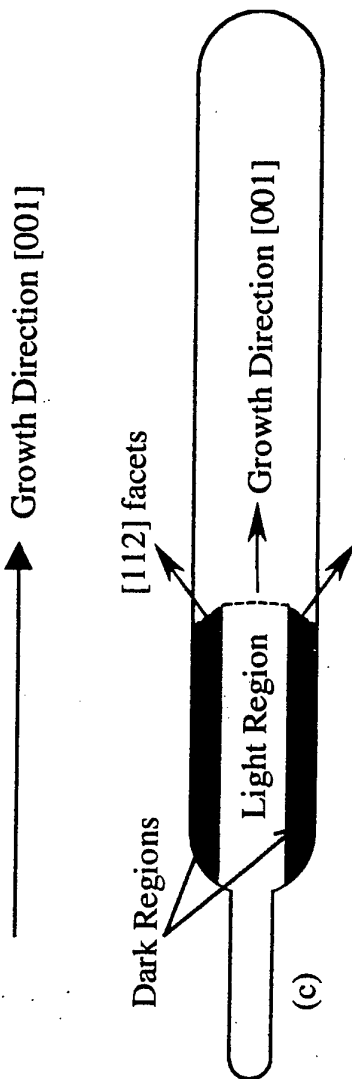
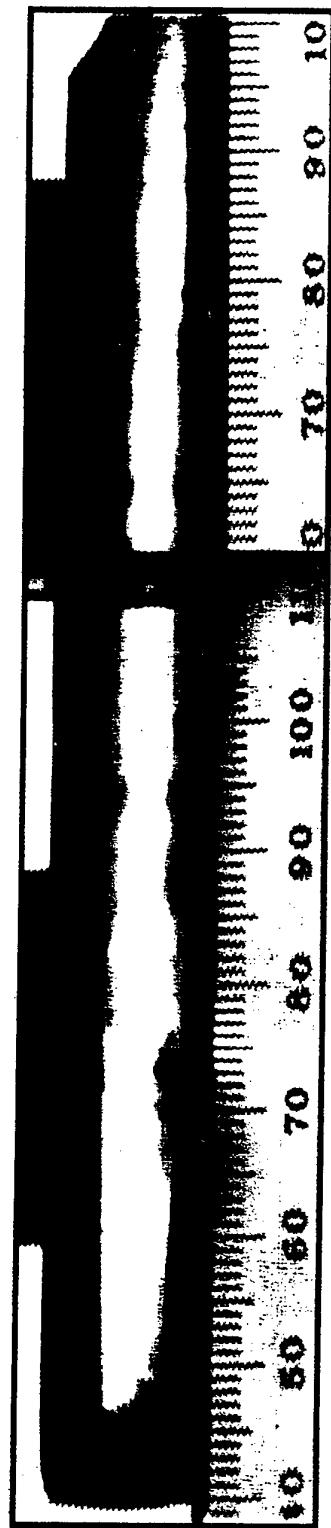
# CdGeAs<sub>2</sub>: Development Milestones



## Long-Wave DFG



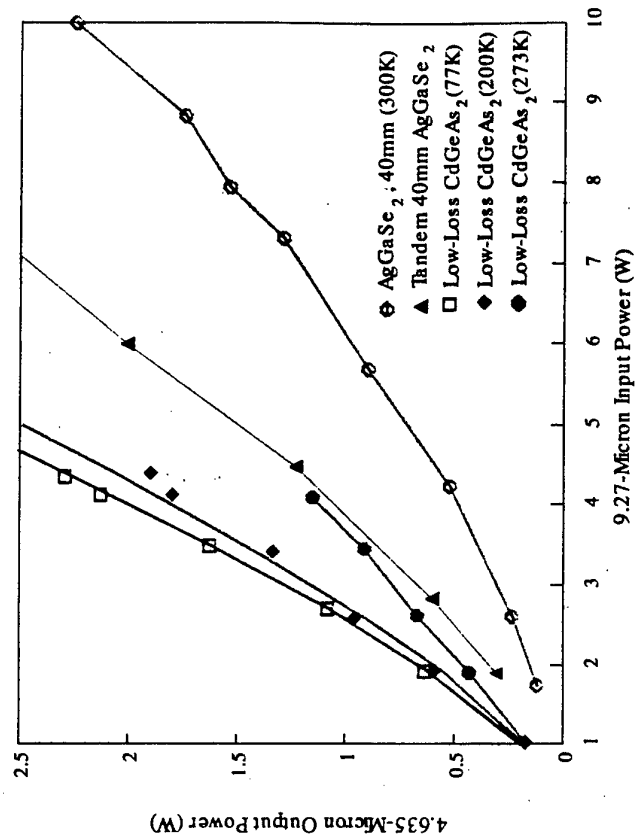
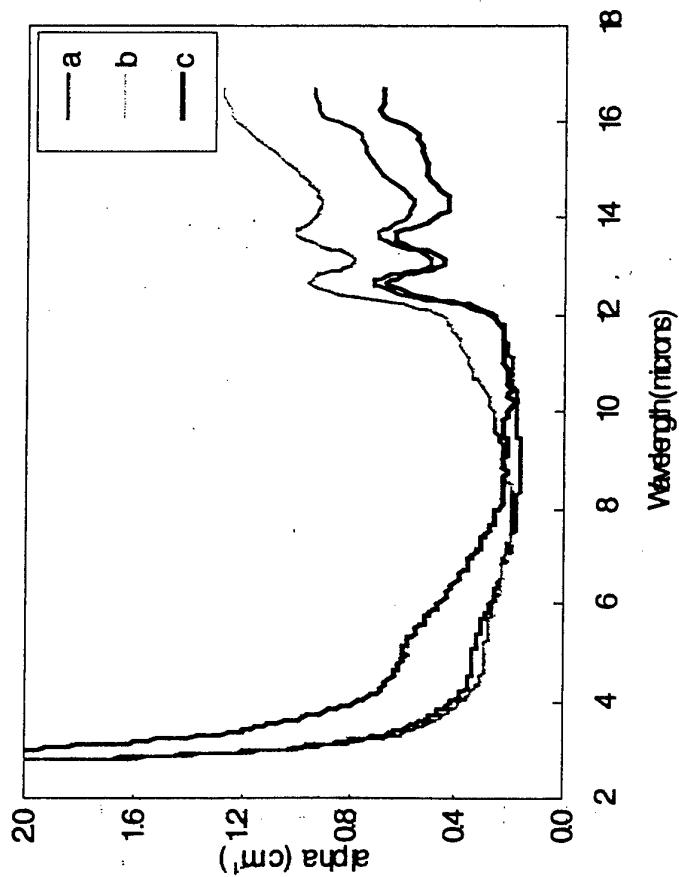
# Segregation of Absorbing Defects in CdGeAs<sub>2</sub>



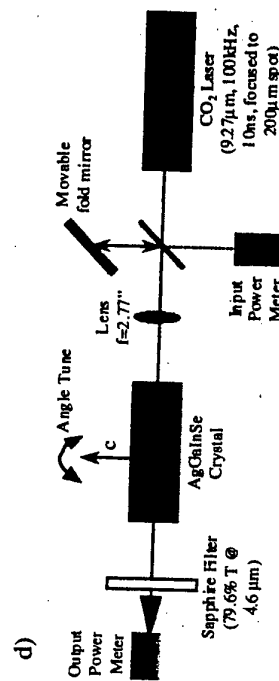
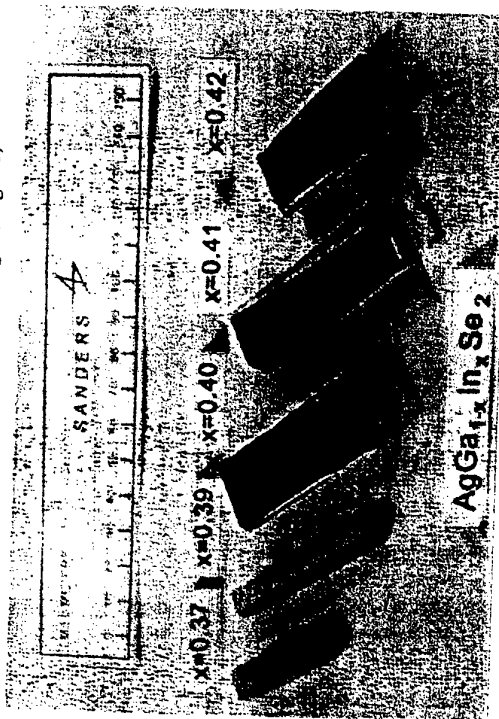
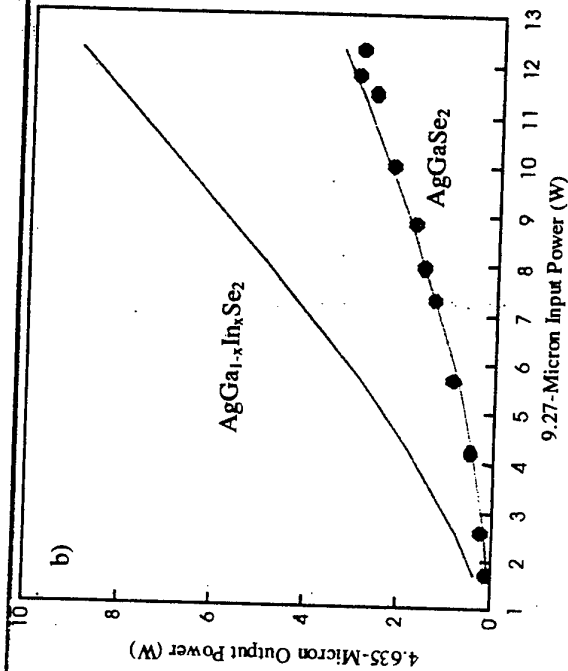
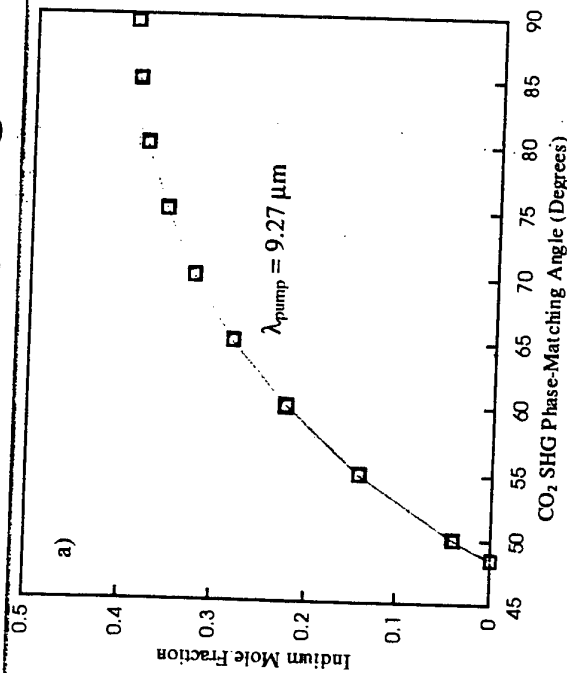
# CdGeAs<sub>2</sub>: Recent Advances

Reduced Mid-IR Absorption  
(Low-Loss Central Core)

Efficient CO<sub>2</sub>-Doubling:  
 $\eta = 53\%$  at 77K  
 $\eta = 28\%$  at 273K

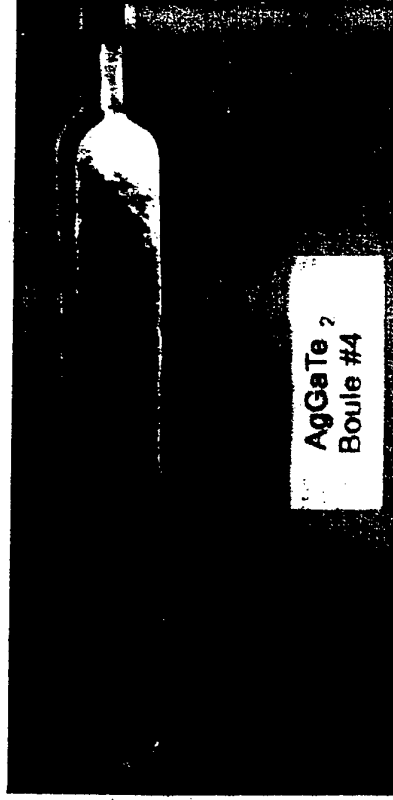
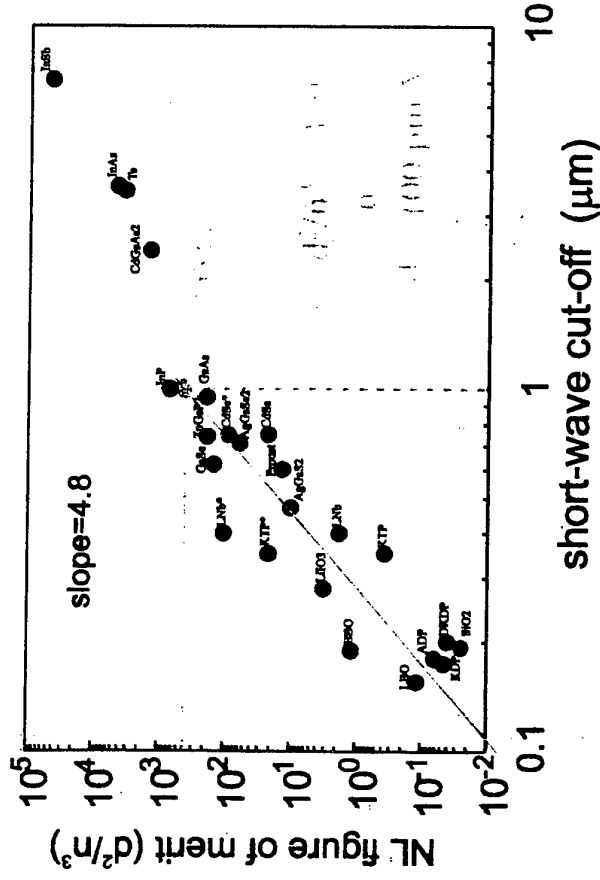


# AgGa<sub>1-x</sub>In<sub>x</sub>Se<sub>2</sub>: Engineered Birefringence for NCPM



NCPM at  $x=0.42$  (melt)  
Reflection & Scattering Losses  $\rightarrow \eta = 1.8\%$

# AgGaTe<sub>2</sub>: a promising new nonlinear optical crystal



- **Motivation:**
  - Telluride analog of  $\text{AgGaS}_2$  &  $\text{AgGaSe}_2$
  - Substitution by Te should triple the NL coefficient and shift the transparency range further into the IR ( $\sim 1\text{-}20\mu\text{m}$ )
- **Objectives of Research:**
  - Produce large, crack-free single crystals
  - Determine if birefringence is sufficient for phasematching
- **Approach:**
  - HGF Growth in Transparent Furnace
  - Fabricate prism, measure  $\Delta n$

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## Summary

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- Recent crystal growth advances have established chalcopyrites as the NLO materials of choice for mid- to far-IR laser frequency conversion:
  - Large crack-free single crystals (up to 16x28x140mm<sup>3</sup>) of ZnGeP<sub>2</sub>, AgGaSe<sub>2</sub>, and CdGeAs<sub>2</sub> can be reproducibly grown by the HGF technique
  - Substantial reductions in absorption and/or scattering losses have been achieved by feed purification, compositional control, & post-growth annealing
  - Improved crystal quality has resulted in outstanding NLO device performance
- The birefringence of mixed crystals (AgGa<sub>1-x</sub>In<sub>x</sub>Se<sub>2</sub>) can be engineered to achieve non-critical phase-matching (NCPM)
- The search for new materials has led to promising NLO crystals such as AgGaTe<sub>2</sub>, CdGa<sub>2</sub>S<sub>4</sub>, and CdGa<sub>2</sub>Se<sub>4</sub>
- Dy<sup>3+</sup>:CaGa<sub>2</sub>S<sub>4</sub> was demonstrated as the first sulfide mid-IR laser host

● ●

# **Development of Technology of ZnGeP<sub>2</sub> Single Crystal at Institute for Optical Monitoring SD RAS**

By Alexander I. Gribenyukov, Galina A. Verozubova, and Valentina V. Korotkova

Laboratory of Optical Spectroscopy

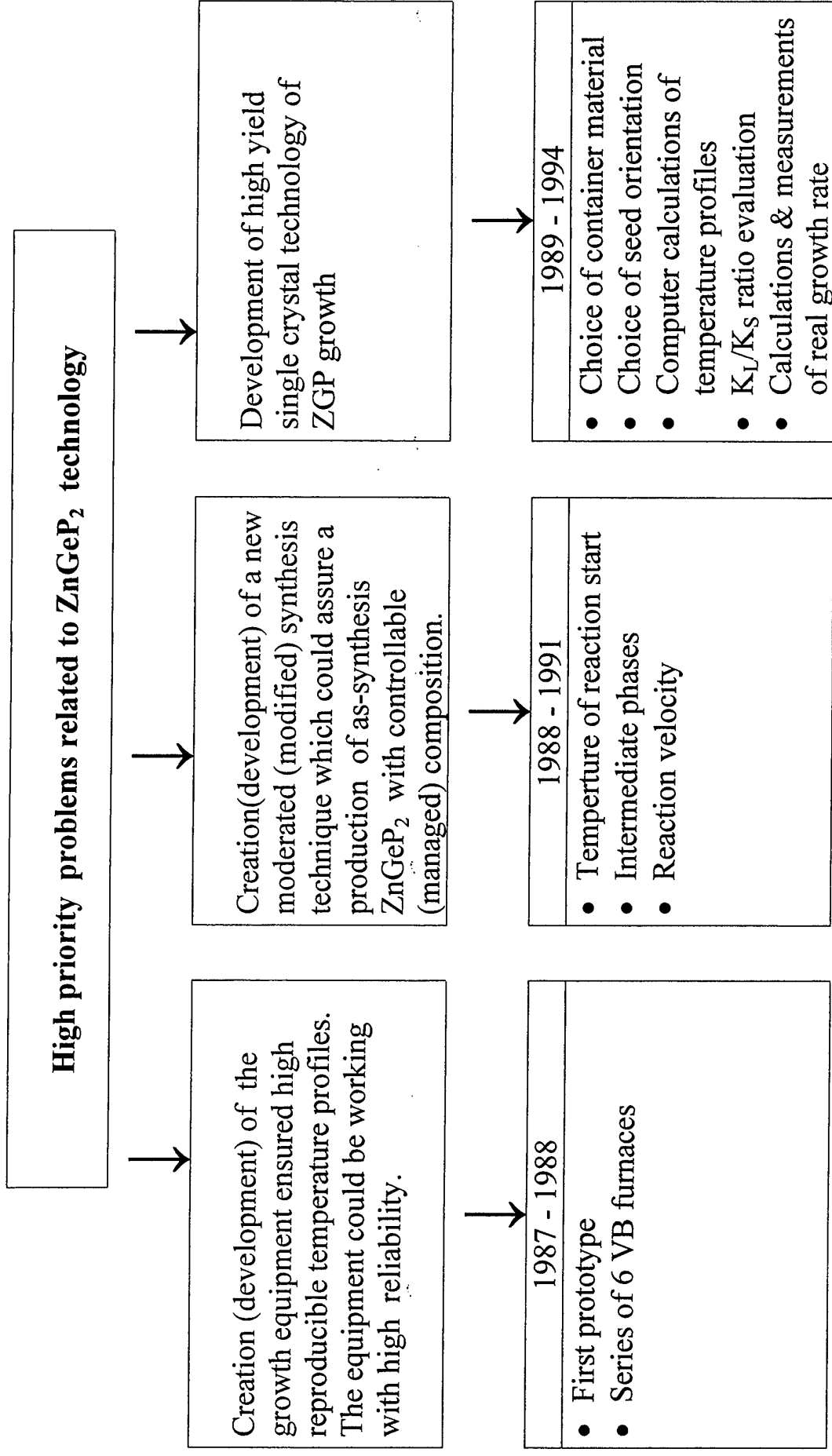
Institute for Optical Monitoring

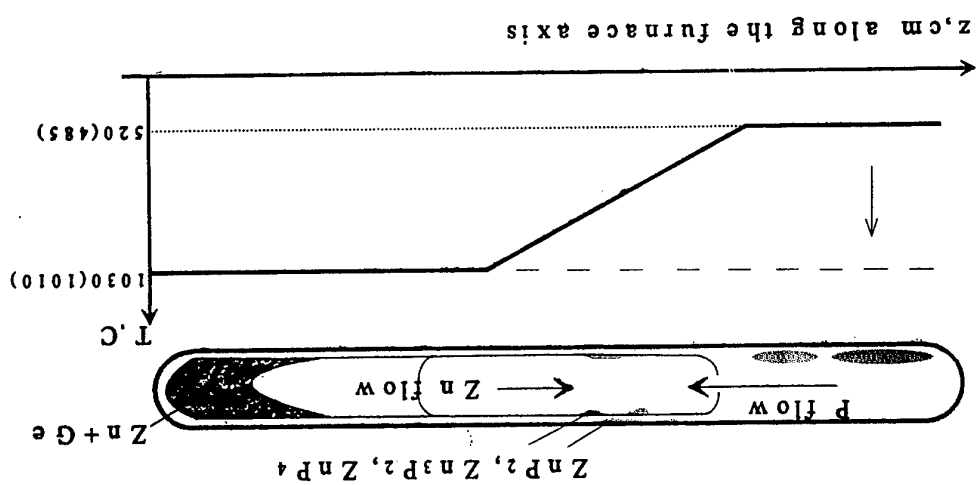
Tomsk Branch of Siberian Division

Russian Academy of Sciences



<b>IOM</b>	<b>The main directions of IOM activity &amp; interests</b>	<ul style="list-style-type: none"> <li>• Theoretical and experimental investigations of climatic and ecological changes under effect of natural and human factors</li> <li>• .....</li> </ul>
	<b>The basic theme of the noted direction of IOM's activity</b>	<ul style="list-style-type: none"> <li>• Development of new techniques and technologies for environment remote sounding</li> <li>• .....</li> </ul>
	<b>Divisions of the basic theme</b>	<ul style="list-style-type: none"> <li>• Development of optical monitoring systems based on new generation of tunable coherent radiation sources working in the middle IR spectral range.</li> <li>• .....</li> </ul>
	<b>The main task</b>	<b>Provision of IOM works on development and multiplication of the new optical systems by optical materials needed</b>
<b>LOS IOM</b>	<b>The basic theme</b>	<b>Development of high yield and reliable technologies for production optical materials with controllable physical properties</b>
	<b>The main points of contents of basic theme</b>	<ol style="list-style-type: none"> <li>1. Development of high yield technology of single crystal growth</li> <li>2. Investigations of possibilities of controllable manage by physical properties of material due to purposeful changes (variations) of technological parameters on all stages of crystals production – at synthesis, at crystal growth, at postgrowth annealing</li> </ol>



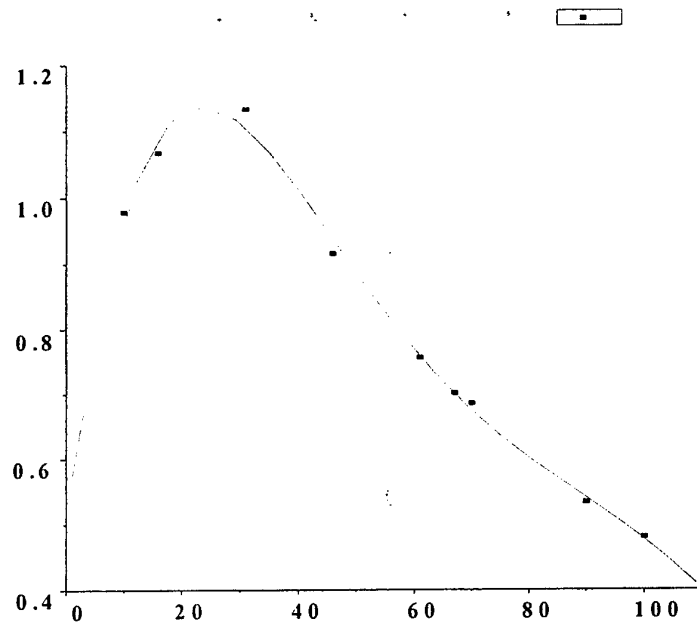


TR9,  $P_4$  and Zn flows in non-isothermal closed synthesis system.

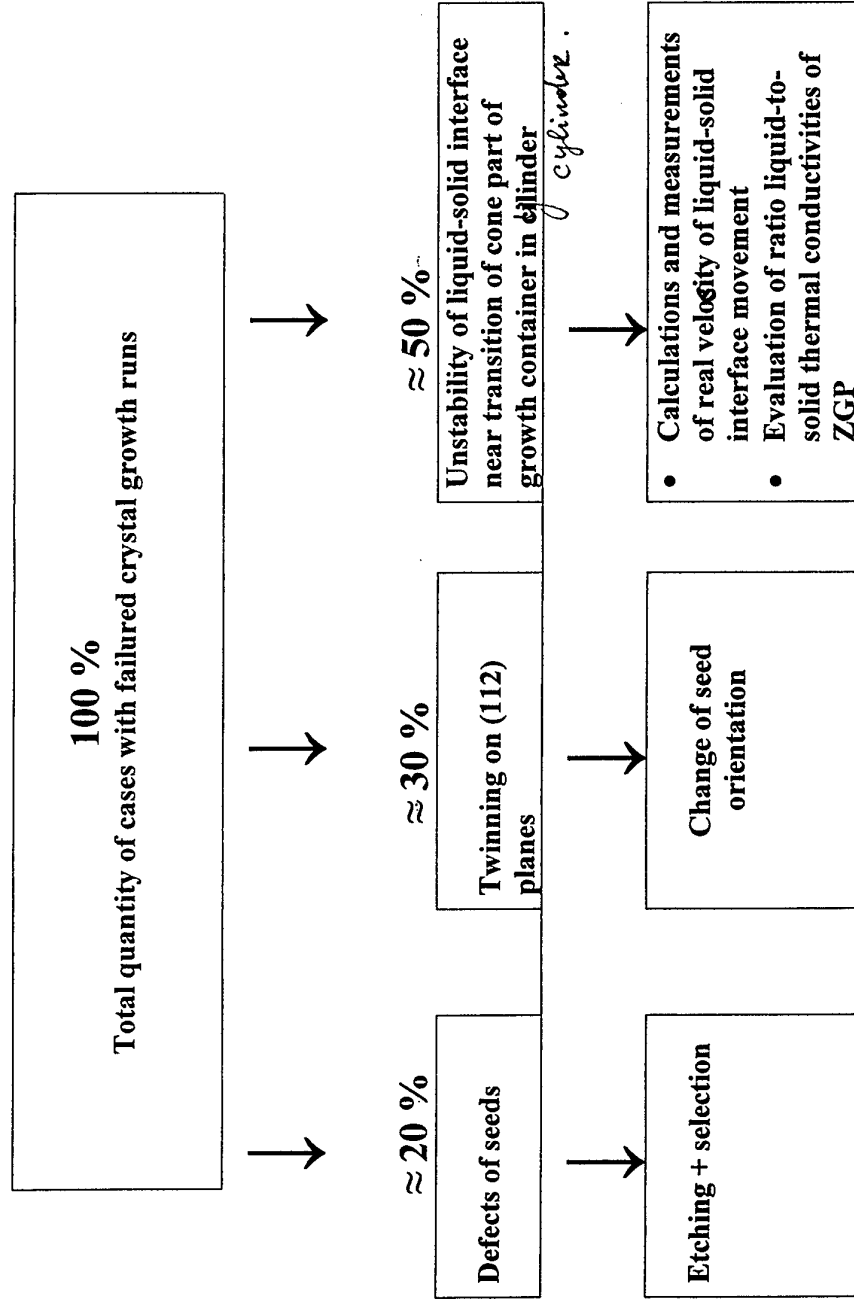
**TR10 – Time dependence of expenditure velocity of P4 vapour  
under pressure of 10-12 atm with Zn-Ge melt at 1010 C .**

**Hot zone temperature - 1010 °C**

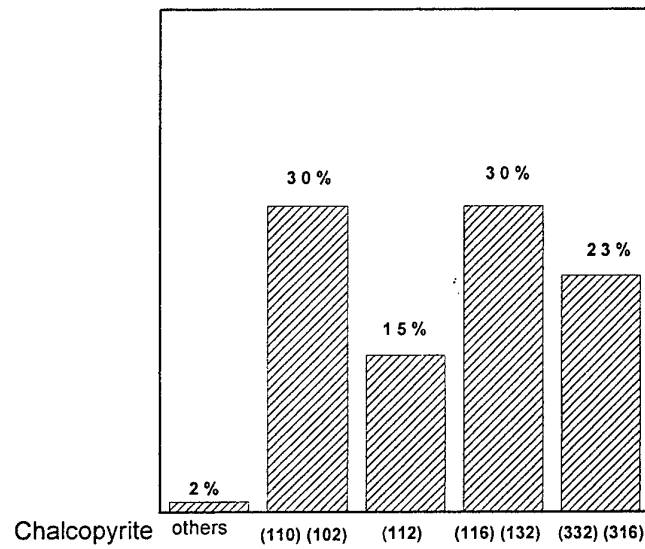
**Cold zone temperature - 515 °C (  $P_{P4} = 10$  atm)**



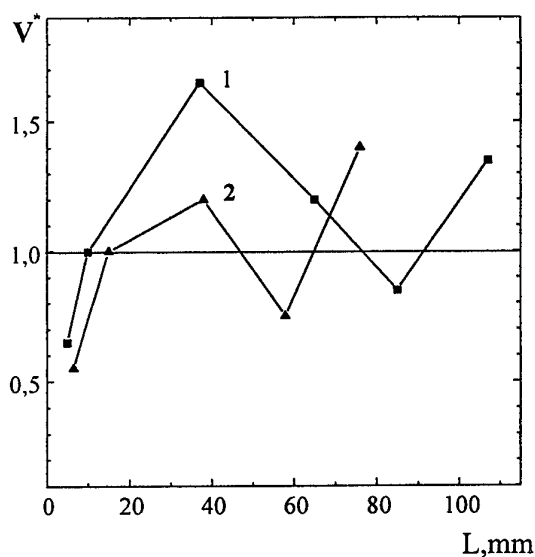
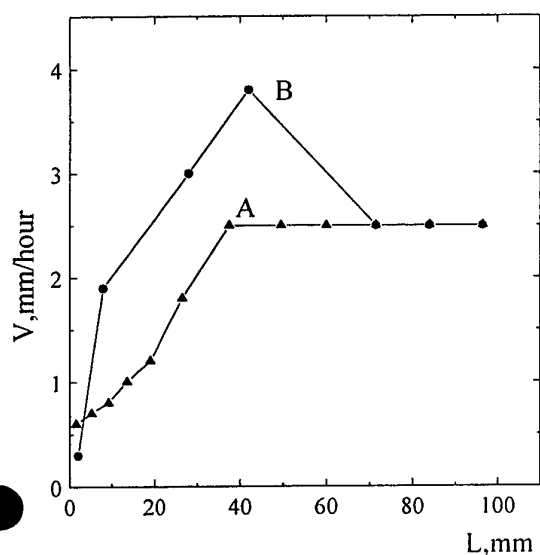
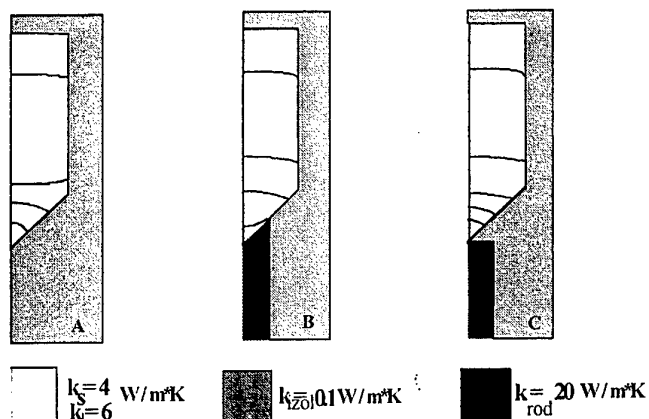
# Distribution of growth failures on causes



**TR12 – Probability distribution of ZGP crystalline blocks enlarged along growth axis in VB-method with spontaneous nucleation.**



# TR14 – The image of growth container surrounding structure for computer calculations.



## GF method:

The isotherm crystallization rate for container with A and B surrounding structure.

Cooling rate – 1 °/hour.

## VB method:

Distribution of isotherm crystallization rate (in units of mechanical movement rate) along crystal axis.

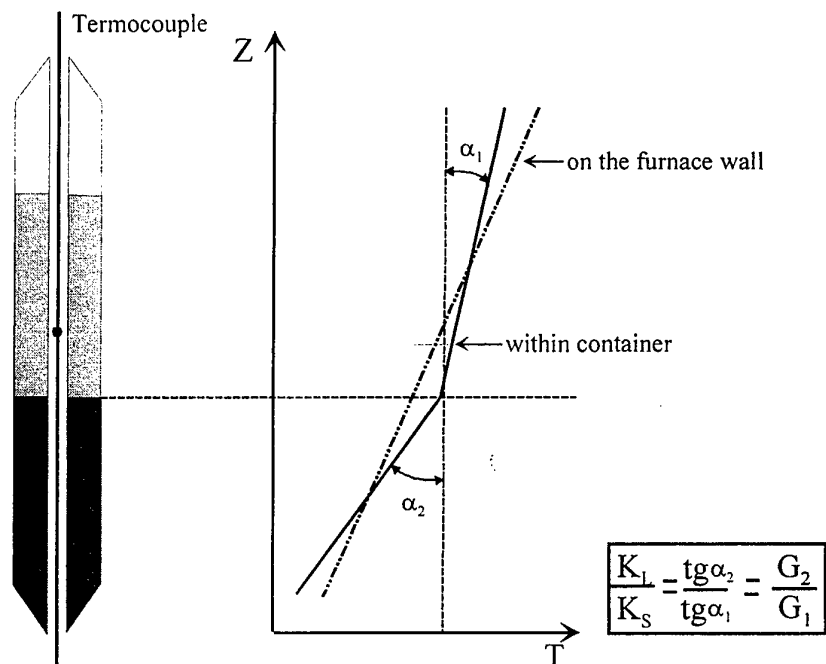
A-type of surrounding structure,  $\varnothing_{\text{furnace}}=6 \text{ cm}$ ;

1 – calculation's data,  $\varnothing_{\text{ampoule}}=3 \text{ cm}$ ;

2 - experiment's data,  $\varnothing_{\text{ampoule}}=2 \text{ cm}$ ;

steady

# TR15. Diagram of stady state temperature distribution



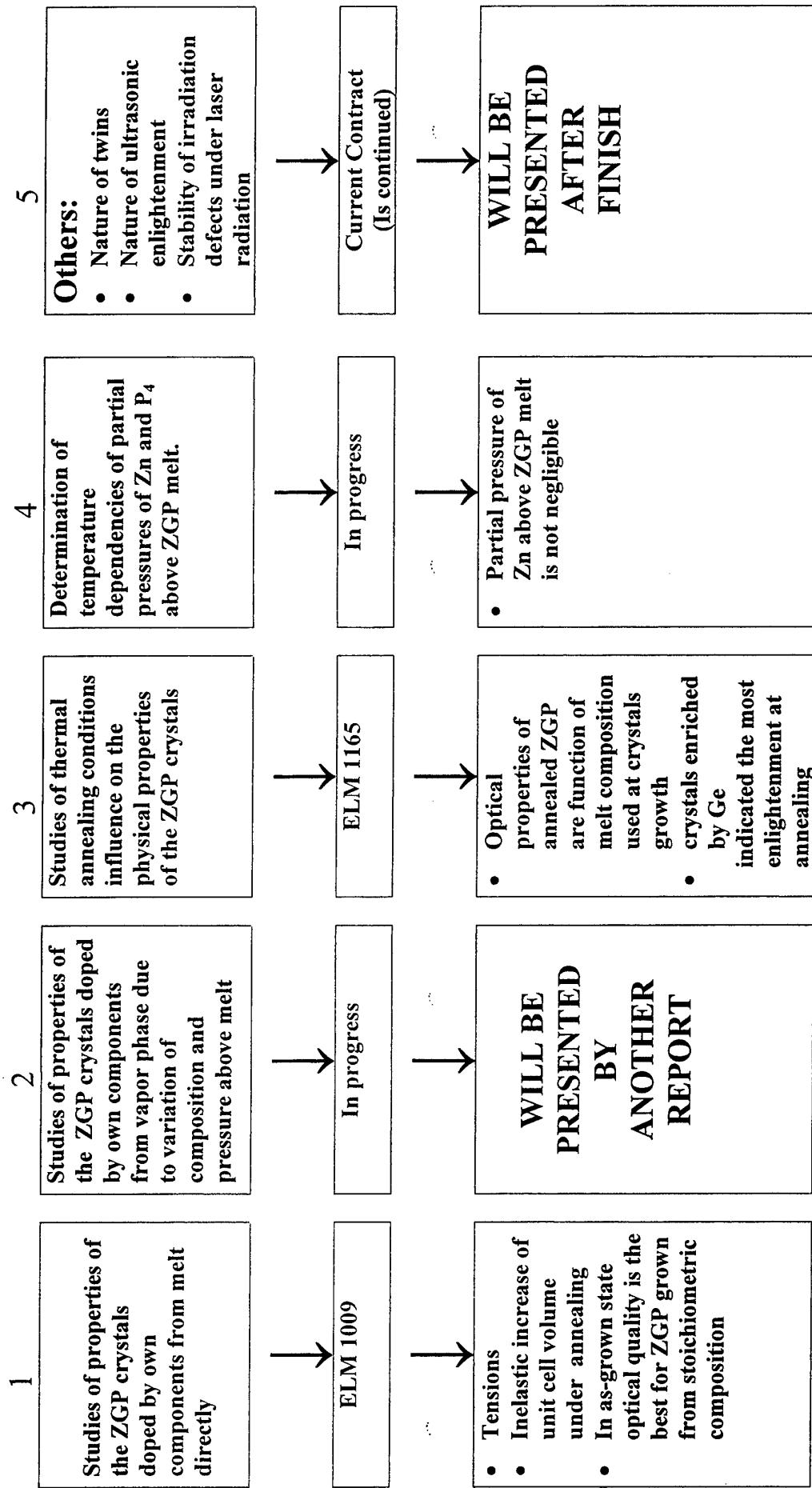
Material	Linear regression coefficients				Calculated values	
	Ts	Gs	TL	GL	T <sub>mel</sub>	K <sub>L</sub> /K <sub>S</sub>
Ge	942.42	4.6	940.51	2.7	937 ± 1	1.7 ± 0.1
GeP <sub>2</sub>	2009.9	16.24	1807.53	12.9	1027 ± 1	1.3 ± 0.1

Literature data for Ge : K<sub>L</sub>/K<sub>S</sub> = 2.93 [3]

Corrected ratio for ZnGeP<sub>2</sub> : K<sub>L</sub>/K<sub>S</sub> = 2.3



## The Second Long-term Program



TR17 Some Results of investigations of ZGP crystals doped from melt. Measurements were made in DERA. The crystals were grown in IOM

Crystal	Dopant (P <sub>4</sub> -pres. atm)	Temperat -gradient DT/dx, °C/cm	Unit cell volume, Å <sup>3</sup>	Unit cell volume difference (V <sub>lrf</sub> - V <sub>ff</sub> ) Å <sup>3</sup>	Absorpt. coeff. at 2.06 μm cm <sup>-1</sup>	Absorpt. coeff. difference α <sub>lrf</sub> - α <sub>ff</sub> cm <sup>-1</sup>	Derivative da/dV, cm <sup>-1</sup> Å <sup>-3</sup>	Unit cell volume after annealing Å <sup>3</sup>	Absorpt. coeff. after annealing cm <sup>-1</sup>
89/3 ftf	0.2 wt%Ge	5.2	319.94861	-0.03872	0.431	0.016		320,234	0.27 -meas. <u>0.298-calc.</u>
89/3 ltf	(7.5)	15.4	319.90989		0.449				
91/2 ftf	Stoich	1.5	319.92818		0.332			320,332	0.36 -meas. <u>0.764-calc.</u>
91/2 ltf	(7.1)	7.5	320.04824	+0.12000 6	0.461	0.129	<u>+1.07</u>		
93/3 ftf	0.2 wt%Zn	2.5	319.98361		0.615			320,190	0.53-meas. <u>1.11-calc</u>
93/3 ltf	(3.8)	>20	319.93989	-0.04372	0.510	- 0.105	<u>+2.4</u>		

Seeds orientation is (116) for all grown crystals.

Annealing result in an increase of unit cell volumes, but expected change of absorption coefficient with the unit cell volume indicated only for sample enriched by Ge.

**ZGP GROWTH FROM MELT:  
THE VAPOUR PHASE COMPOSITION AND CRYSTAL  
PROPERTIES**

**G.A. Verozubova  
A.I. Gribenyukov  
Yu. F. Ivanov\***

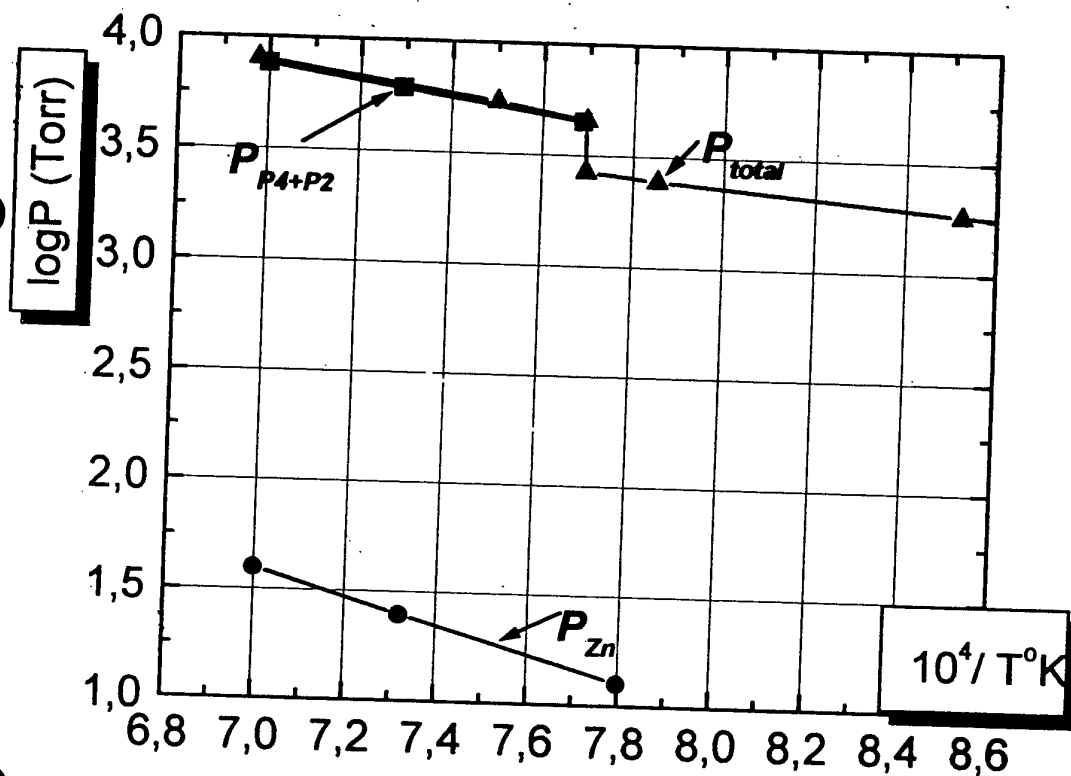
**Institute for Optical Monitoring SD RAS  
\*Tomsk Polytechnical University**

**in collaboration with A.Vere, DERA, Malvern**

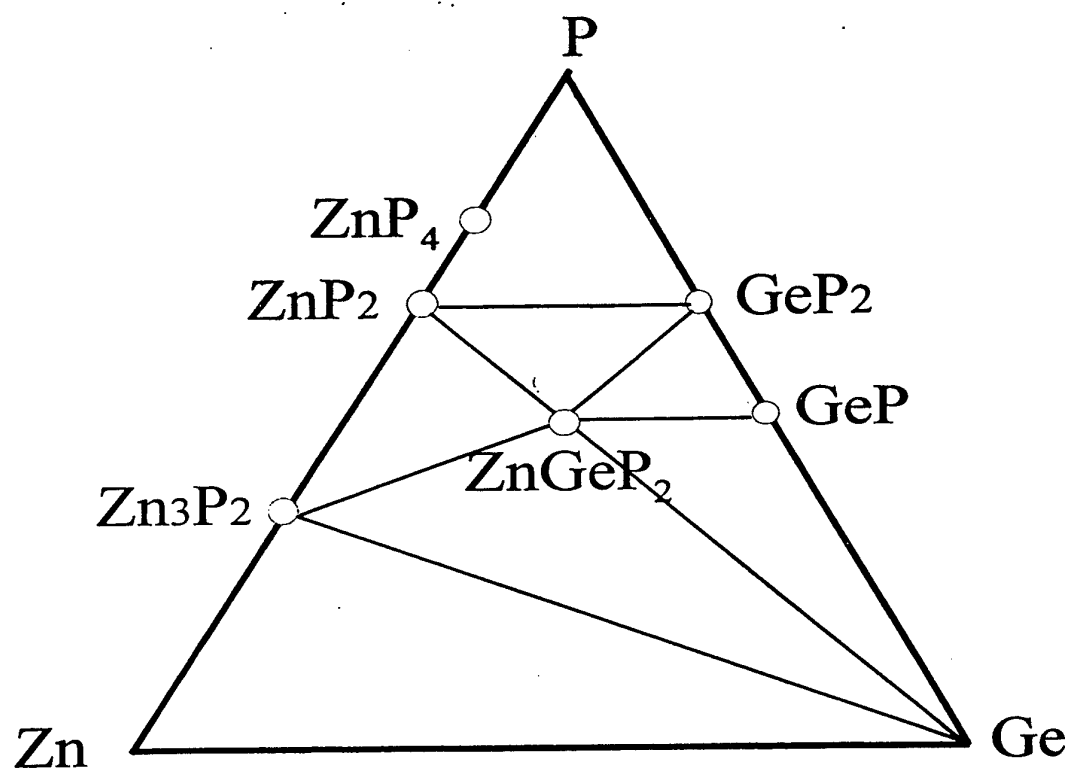
***The work was fulfilled under financial support  
DERA, United Kindom***

327°C –  $\text{ZnGeP}_2$  starts to decompose

1038°C –  $\text{ZnGeP}_2$  melting point (Seb Fiechter, 1996)



The total pressure above  $\text{ZnGeP}_2$  -  $P_{total}$  (Buehler, 1971) and partial pressures of Zn -  $P_{Zn}$  and P -  $P_{P4+P2}$  calculated from the regular solution theory (Roenkov, 1975):  $P_{Zn} = 18 \text{ Torr}$  at 1068°C



The Zn-Ge-P phase triangle

## Experimental details

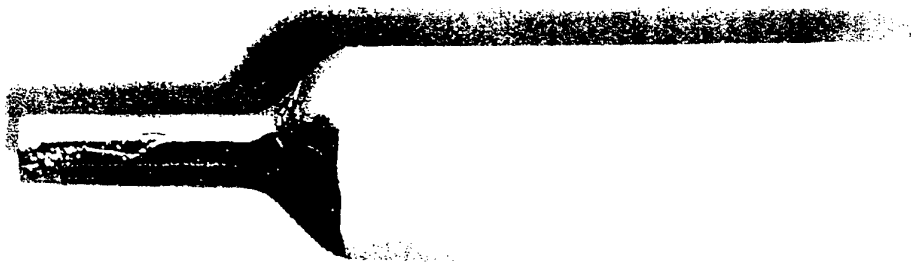
Synthesis: modified two-temperature technique, allowing to produce more than 500 gms of the material in one process

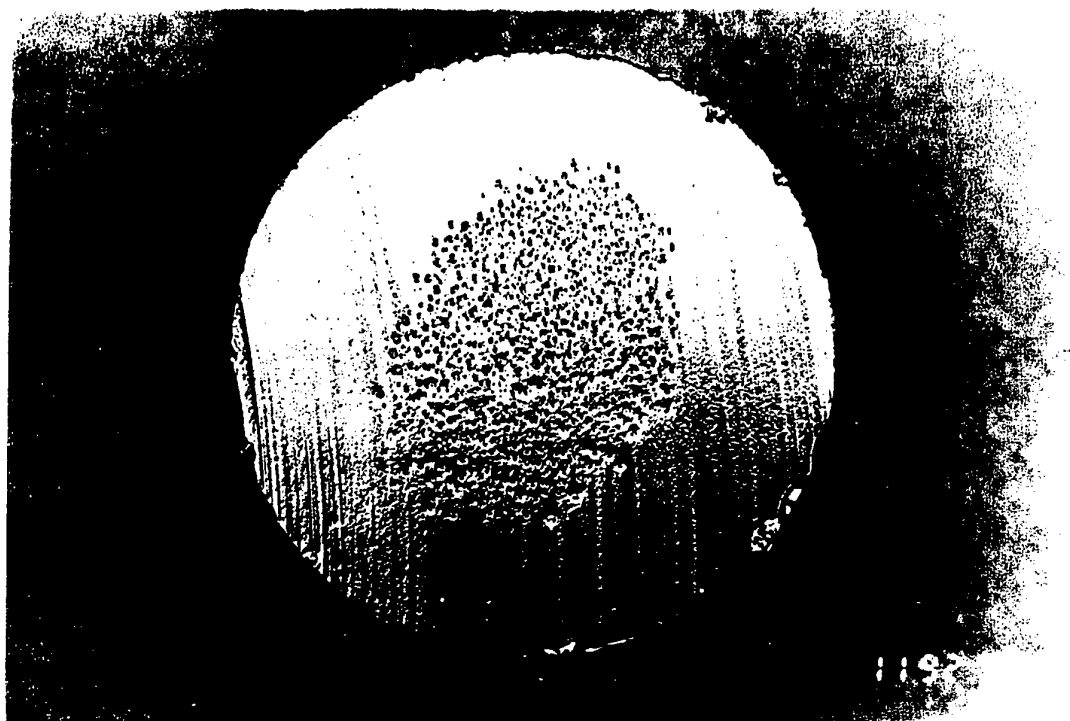
Growth: vertical Bridgman technique, (100) seeds

TABLE 1. Crystal growth conditions.

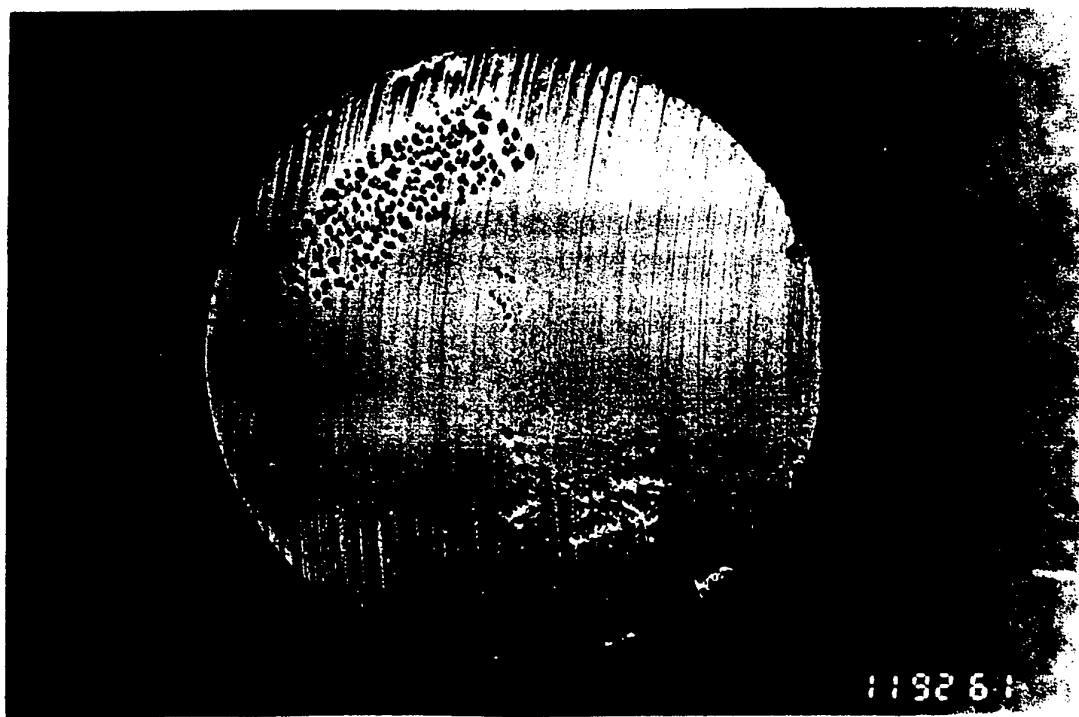
NUMBER OF CRYSTAL	HOT ZONE TEMPERATURE °C	COLD ZONE TEMPERATURE °C	PHOSPHORUS CHARGE (g)	ZINC CHARGE (g)	PHOSPHORUS CHARGE (g)	ZINC CHARGE (g)	REMARKS
1	1060	990	6	0.5	6.9	1.1	Gas pockets
2	1060	1000	3.5	1.0	7.2	-	No pockets Single phase
3	1060	950	10	0.5	-	-	Ge eutectic on the top of the crystal

\*  $P_{P_4}$  ( $P_{Zn}$ ) - pressures of phosphorus (zinc), created by additional charges of P (Zn), and calculated from the ideal gas law.



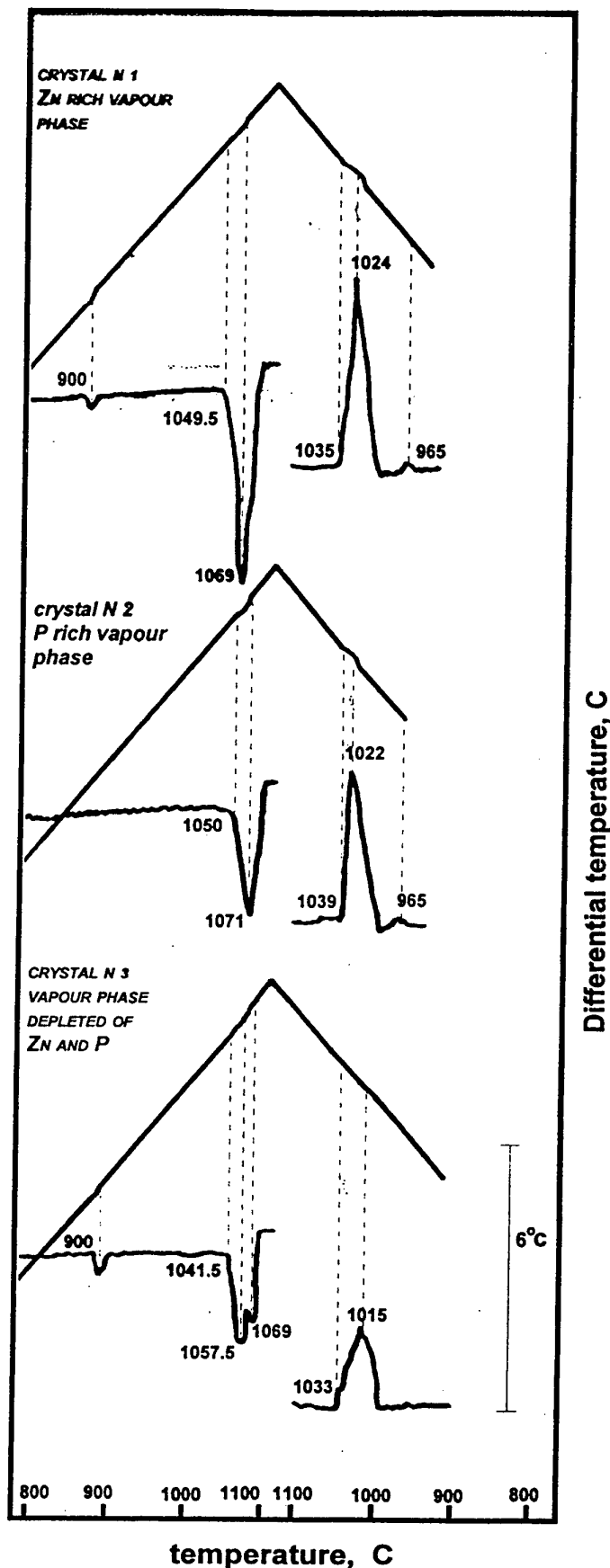


1 cm



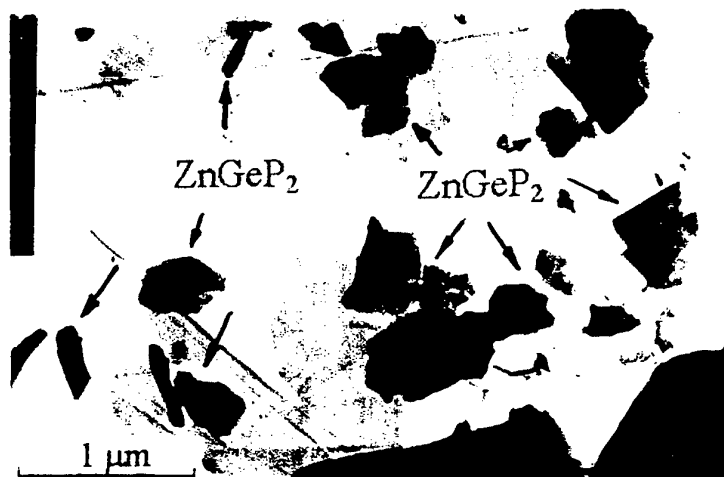
ZnGeP<sub>2</sub> slices after chemical etching





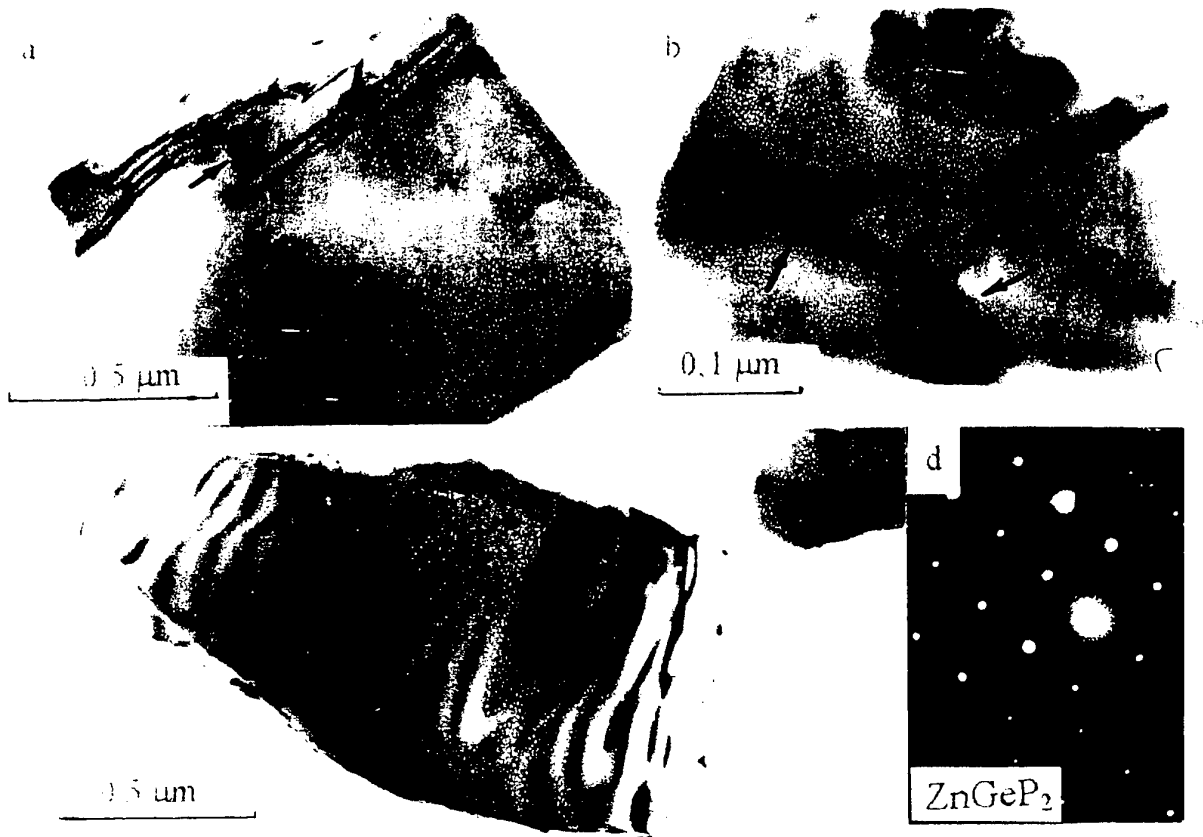
DTA curves of ZGP grown under various pressures of Zn and P

Experimental details: the weight of the studied samples - 0.5 g, heating and cooling rates - 7.5 deg/min  
reference material -  $Al_2O_3$ , speed of the paper movement - 1 mm/min

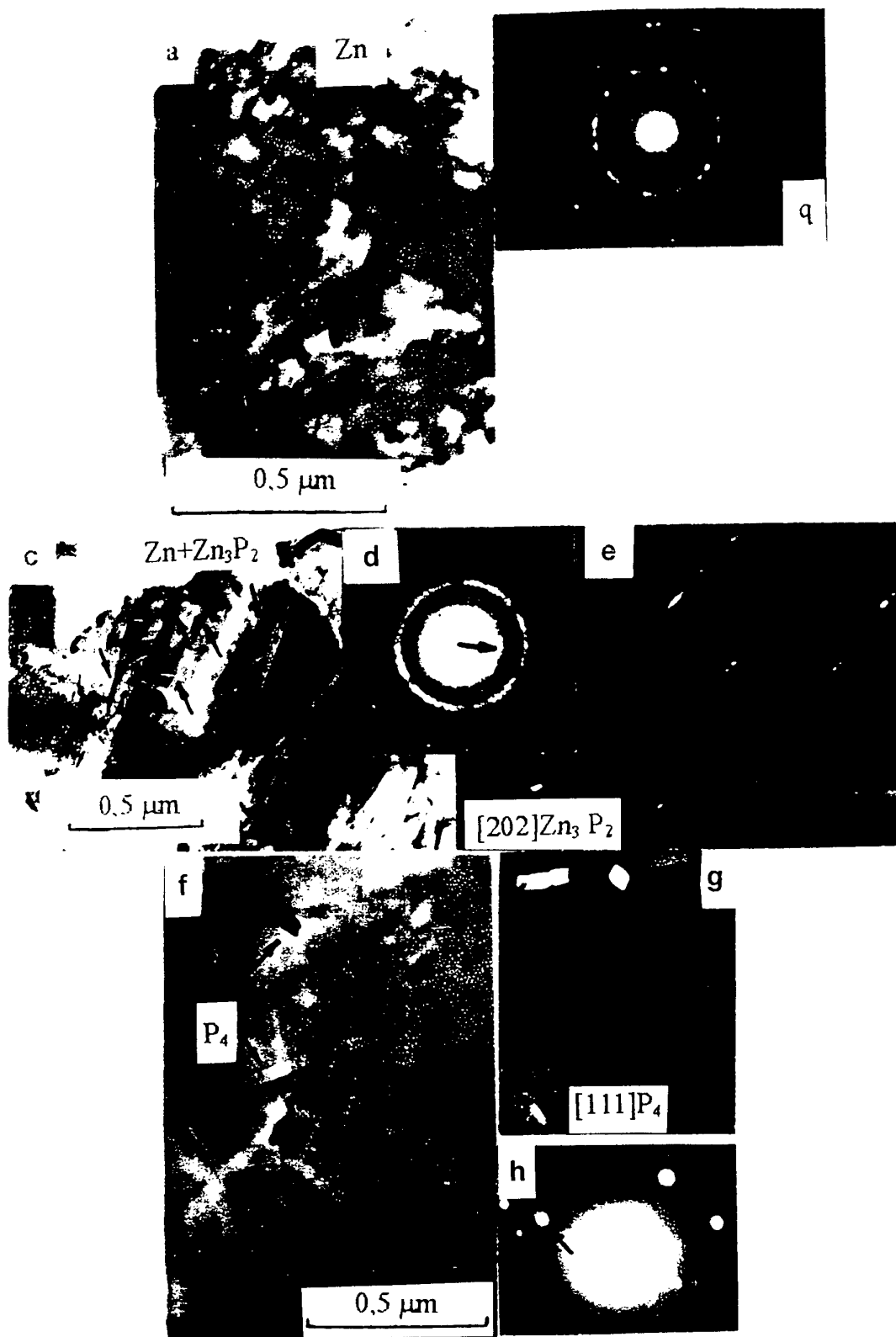


Fragments of failure (damage) of the bulk ZGP specimen  
arranged on the carbon substrate

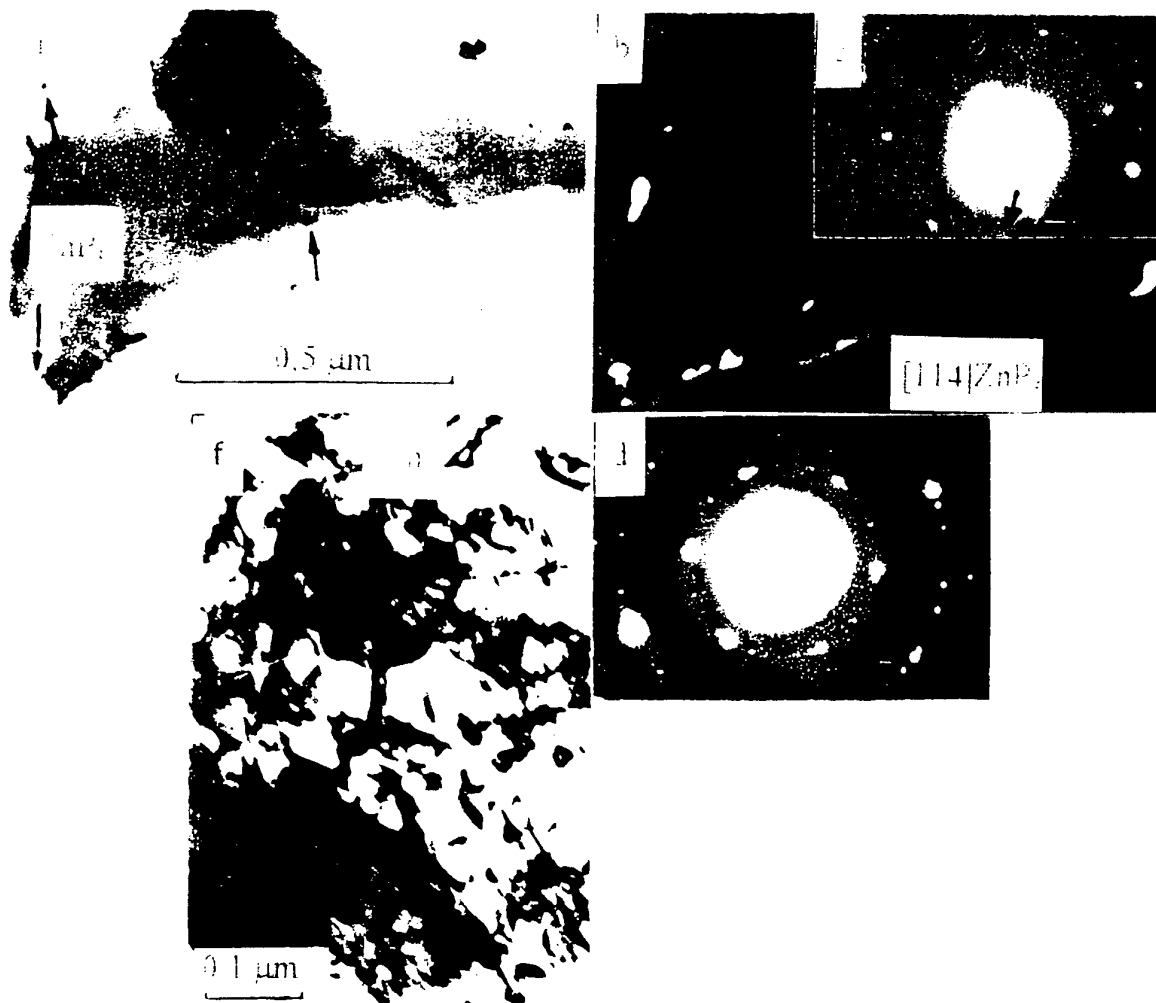
*Electron microscope EM-125  
Accelerating voltage 125 kV  
Working Magnification 65-85 000  
Resolution 7-10Å*



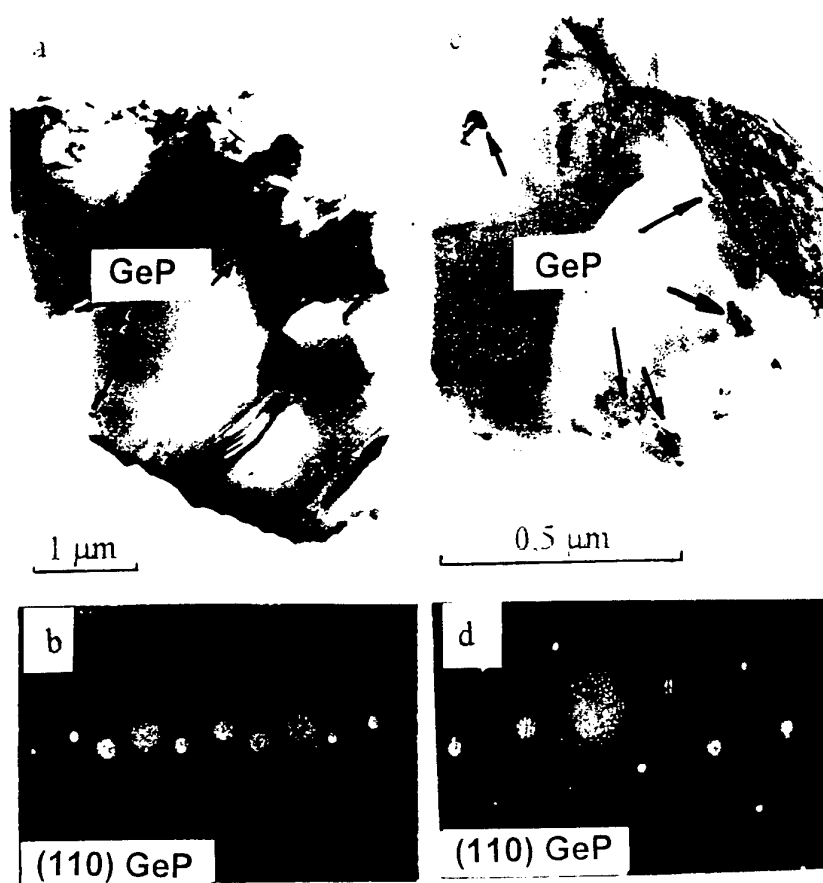
Microscopic image of ZnGeP<sub>2</sub>



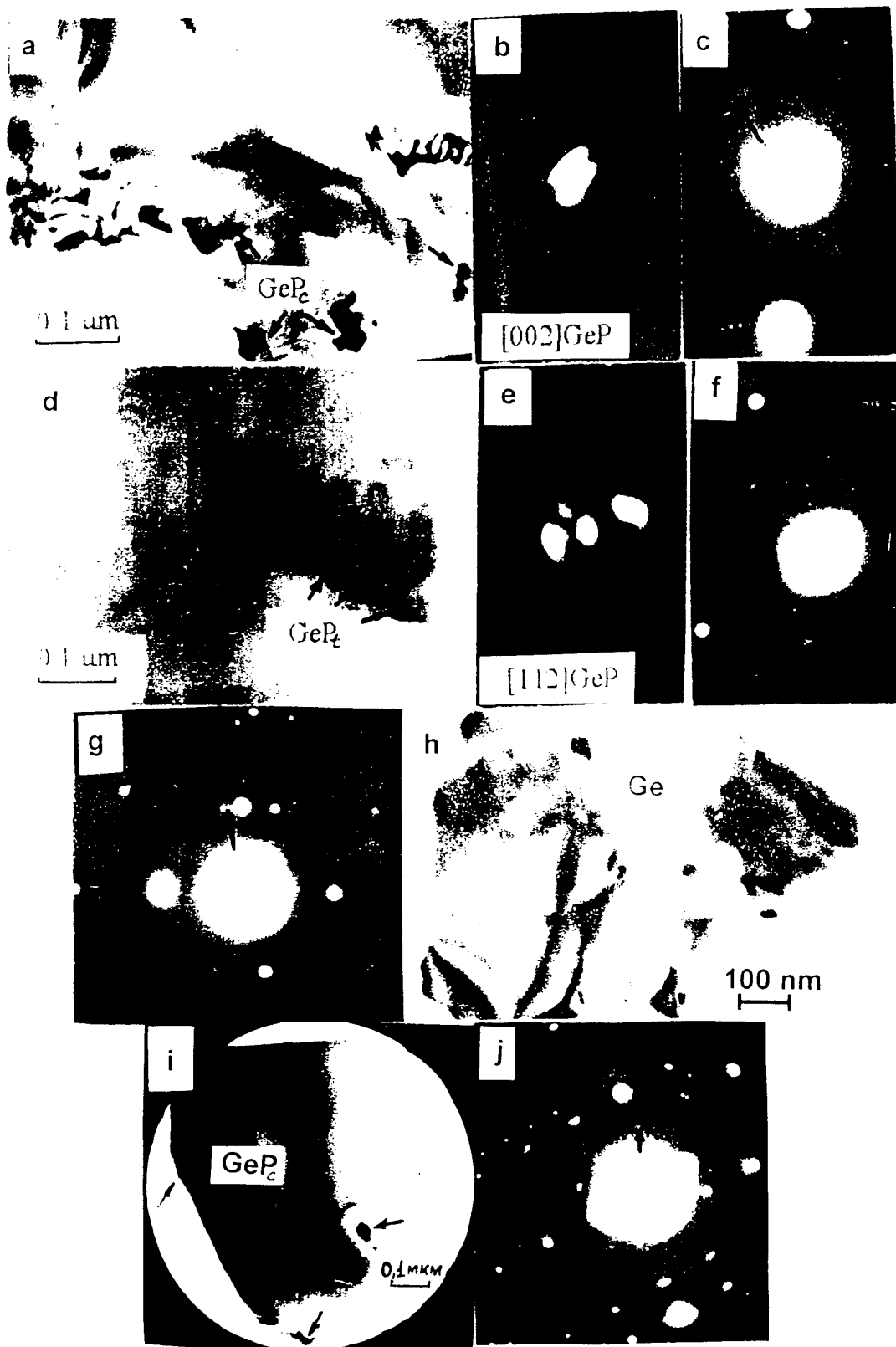
Microscopic image of ZnGeP<sub>2</sub> grown under Zn rich vapour phase (as- grown crystal N1)



Microscopic image of ZnGeP<sub>2</sub> grown under Zn rich vapour phase (annealed crystal N1)



Microscopic image of ZnGeP<sub>2</sub> grown under the phosphorus pressure of 7.2 atm (crystal N2)



Microscopic image of ZnGeP<sub>2</sub> grown under the vapour phase depleted of volatile components (crystal N3)

TABLE 2. The vapour phase composition during growth and the phase composition of precipitates.

NUMBER OF CRYSTAL	P <sub>P4</sub> ATM	P <sub>Zn</sub> ATM	EXTERNAL VIEW OF THE GROWN CRYSTAL	PHASE COMPOSITION OF PRECIPITATES	SIZES OF PRECIPITATES
1	6.9	1.1	Gas pockets	Zn	Ø 1-1.5 mkm
				Zn <sub>3</sub> P <sub>2</sub> ZnP <sub>2</sub> P	10 nm×1 mkm 25×300 nm
2	7.2	-	No pockets Single phase top	GeP cubic	Ø 0.2-0.3 mkm Ø 80-90 nm
3	-	-	Ge eutectic on the top of the crystal	GeP cubic	Ø 5 nm
				GeP tetragonal	15×45 nm
				Ge	Ø 8 nm

\* P<sub>P4</sub> (P<sub>Zn</sub>) - pressures of phosphorus (zinc), created by additional charges of P (Zn), and calculated from the ideal gas law.

*Chemical point of view:*

Dissociation reaction for the melted ZGP (Seb. Fiechter, 1996)



Mass action law for the dissociation reaction of the melted ZGP:

$$K_P(T) \sim P_{\text{Zn}} P_{\text{P4}}^{(0.5-0.25x)}$$



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				P	25×300 nm
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\* P<sub>P4</sub> (P<sub>Zn</sub>) - pressures of phosphorus (zinc), created by additional charges of P (Zn), and calculated from the ideal gas law.

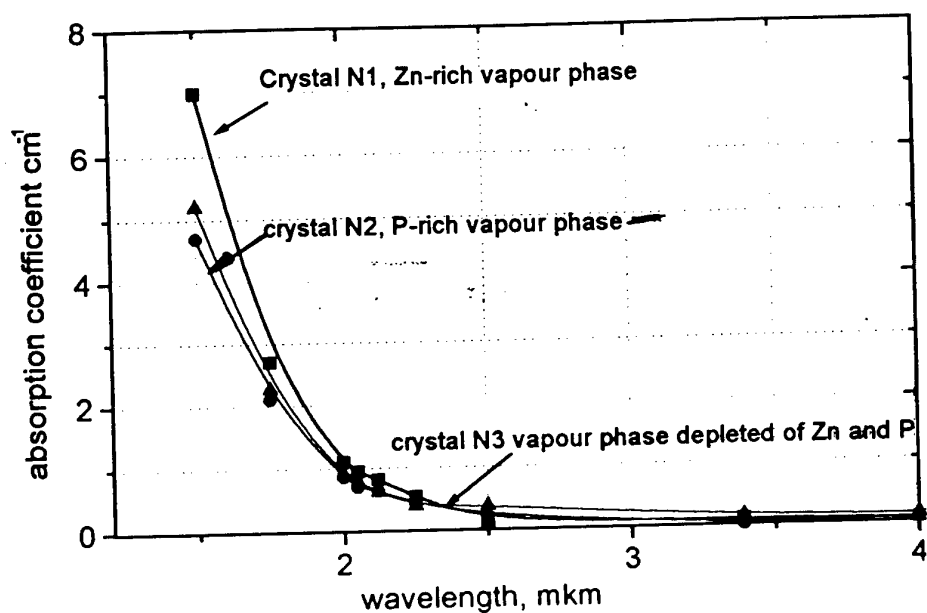
*Chemical point of view:*

Dissociation reaction for the melted ZGP (Seb. Fiechter, 1996)

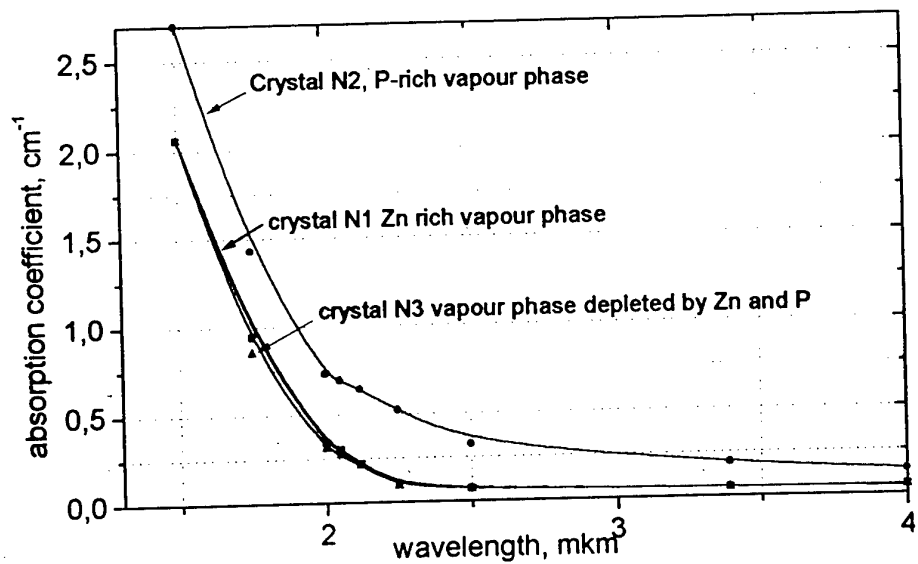


Mass action law for the dissociation reaction of the melted ZGP:

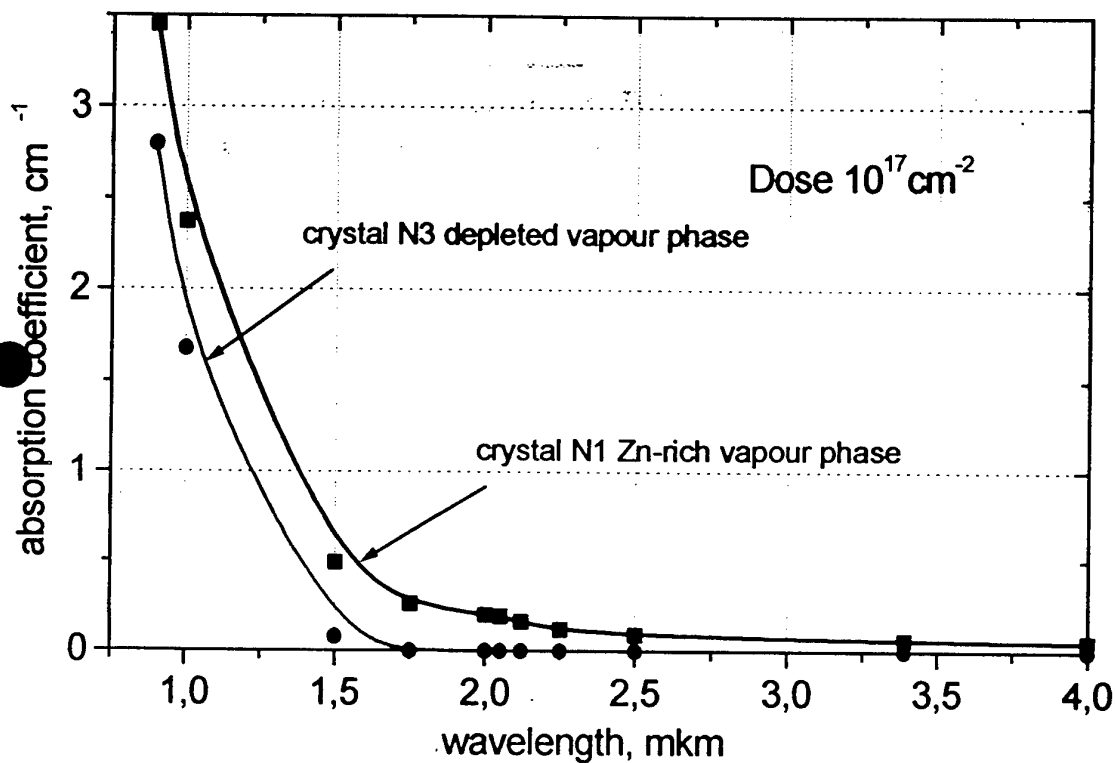
$$K_P(T) \sim P_{\text{Zn}} P_{\text{P4}}^{(0.5-0.25x)}$$



Absorption coefficient spectra for as-grown crystals  
Slices were cut from the middle part of the ingot



Optical absorption spectra after annealing  
(vacuum,  $T=600^{\circ}\text{C}$ , duration 300 hours)  
Slices were cut from the middle part of the ingots



Absorption coefficient spectra of  $\text{ZnGeP}_2$  after irradiation  
 slices were cut from the middle part of ingots, thickness is 6 mm

## Conclusions

To study the influence of the vapour phase composition during growth on crystal properties three single crystals were grown from one starting material but under varied vapour phase composition.

1. DTA have shown the different composition of these crystals: their melting points are different. For the Zn and Ge rich crystals it is lowered as compared to the crystal grown with the P excess only.
2. All three crystals have the second phase particles. The second phase composition correlates with the vapour phase composition.
3. For the most part the second phase particles have a drop (splintery) form and nanometer sizes. In individual cases the second phase precipitations as the submicron – micron's areas ( $\text{Zn}$  or  $\text{Zn} + \text{Zn}_x\text{P}_y$ ) are found.
4. As a rule, the nanodimensional particles are located along boundary of areas of the crystal fracture, being responsible for the brittle cracks in ZGP.
5.  $\text{ZnGeP}_2$  fragments free from the second phase particles have high elastic stress fields whereas fragments containing the latter particles are free from stresses. This could possibly indicate that the crystal areas having a high level of elastic stress fields are the places of the second phase particle formation.
6. The different improvement of the crystals grown with Zn-rich vapour phase and vapour phase depleted of volatile components on irradiation is apparently related to the different volume fraction of the second phase particles or their sizes. The lowest absorption at  $2\text{ }\mu\text{m}$  attained by irradiation is  $< 0.01\text{ cm}^{-1}$ .

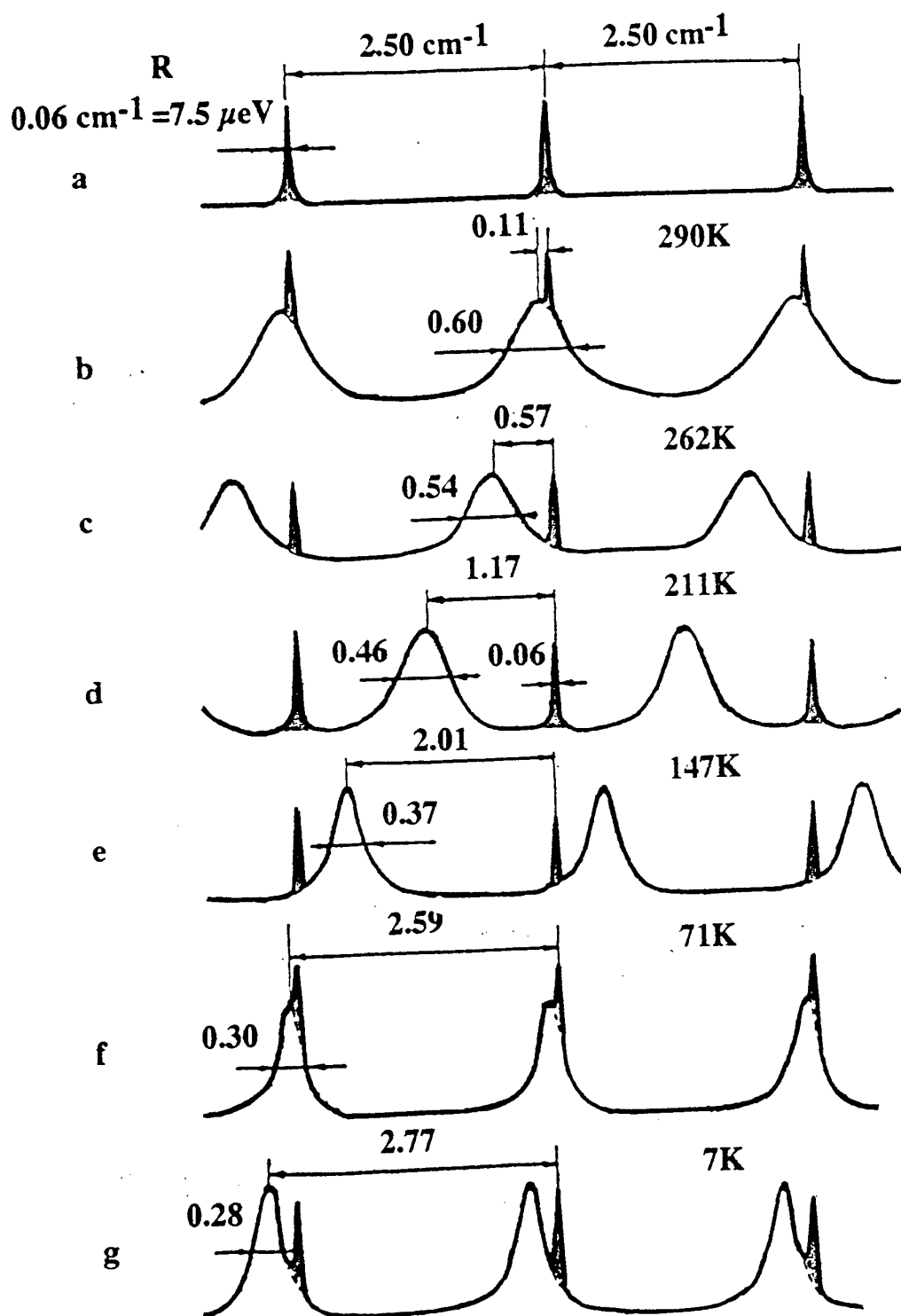
**Inelastic Light Scattering  
by Free Electron Gas  
and Coupled Electron-Phonon Excitations  
in Advanced Semiconductor Structures**

**Bahish H Bairamov**

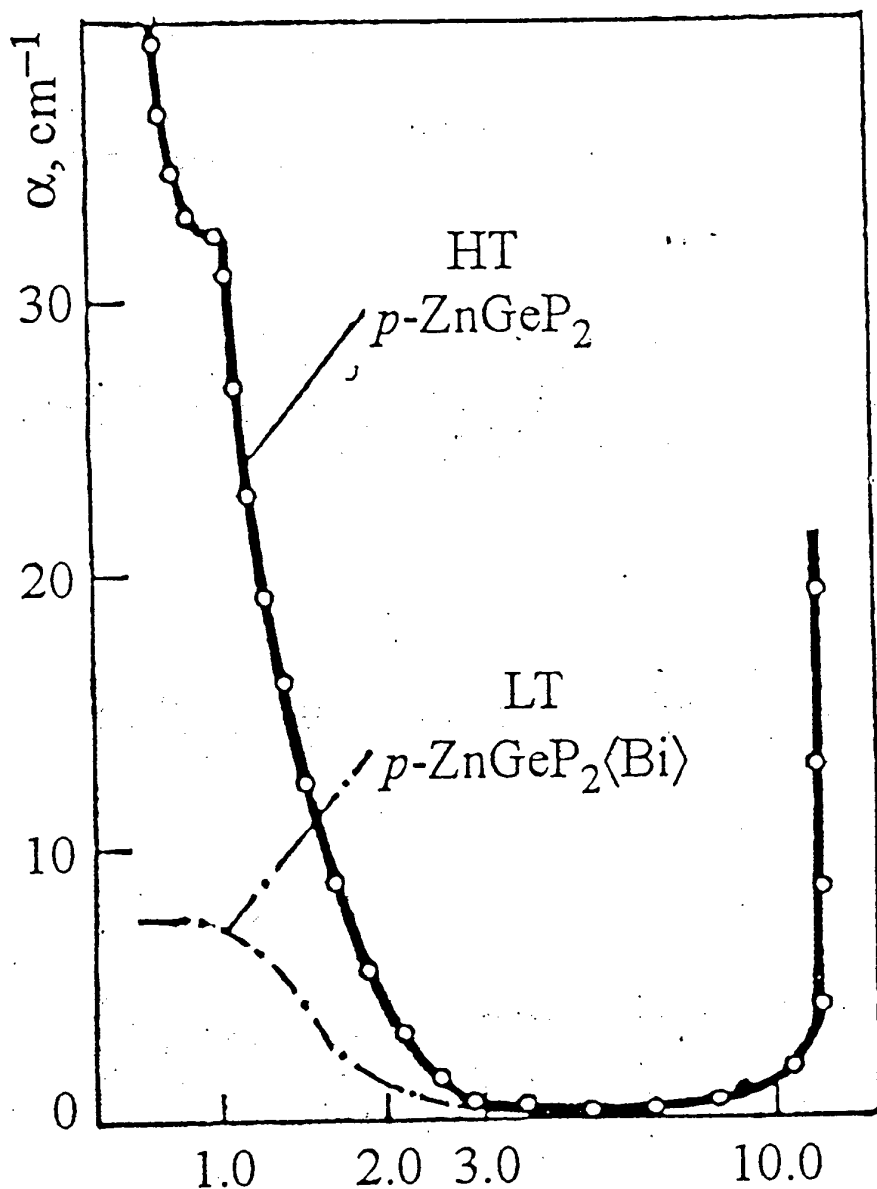
**A F Ioffe Physico-Technical Institute  
Academy of Sciences of the Russia**

**194021, St Petersburg, Russia**

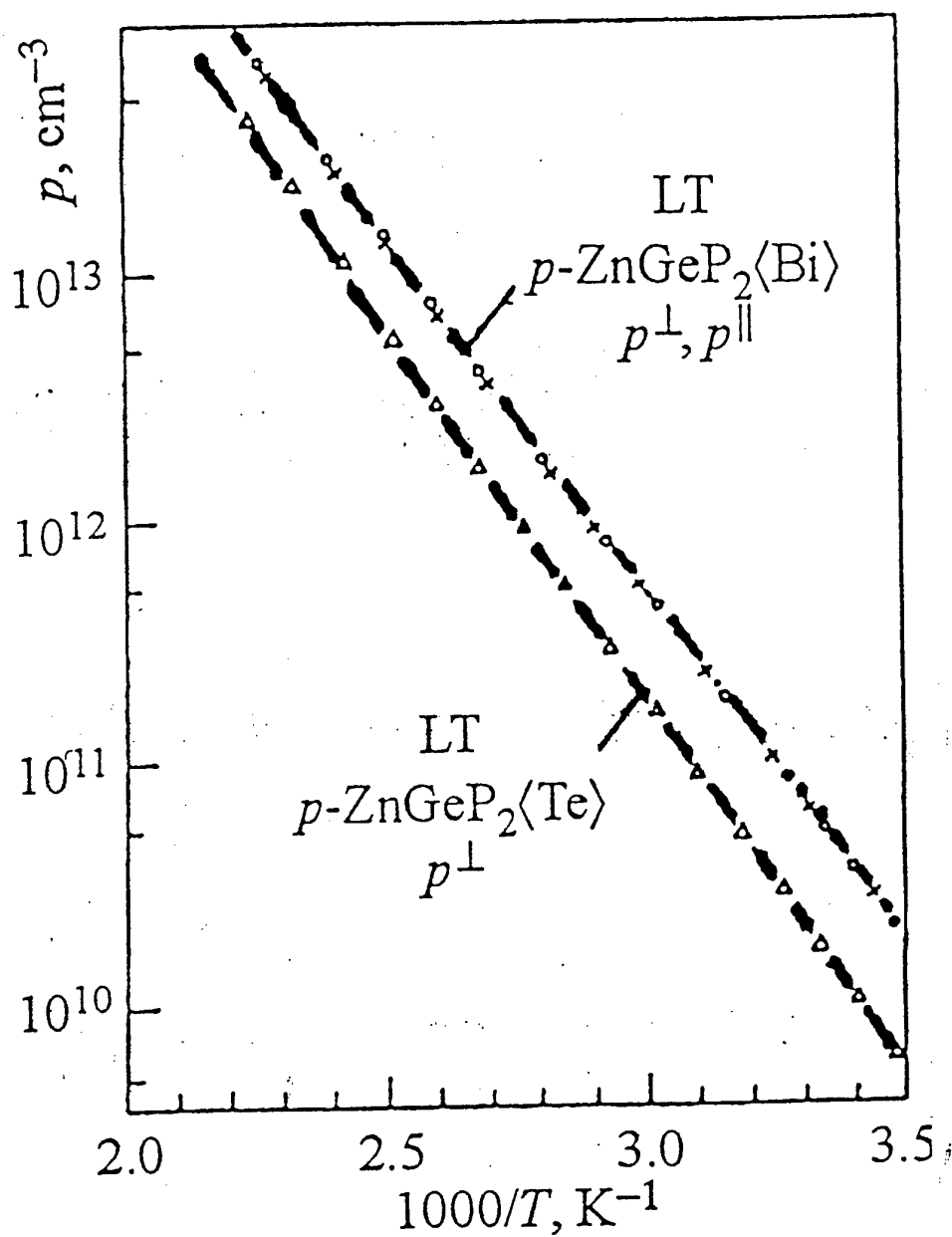
# High resolution light scattering by LO phonons in semi-insulating GaP ( $n < 10^{12} \text{ cm}^{-3}$ ) in the temperature range 7 - 290K



a) instrumental profile with a spectral resolution of  $0.06 \text{ cm}^{-1}$   
b-g) interference spectra of the light scattering by LO-phonons  
various temperatures



Optical absorption spectra  
of the LT grown  $\text{ZnGeP}_2\langle\text{Bi}\rangle$   
and HT grown  $p\text{-ZnGeP}_2$  single crystal  
obtained by standard technique.

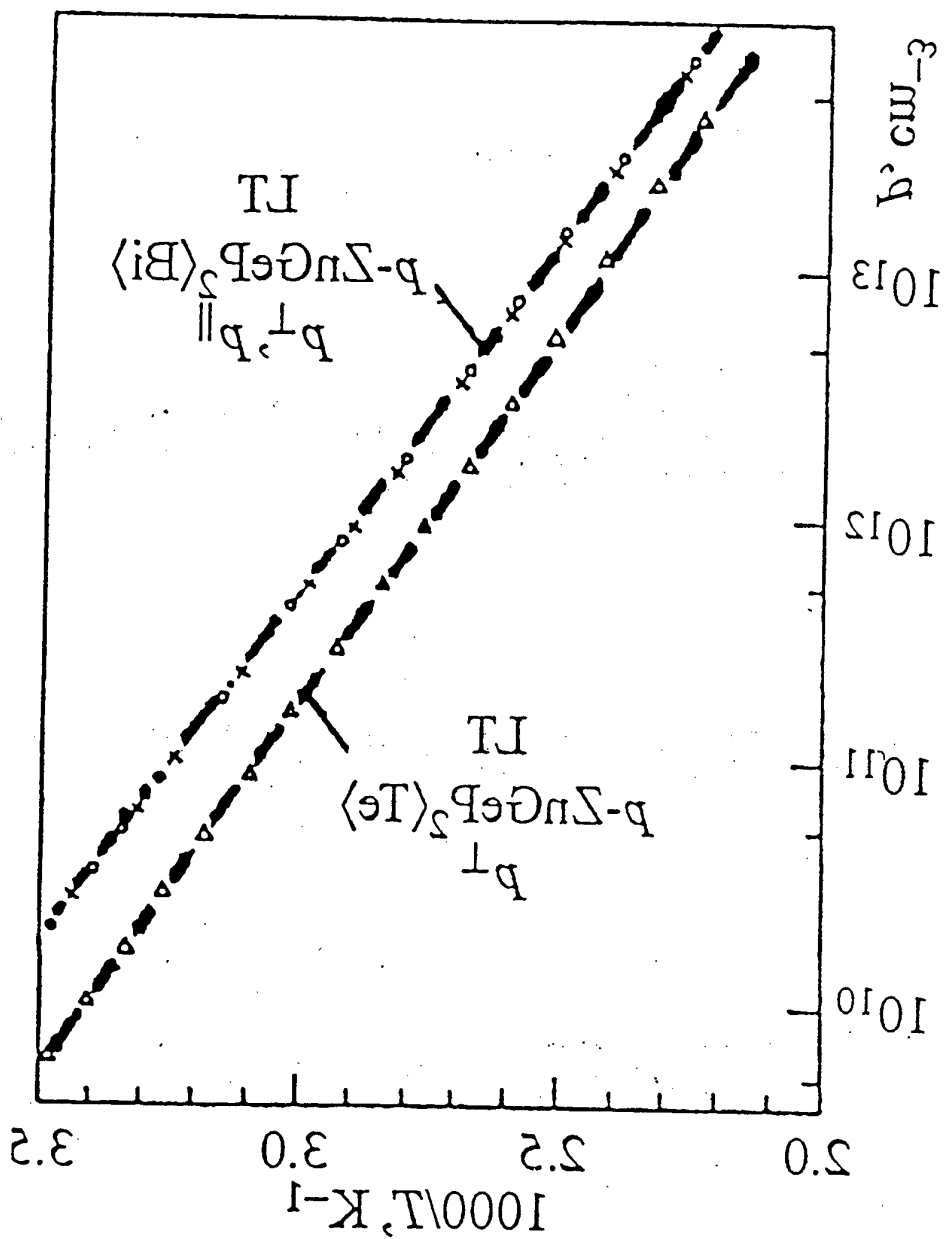


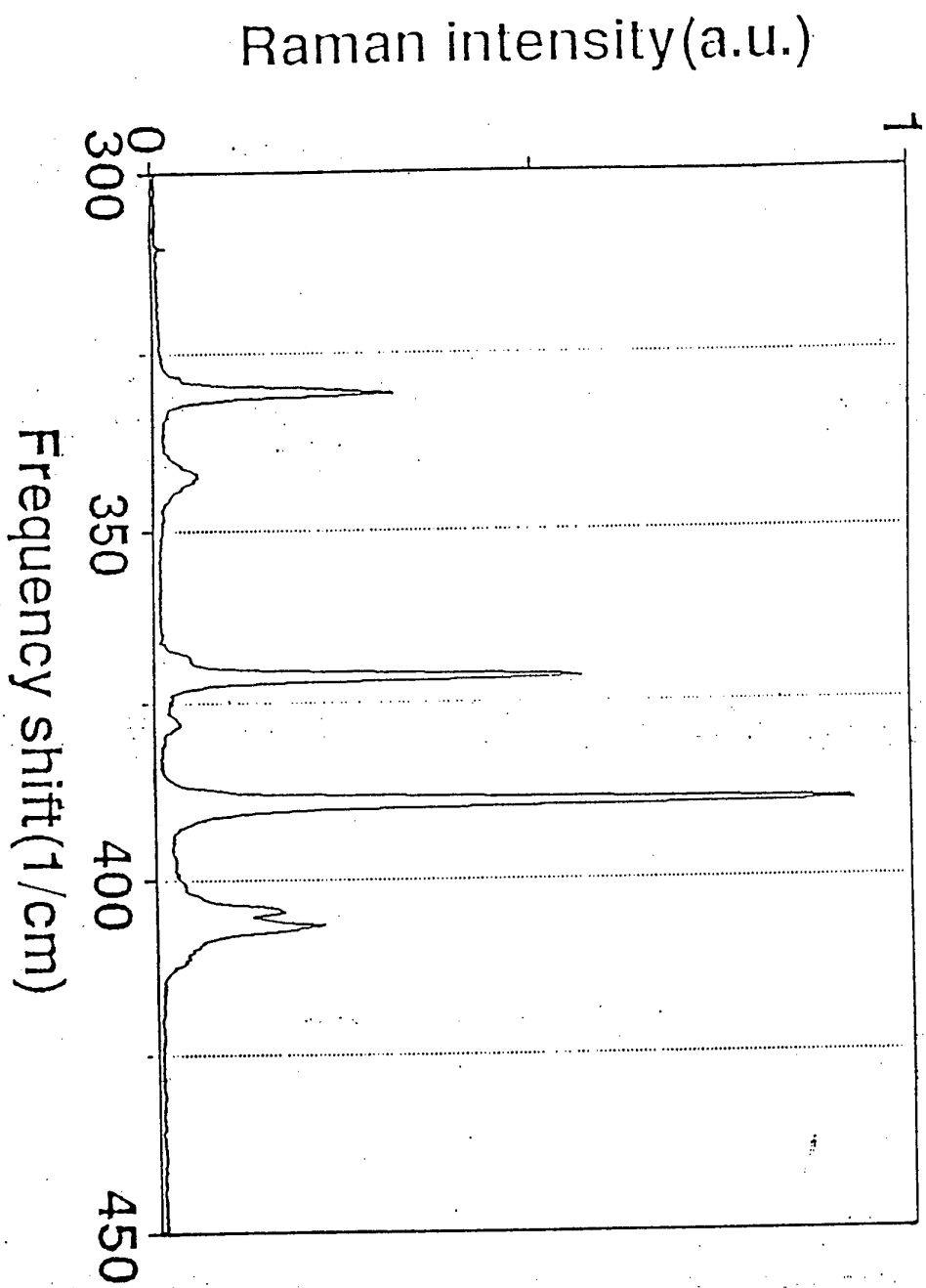
Temperature dependence  
 of the hole concentrations  
 of the two LT grown  $p\text{-ZnGeP}_2\langle\text{Bi}\rangle$  and  $\langle\text{Te}\rangle$   
 samples.

Symbols:  $\times$  and  $\Delta$  for  $p^\parallel$ ,  $\circ$  for  $p^\perp$ .



Symbols:  $\times$  and  $\Delta$  for  $p_{\parallel}$ ,  $\circ$  for  $p_{\perp}$ .  
of the two LT grown  $p\text{-ZnGeP}_2$   $\langle\text{Bi}\rangle$  and  $\langle\text{Te}\rangle$   
of the hole concentrations  
Temperature dependence





Raman spectra of p-ZnGeP<sub>2</sub> single crystals grown by low temperature method. T = 8 K. Excitation with the 514.5 nm line of an Ar<sup>+</sup> laser, 100 mW, with slits width 0.9 1/cm.

Table IV. Symmetry assignments and frequencies of the optical phonons (in  $\text{cm}^{-1}$ ) in  $\text{ZnO}$  obtained from the Raman scattering (RS) and infrared reflection (IR)

Symmetry of the mode	CP	ZB	Frequencies			
			RS <sup>a</sup> $T = 300 \text{ K}$	RS <sup>b</sup> $T = 300 \text{ K}$	IR <sup>d</sup> $T = 300 \text{ K}$	RS <sup>c</sup> $T = 8 \text{ K}$
$\Gamma_5$		$[\text{X}_5]$	94 (TO+LO)	96 (TO+LO)	94 (TO+LO)	93.2
$\Gamma_3$		$[\text{W}_2]$	112	119	121	118.2
$\Gamma_3$		$[\text{W}_4]$	142 (TO+LO)	142 (TO+LO)	143 (TO) 144 (LO) 166*	141.3 143.4
$\Gamma_3$						
$\Gamma_3$		$[\text{W}_3]$	202 (TO) 204 (LO)	201 (TO) 202 (TO+LO) 203 (LO)	204 (TO) 205 (TO+LO) 206 (LO)	198 206.5
$\Gamma_3$		$[\text{W}_2]$		248	249	
$\Gamma_1$		$[\text{W}_1]$	327	328 328 $\Gamma_3$ (TO+LO)	329 328 $\Gamma_3$ (TO) 330 $\Gamma_3$ (LO)	327.4 334
$\Gamma_4$		$[\text{W}_2]$	339 (TO)	338 (TO) 357 (LO) 346 (TO+LO)	341 (TO) 350 (LO+TO) 361 (LO)	340 354.5 360
$\Gamma_3$		$[\text{W}_4]$	368 (TO) 374 (LO)	369 (TO) 374 (TO+LO) 377 (LO)	369 (TO) 377 (LO)	368.5 375 377?
$\Gamma_3$		$[\text{X}_3]$		390		
$\Gamma_3$		$[\Gamma_{15}]$	385 (TO) 402 (LO)	387 (TO) 393 $\Gamma_3 + \Gamma_4$ (TO)	387 (TO) 405 (LO) 395 $[\text{q}\Gamma_4(\text{TO})]^{***}$	389 404.3
$\Gamma_4$		$[\Gamma_{13}]$	399 (TO) 408 (LO)	401 (TO) 403 $\Gamma_3$ (LO) 406 $\Gamma_3 + \Gamma_4$ (TO) 408 $\Gamma_4$ (LO)	401 (TO) 411 (LO) 396 $[\text{q}\Gamma_4(\text{TO})]$ 408 $[\text{q}\Gamma_3(\text{LO}), \text{q}\Gamma_4(\text{LO})]$	400.3? 407 412?

\* References 53.

<sup>b</sup> References 52 and 56.

<sup>c</sup> References 50.

<sup>d</sup> References 49 and 5).

<sup>e</sup> our results.

# EPR AND ENDOR CHARACTERIZATION OF DONORS AND ACCEPTORS IN $\text{ZnGeP}_2$

Larry E. Halliburton  
Kevin T. Stevens  
Nancy C. Giles

Physics Department  
West Virginia University

Nonlinear Optical Materials Workshop  
DERA  
Malvern, UK  
September 20 – 21, 1999

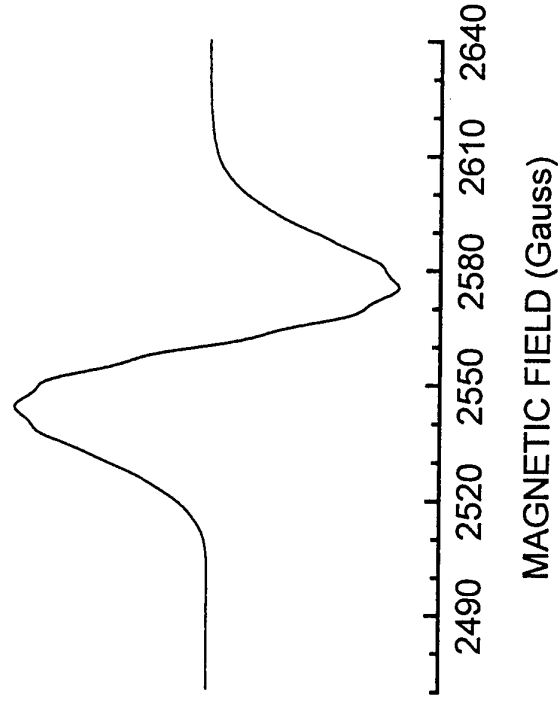
Work supported by Air Force Office of Scientific  
Research (in conjunction with the Materials  
Directorate at Wright-Patterson AFB) and by the  
National Science Foundation.

Work performed in cooperation with Lockheed Sanders.

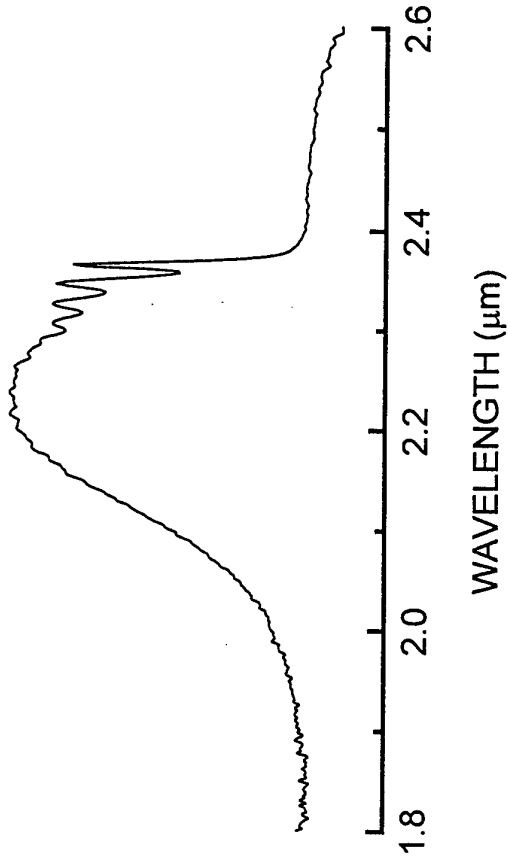


# $\text{Ni}^{2+}$ in $\text{AgGaSe}_2$

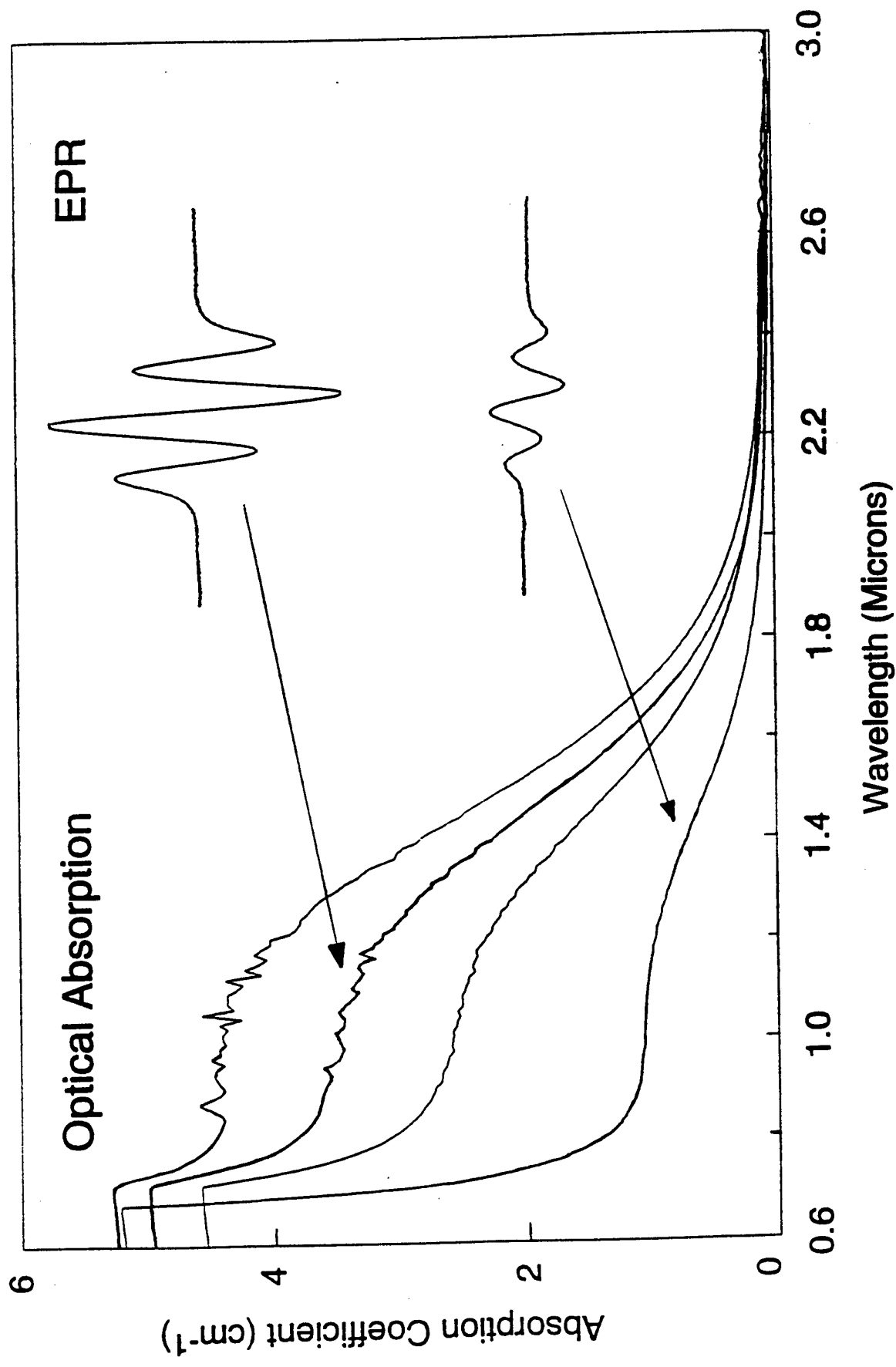
Electron Paramagnetic Resonance



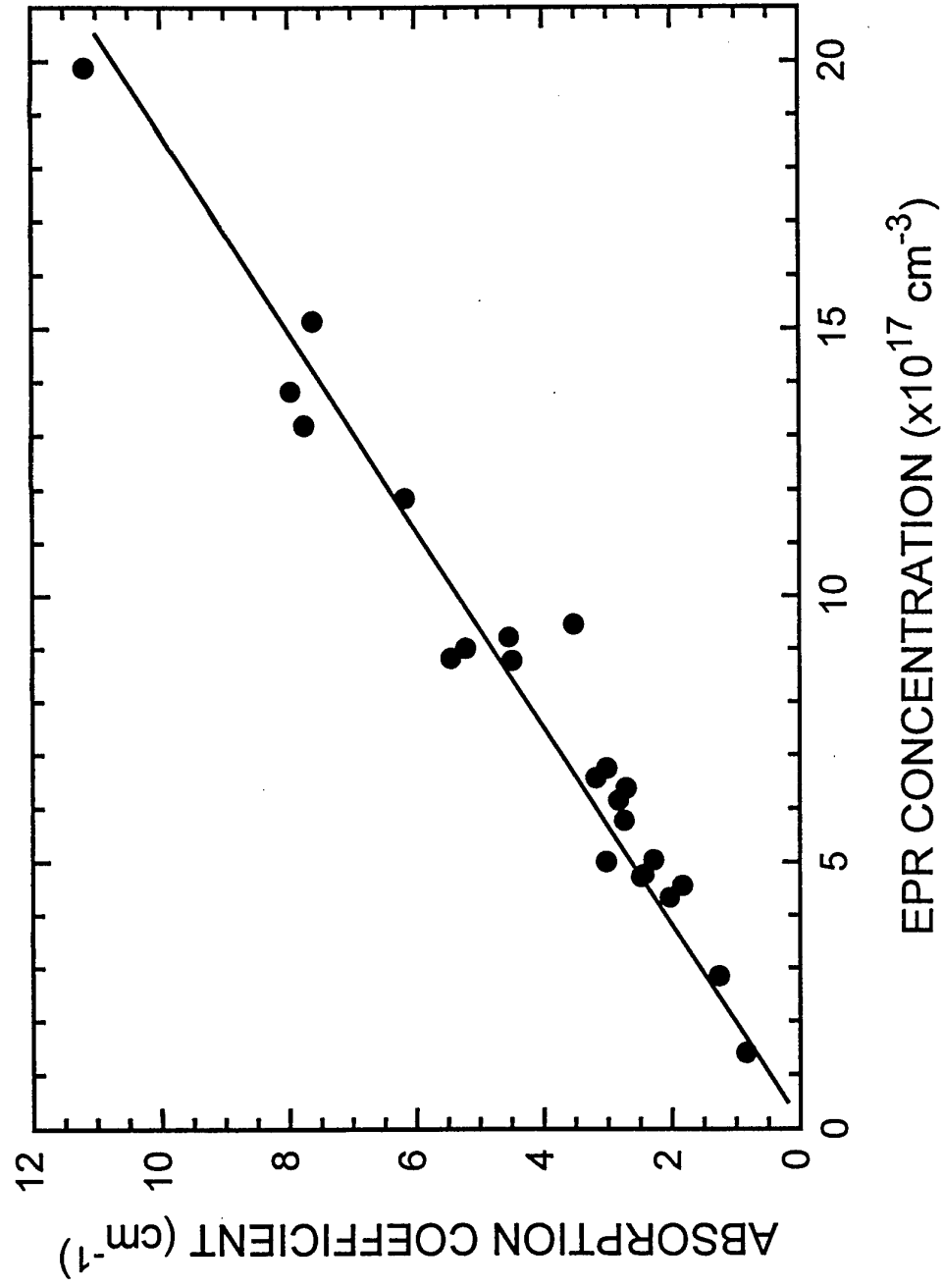
Infrared Absorption



# Correlation of Optical Absorption with EPR Signals from the Zinc Vacancy



$V_{Zn}$  EPR Intensity versus Absorption at 1  $\mu m$



## SUMMARY OF DONOR AND ACCEPTOR PROPERTIES

### 1. Zinc vacancies are the dominant acceptor in $\text{ZnGeP}_2$ .

- Both  $V_{\text{Zn}}^-$  and  $V_{\text{Zn}}^{=}$  charge states are present.
- There is no spectroscopic evidence to date for  $V_{\text{Zn}}^0$  in as-grown crystals.

### 2. Dominant donors are phosphorus vacancies and germanium antisites.

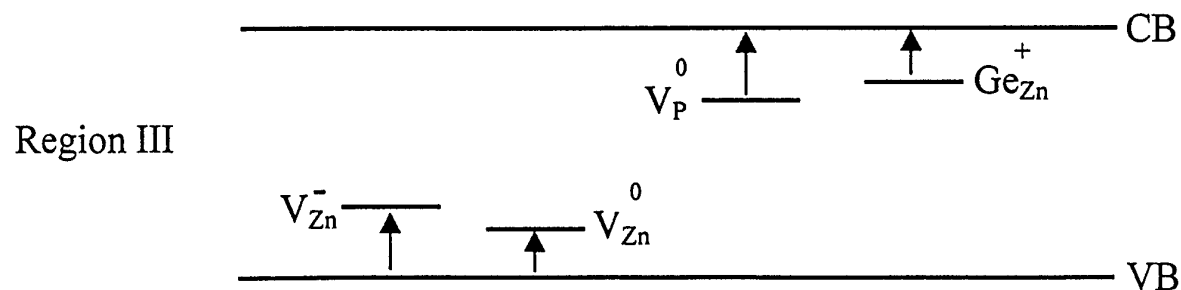
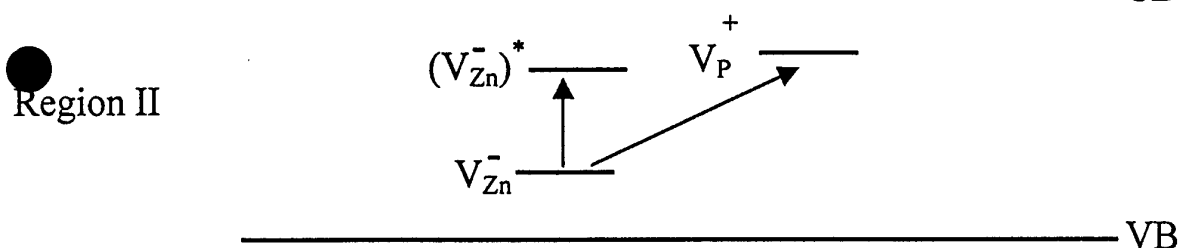
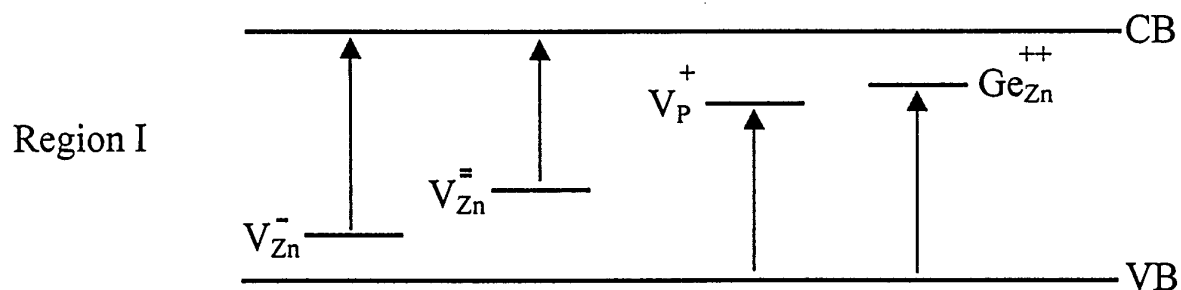
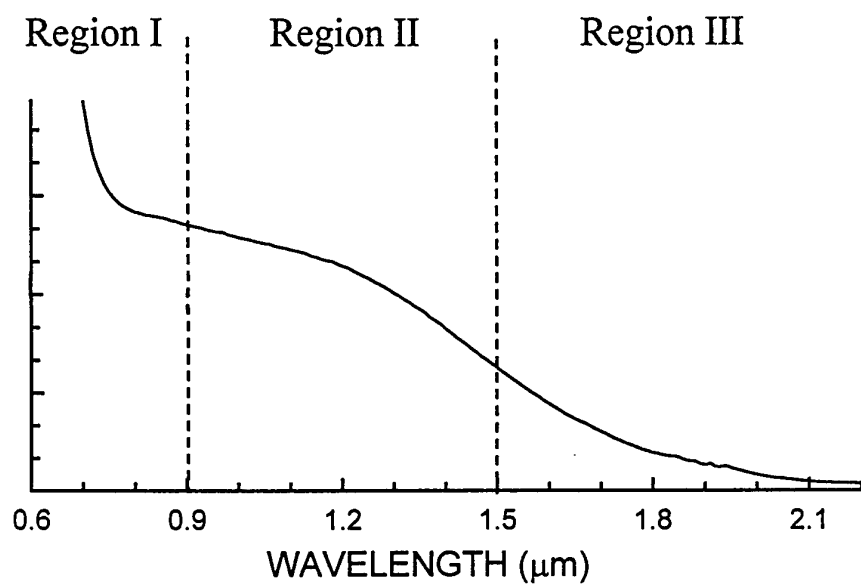
- $V_{\text{P}}^+$  and  $\text{Ge}_{\text{Zn}}^{++}$  in the dark.
- $V_{\text{P}}^0$  and  $\text{Ge}_{\text{Zn}}^+$  with light.

### 3. Effect of laser light:

- 633 nm -- increases  $V_{\text{Zn}}^-$  signal  
creates  $V_{\text{P}}^0$  and  $\text{Ge}_{\text{Zn}}^+$  signals
- 1064 nm -- decreases  $V_{\text{Zn}}^-$  signal  
creates  $V_{\text{P}}^0$   
does not create  $\text{Ge}_{\text{Zn}}^+$

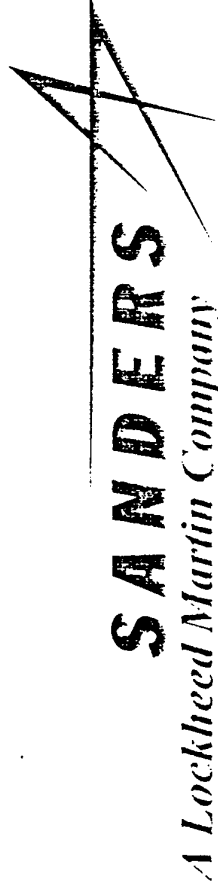


# Possible Optical Absorption Mechanisms



# Recent Advances in Chalcopyrites for Mid- to Far-IR Frequency Conversion

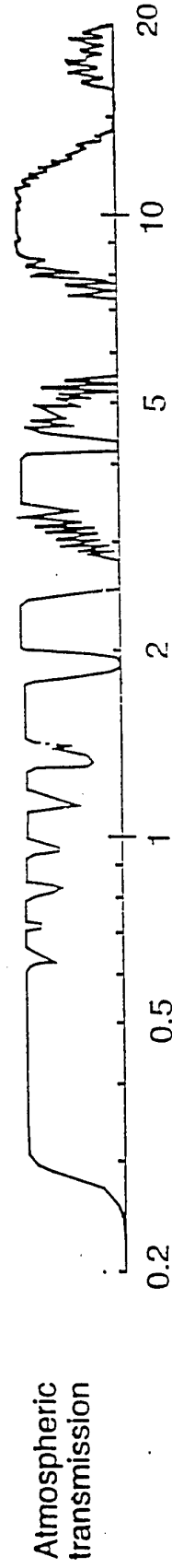
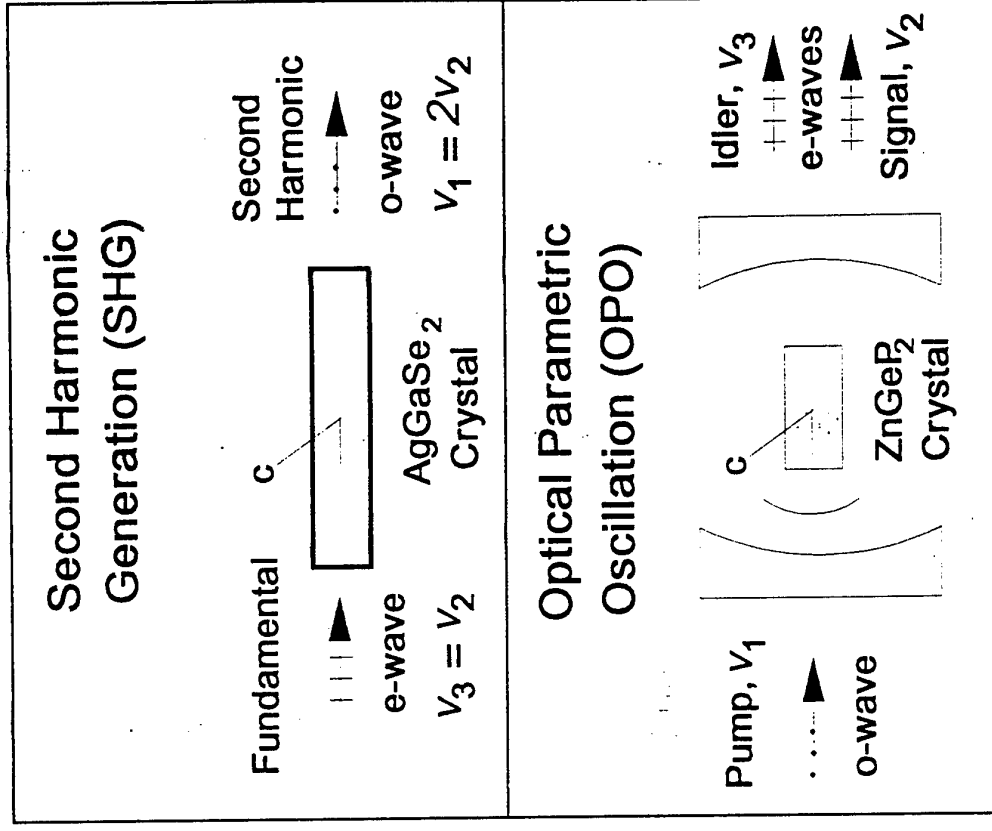
P. G. Schunemann and T. M. Pollak



Presented at the 1999 Nonlinear Optical Materials  
Workshop, (NLO 99), DERA, Malvern, UK, Sept. 20, 1999

Work supported L.N. Durvasula at DARPA (via the Air Force Research Laboratory Materials Directorate  
contract No. F33615 -94-C-5415) and Sanders Internal R&D Funding

- For the past 10 years we have focused on crystal growth and processing of bulk chalcopyrites for nonlinear optical (NLO) frequency conversion:
  - Frequency doubling of CO<sub>2</sub> Lasers (SHG)
  - "Wavelength doubling" of 2um solid state lasers (OPO)
- The Goal:
  - Produce efficient mid-IR lasers operating in regions of high atmospheric transmission
- Applications:
  - Laser radar, remote sensing, etc.



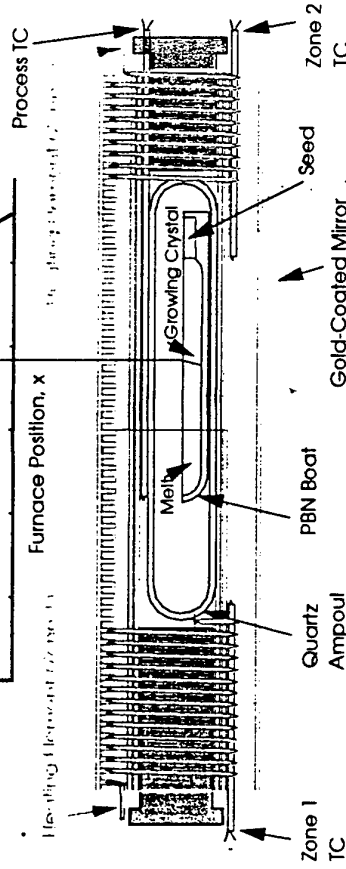
## 2 $\mu$ m-pumped OPO's

- Material of Choice: ZnGeP<sub>2</sub>
  - Highest NLO Coefficient with sufficient band gap ( $d_{14}=75$  pm/V)
  - High Thermal Conductivity (0.35W/cmK)
  - Reduced Losses -----> Efficient, High Power Output
- Alternatives for better performance:
  - **None:** Continue to Reduce ZnGeP<sub>2</sub> Near-IR Absorption

## CO<sub>2</sub> Doubling

- Material of Choice: AgGaSe<sub>2</sub>
  - Respectable NLO Coefficient (39 pm/V)
  - Wide transparency and phase-matching range (.78-18 $\mu$ m)
  - Low absorption Losses
- Alternatives for better performance:
  - CdGeAs<sub>2</sub>: Highest Nonlinearity ( $d_{14}=236$  pm/V)  $\blacktriangleright$  Reduce Absorption Loss
  - Ag(Ga,In)Se<sub>2</sub>: Adjust Birefringence for Noncritical Phase-Matching (NCPM)
  - ABX<sub>2</sub>: Continue Search for New Materials

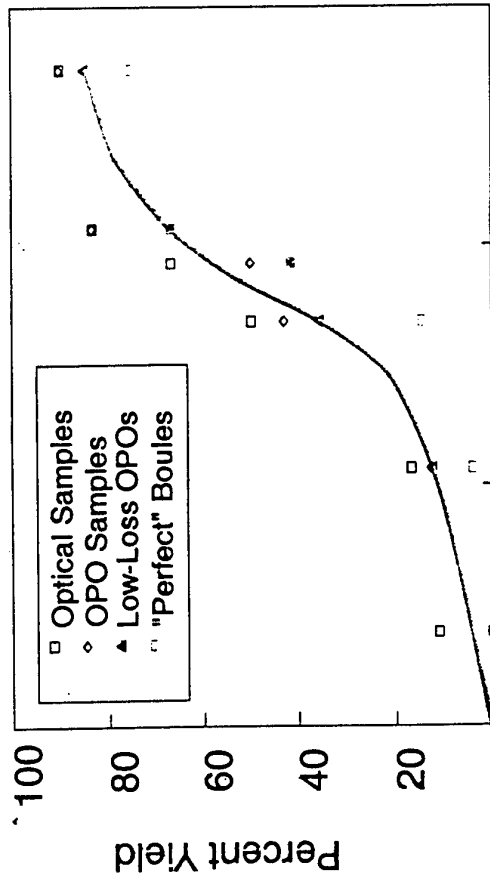
# Horizontal gradient freeze growth led to advances in NLO chalcopyrites



## HGF Approach: Key Aspects

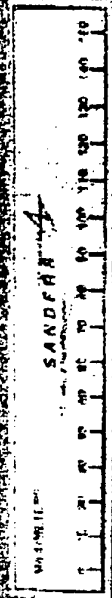
- **Low thermal gradients**
  - Minimize vapor transport
  - Eliminate cracking due to anisotropic thermal expansion
- **Transparent Furnace**
  - Simplifies the seeding process
  - Allows *in situ* monitoring of the S/L interface shape & position
  - Facilitates interactive growth (secondary grains can be re-melted)
- **Seeded growth**
  - Eliminates initial polycrystallinity due to supercooling
  - Optimizes orientation to accommodate negative c-axis thermal expansion
  - Enables growth along phase matching direction for max. device length & yield

## Improved Single Crystal Yield



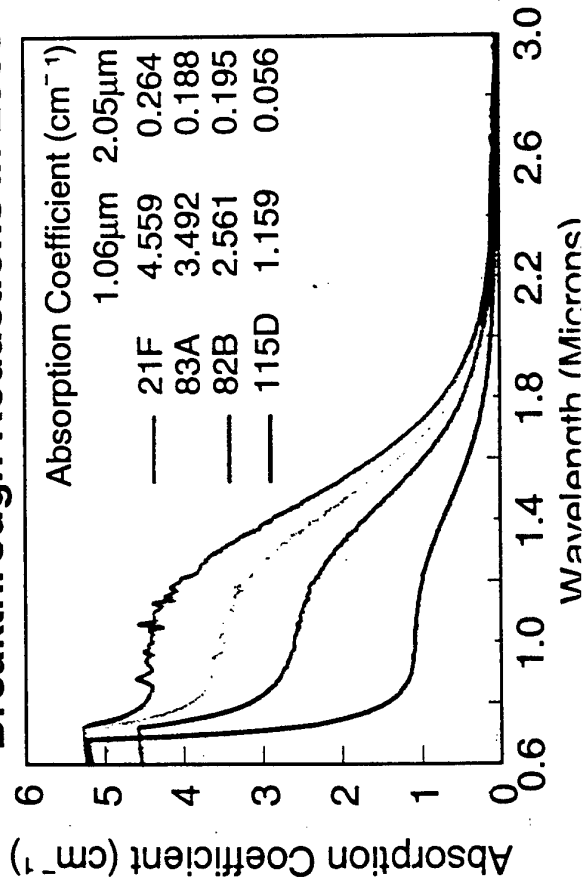
Dec-88      Sep-91      Jun-94      Mar-97  
Program Time Line

## Seed Crystal Growth

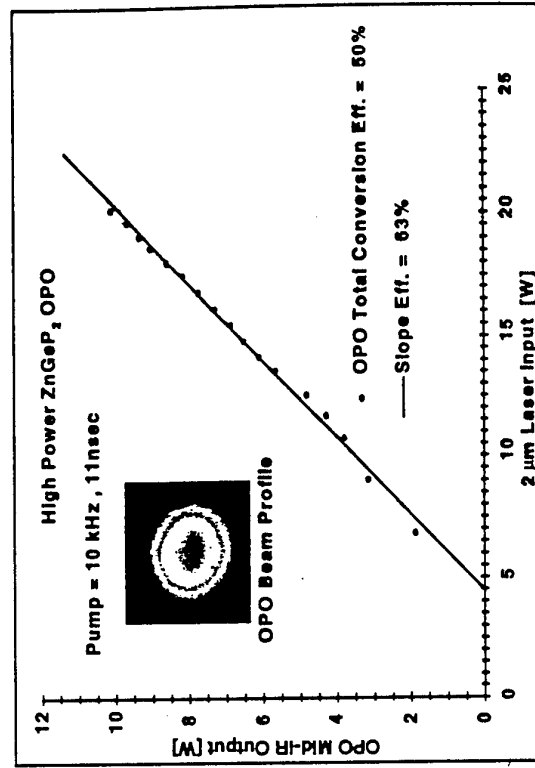


**ZnGeP<sub>2</sub>**

## Breakthrough Reductions in Loss

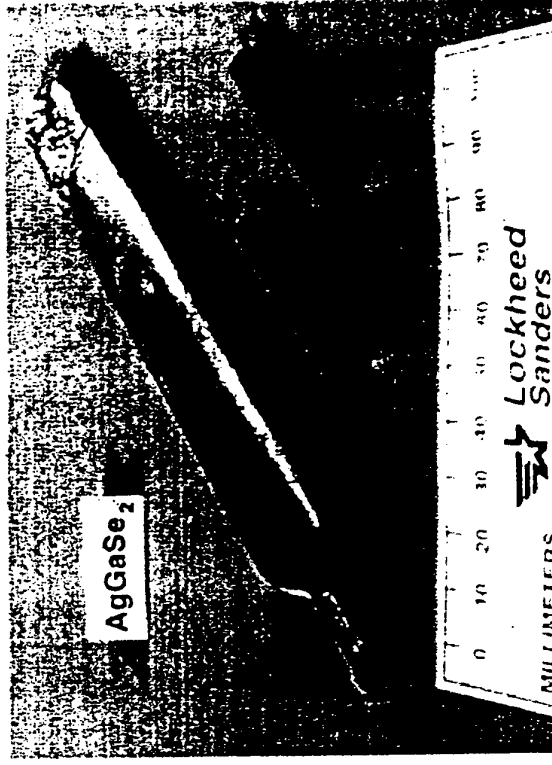
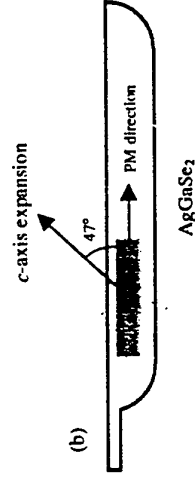
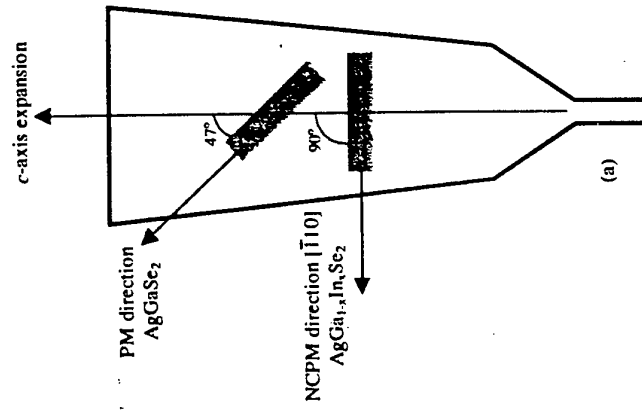


## Enhanced OPO Performance

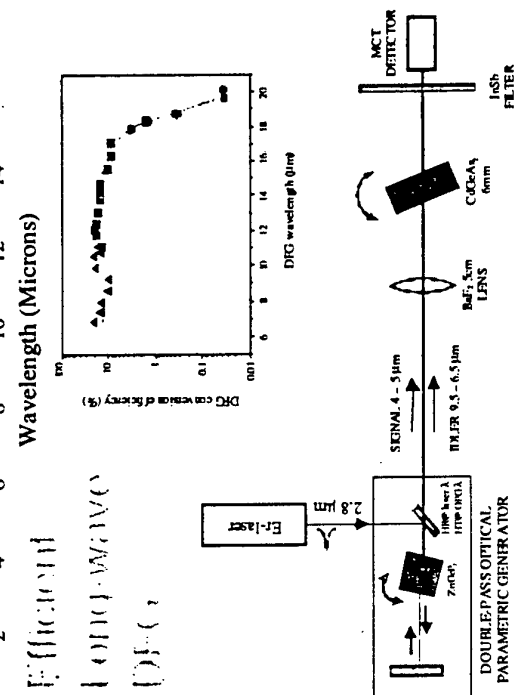
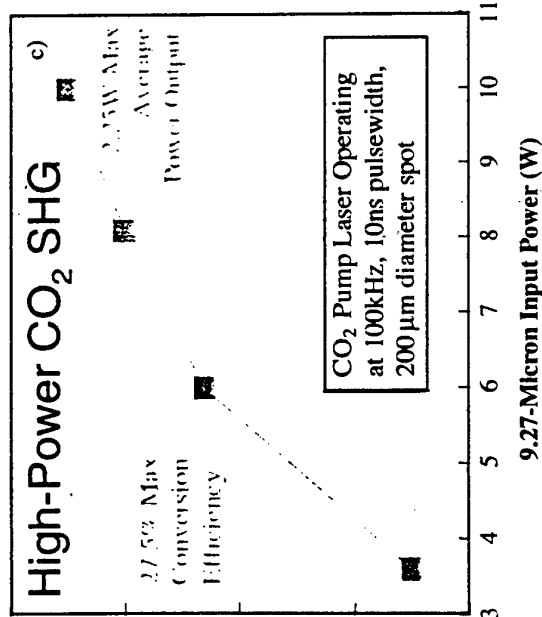
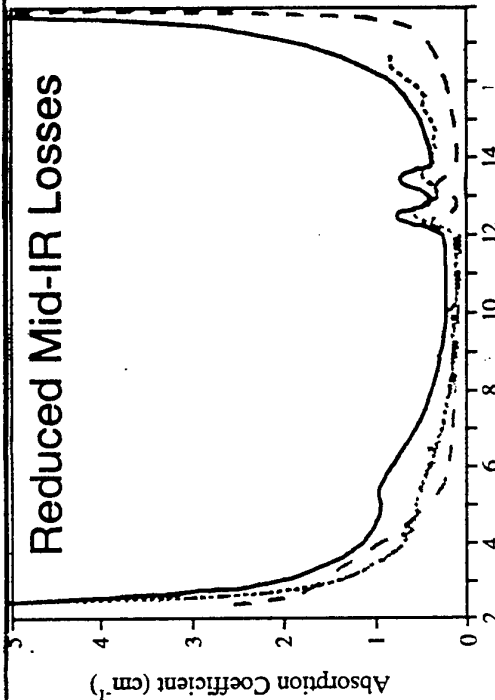
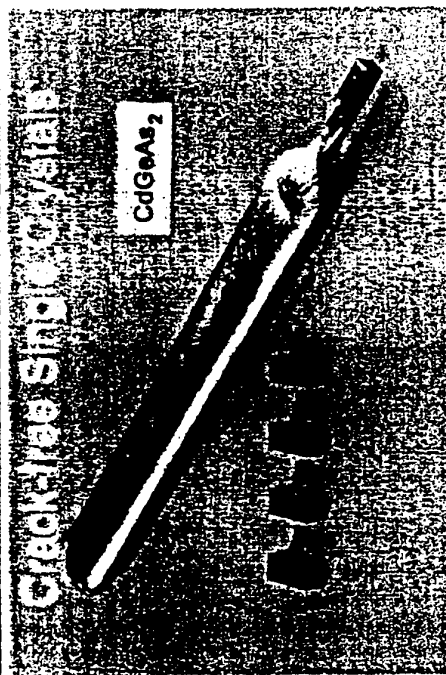


# “Phase-Matched” Crystal Growth of AgGaSe<sub>2</sub>

- Vertical Bridgman growth of AgGaSe<sub>2</sub> requires seeding along c-axis for unconstrained thermal expansion during cool-down
- The Horizontal Gradient Freeze (HGF) technique allows “phase-matched” growth along device orientation, yielding longer interaction lengths and minimal waste

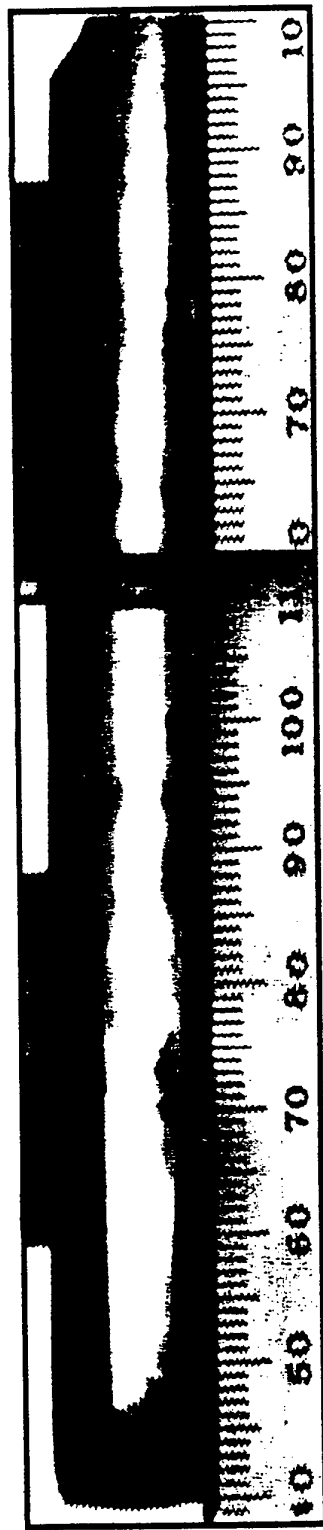


# CdGeAs<sub>2</sub>: Development Milestones

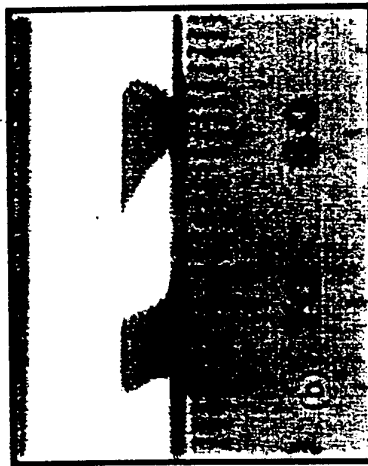




# Segregation of Absorbing Defects in CdGeAs<sub>2</sub>



→ Growth Direction [001]



Dark Regions

Light Region

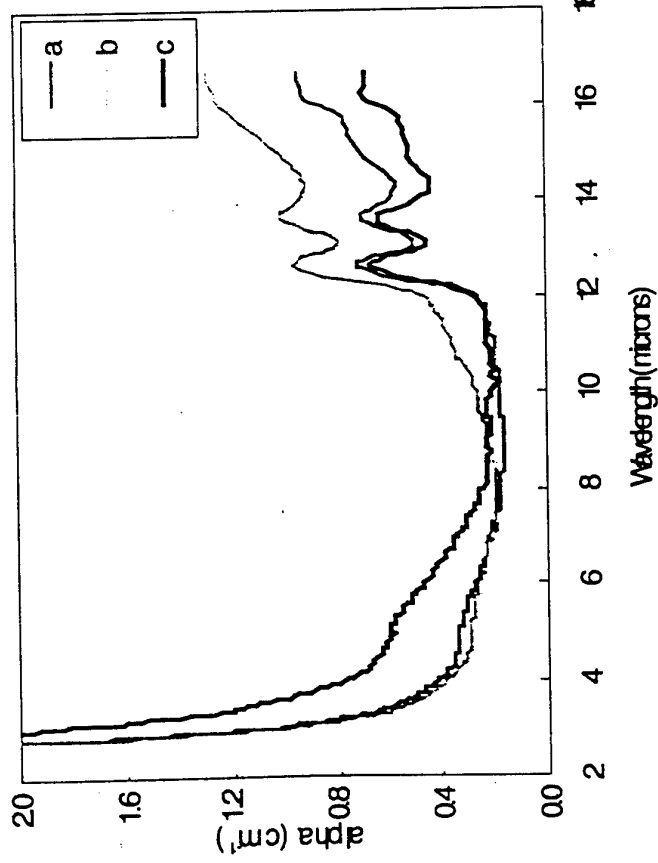
[112] facets

→ Growth Direction [001]

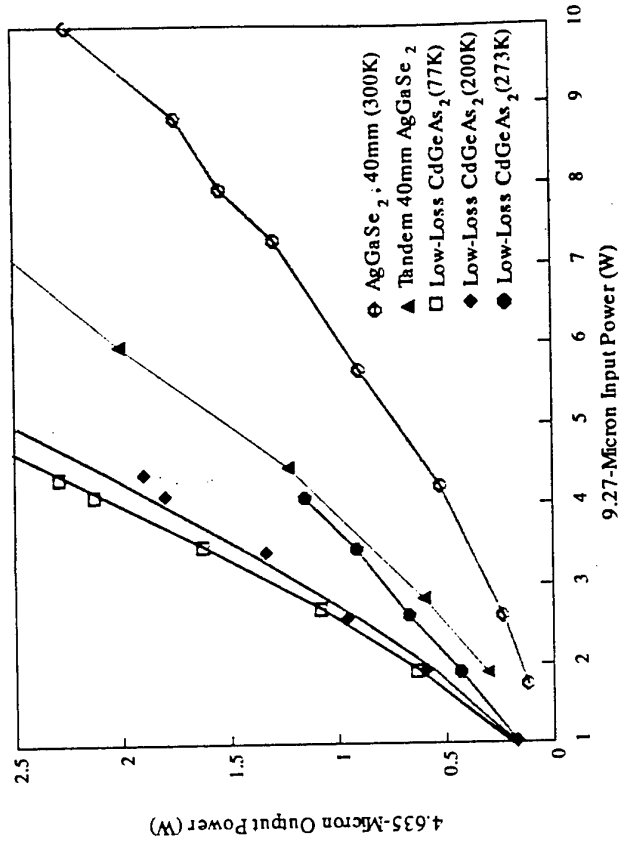
(c)

# CdGeAs<sub>2</sub>: Recent Advances

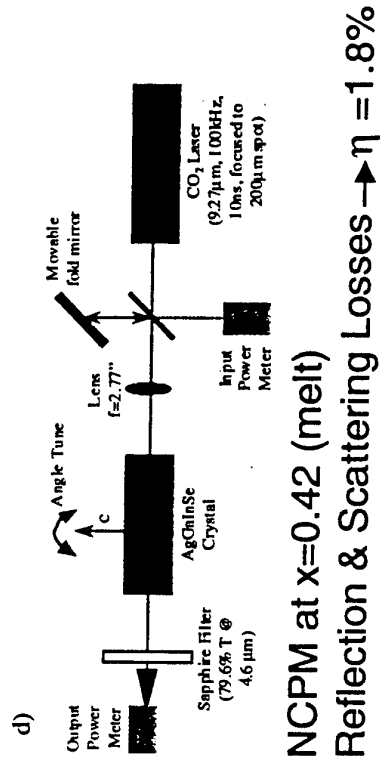
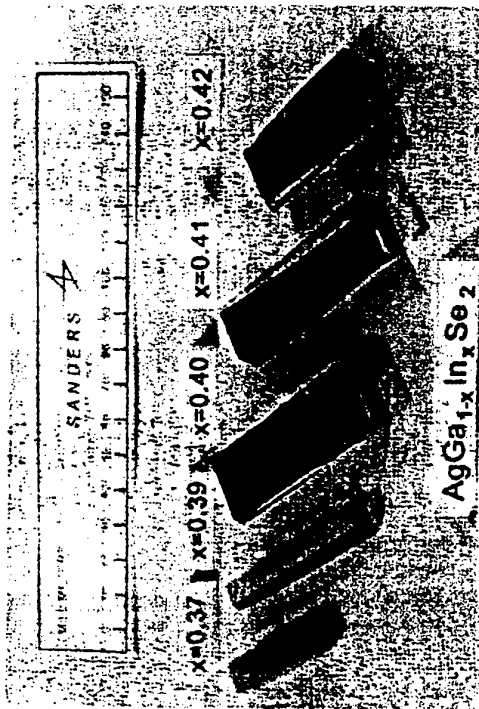
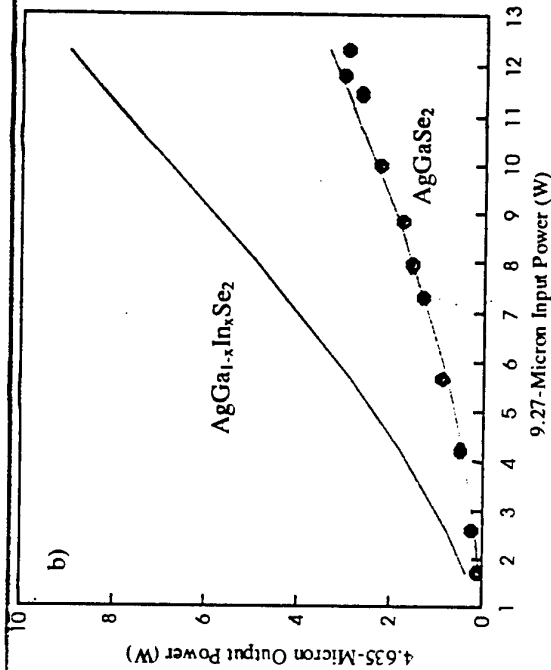
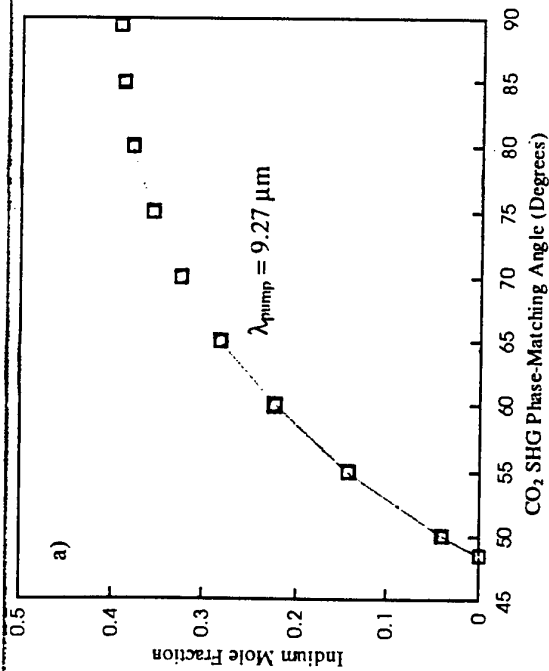
Reduced Mid-IR Absorption  
(Low-Loss Central Core)



Efficient CO<sub>2</sub>-Doubling:  
 $\eta = 53\%$  at 77K  
 $\eta = 28\%$  at 273K



# AgGa<sub>1-x</sub>In<sub>x</sub>Se<sub>2</sub>: Engineered Birefringence for NCPM





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## Summary

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- Recent crystal growth advances have established chalcopyrites as the NLO materials of choice for mid- to far-IR laser frequency conversion:
  - Large crack-free single crystals (up to 16x28x140mm<sup>3</sup>) of ZnGeP<sub>2</sub>, AgGaSe<sub>2</sub>, and CdGeAs<sub>2</sub> can be reproducibly grown by the HGF technique
  - Substantial reductions in absorption and/or scattering losses have been achieved by feed purification, compositional control, & post-growth annealing
  - Improved crystal quality has resulted in outstanding NLO device performance
- The birefringence of mixed crystals (AgGa<sub>1-x</sub>In<sub>x</sub>Se<sub>2</sub>) can be engineered to achieve non-critical phase-matching (NCPM)
- The search for new materials has led to promising NLO crystals such as AgGaTe<sub>2</sub>, CdGa<sub>2</sub>S<sub>4</sub>, and CdGa<sub>2</sub>Se<sub>4</sub>
- Dy<sup>3+</sup>:CaGa<sub>2</sub>S<sub>4</sub> was demonstrated as the first sulfide mid-IR laser host

● ●

# **Development of Technology of ZnGeP<sub>2</sub> Single Crystal at Institute for Optical Monitoring SD RAS**

By Alexander I. Gribenyukov, Galina A. Verozubova, and Valentina V. Korotkova

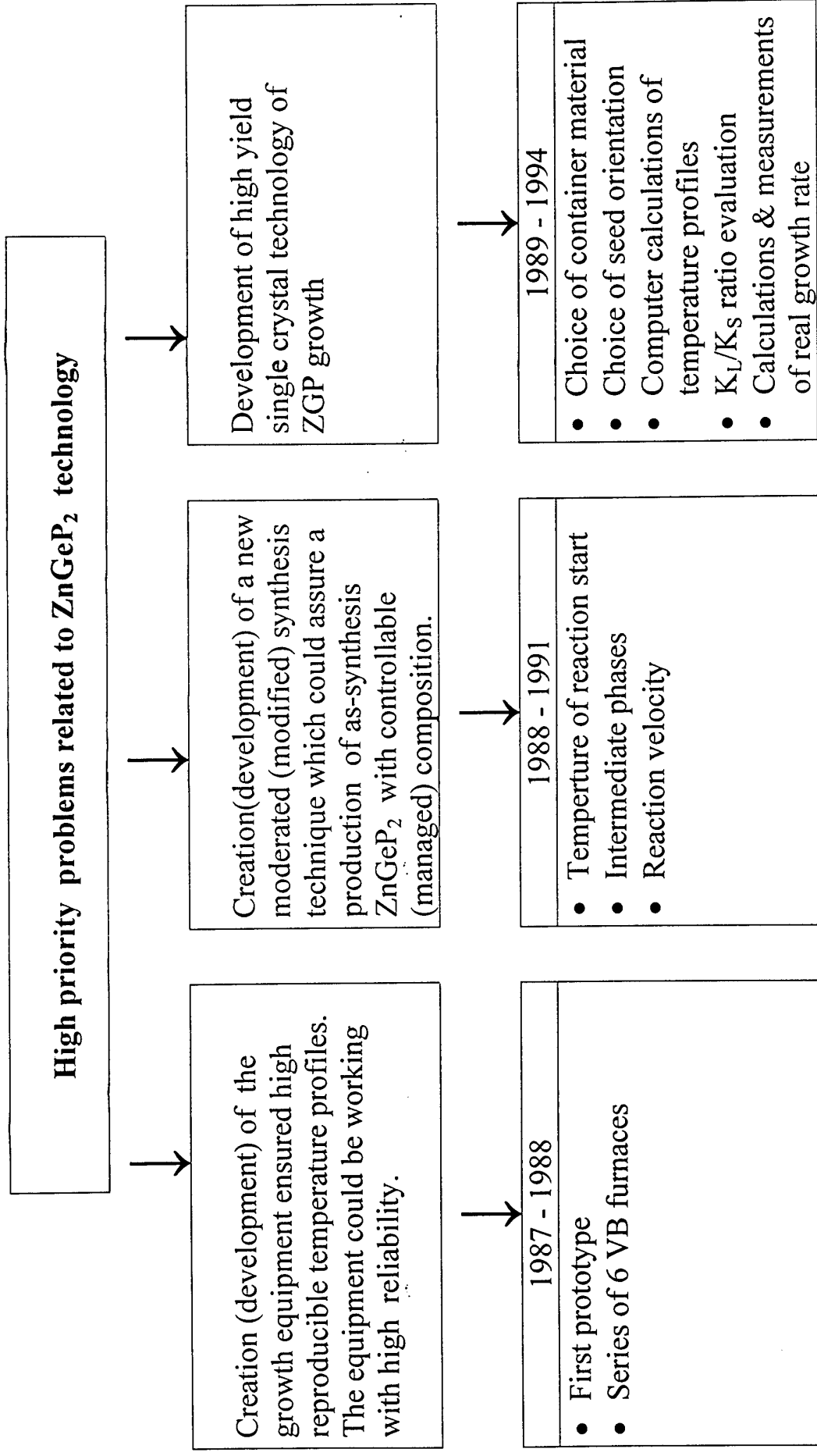
Laboratory of Optical Spectroscopy

Institute for Optical Monitoring

Tomsk Branch of Siberian Division

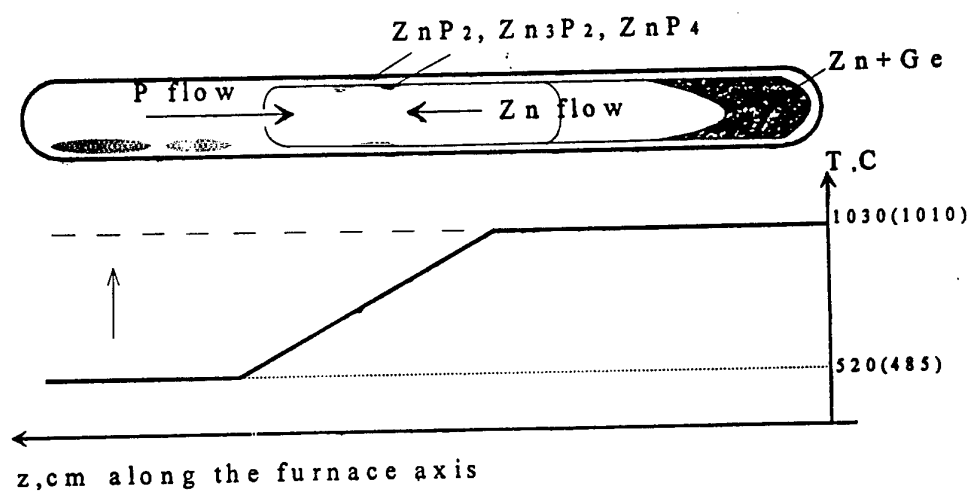
Russian Academy of Sciences

IOM	The main directions of IOM activity & interests	<ul style="list-style-type: none"> <li>• Theoretical and experimental investigations of climatic and ecological changes under effect of natural and human factors</li> <li>• .....</li> </ul>
	The basic theme of the noted direction of IOM's activity	<ul style="list-style-type: none"> <li>• Development of new techniques and technologies for environment remote sounding</li> <li>• .....</li> </ul>
	Divisions of the basic theme	<ul style="list-style-type: none"> <li>• Development of optical monitoring systems based on new generation of tunable coherent radiation sources working in the middle IR spectral range.</li> <li>• .....</li> </ul>
	The main task	Provision of IOM works on development and multiplication of the new optical systems by optical materials needed
LOS IOM	The basic theme	Development of high yield and reliable technologies for production optical materials with controllable physical properties
	The main points of contents of basic theme	<ol style="list-style-type: none"> <li>1. Development of high yield technology of single crystal growth</li> <li>2. Investigations of possibilities of controllable manage by physical properties of material due to purposeful changes (variations) of technological parameters on all stages of crystals production – at synthesis, at crystal growth, at postgrowth annealing</li> </ol>



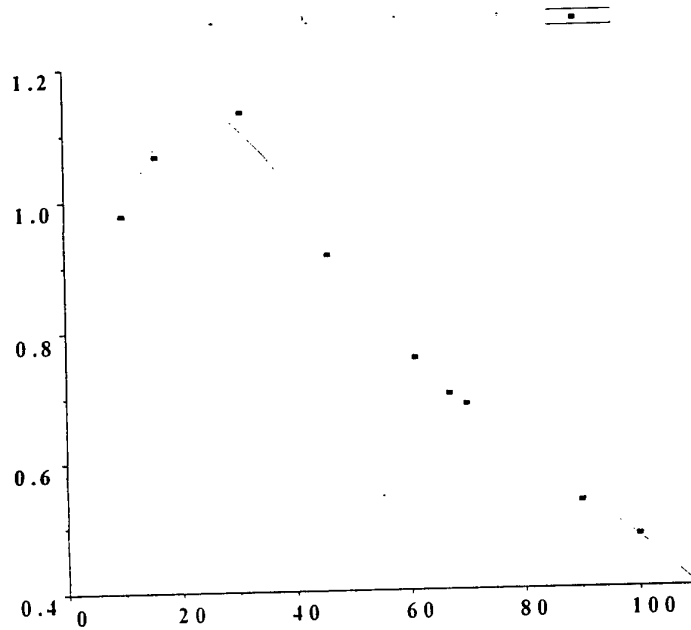


TR9.  $P_4$  and Zn flows in non-isothermal closed synthesis system.

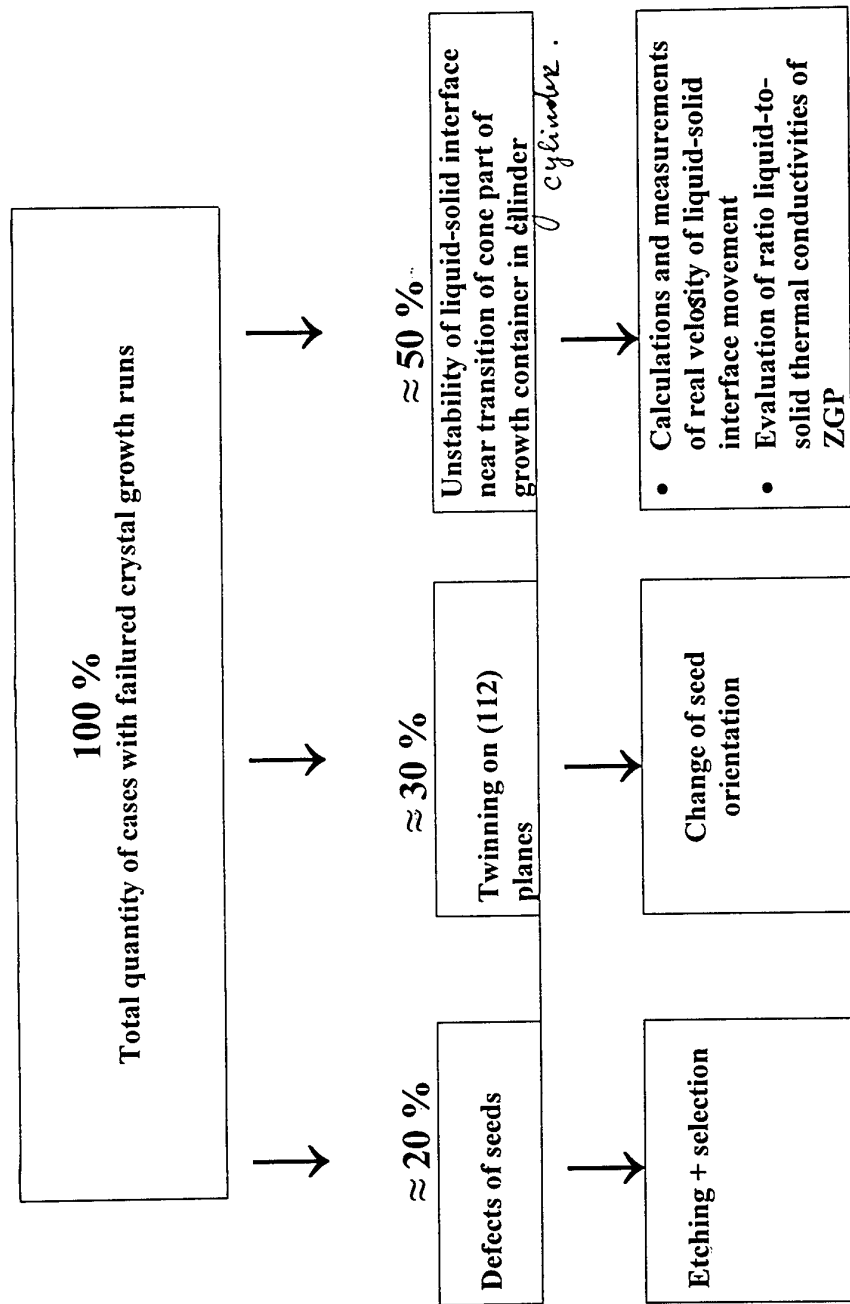


TR10 – Time dependence of expenditure velocity of P4 vapour  
under pressure of 10-12 atm with Zn-Ge melt at 1010 C .

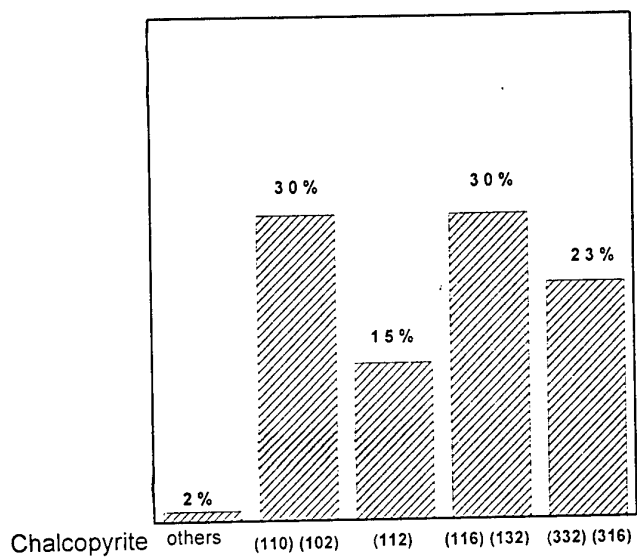
Hot zone temperature - 1010 °C  
Cold zone temperature - 515 °C (  $P_{P4} = 10$  atm)



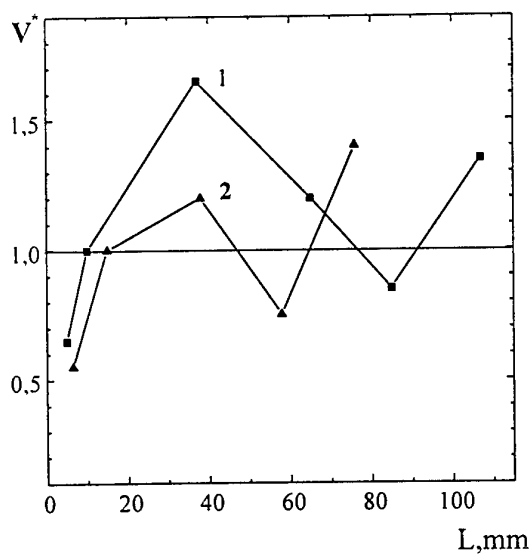
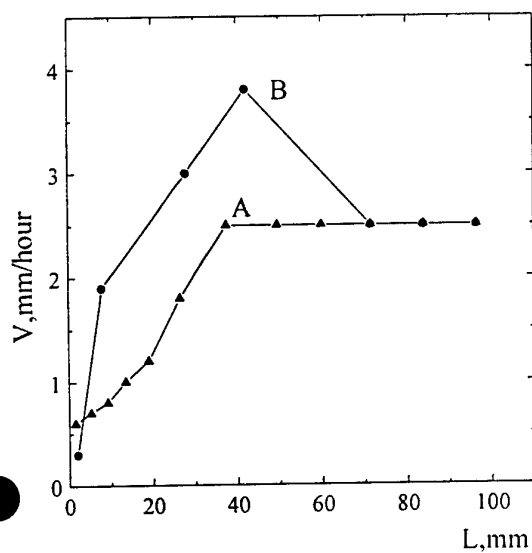
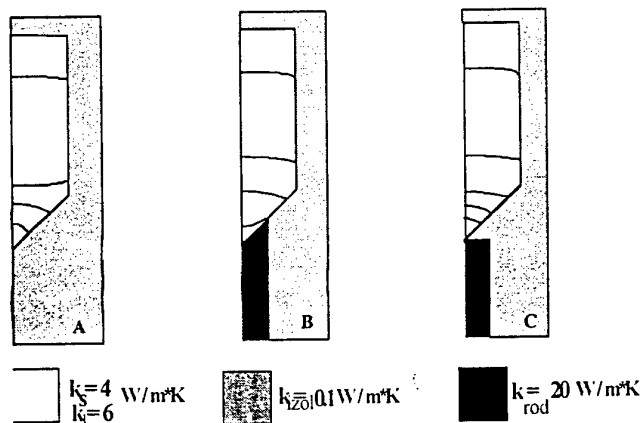
## Distribution of growth failures on causes



**TR12 – Probability distribution of ZGP crystalline blocks enlarged along growth axis in VB-method with spontaneous nucleation.**



**TR14 – The image of growth container surrounding structure for computer calculations.**



**GF method:**

The isotherm crystallization rate for container with A and B surrounding structure.

Cooling rate – 1 °/hour.

**VB method:**

Distribution of isotherm crystallization rate (in units of mechanical movement rate) along crystal axis.

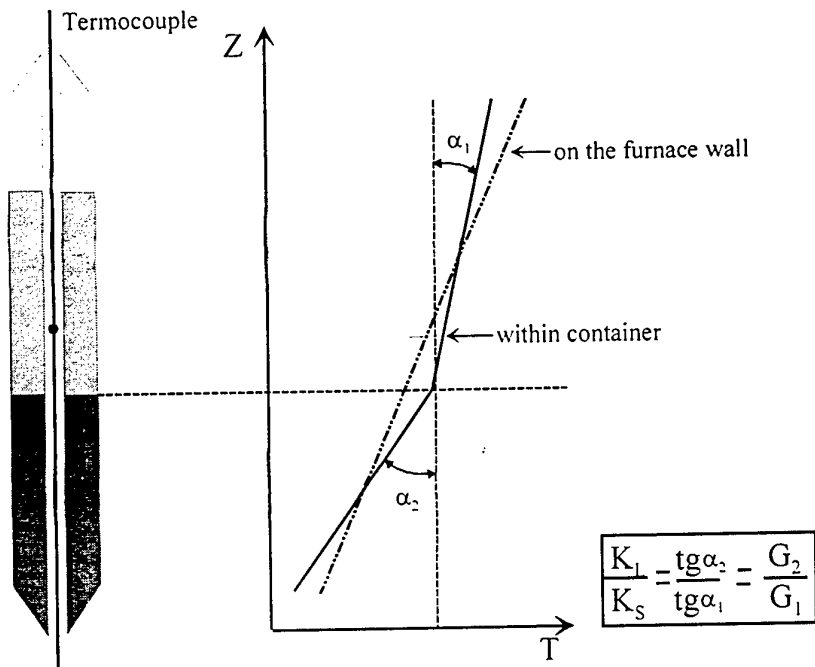
A-type of surrounding structure,  $\varnothing_{\text{furnace}} = 6 \text{ cm}$ ;

1 – calculation's data,  $\varnothing_{\text{ampoule}} = 3 \text{ cm}$ ;

2 - experiment's data,  $\varnothing_{\text{ampoule}} = 2 \text{ cm}$ ;

steady

# R15. Diagram of stady state temperature distribution

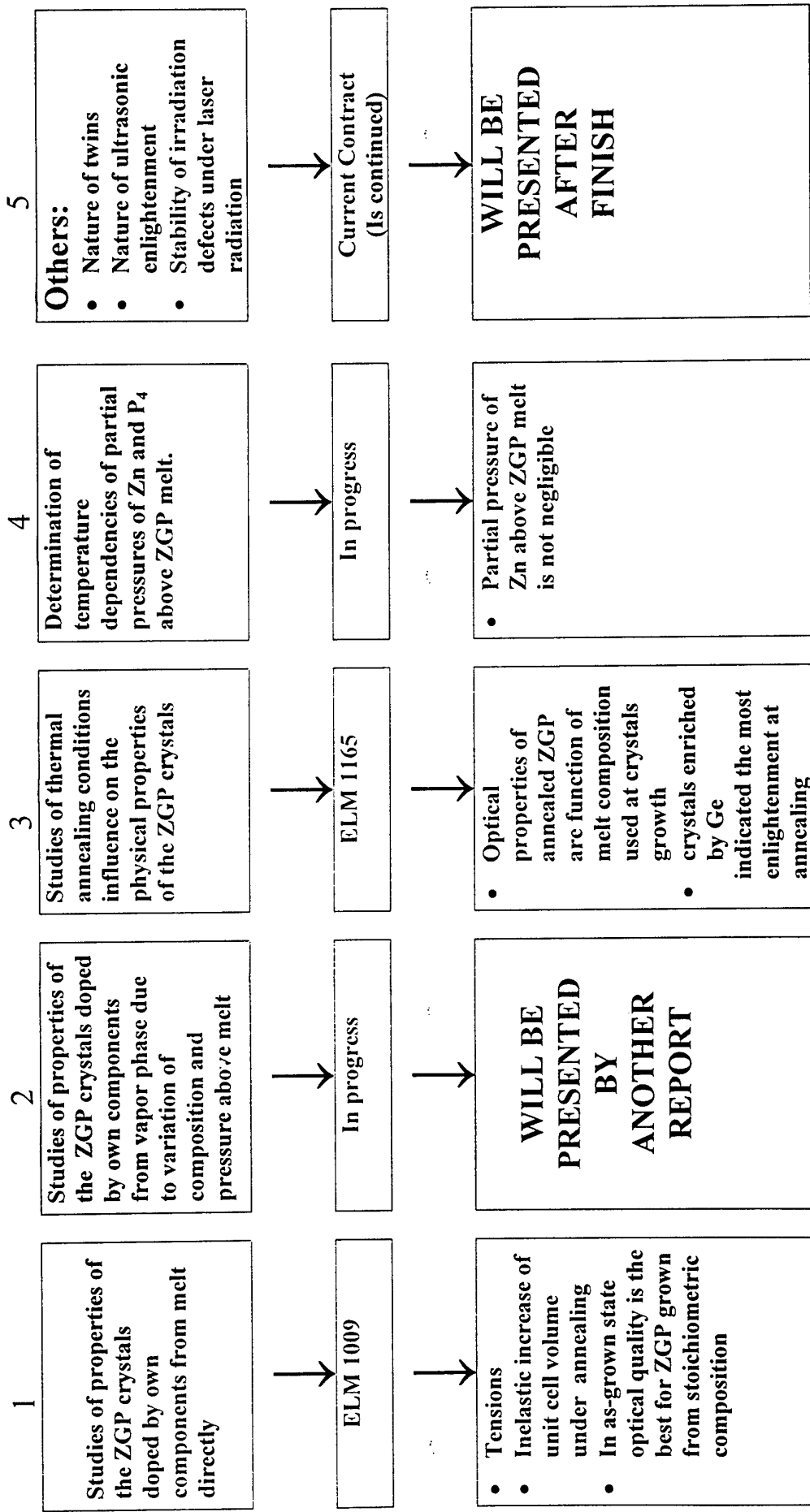


Material	Linear regression coefficients				Calculated values	
	Ts	Gs	TL	GL	T <sub>mel</sub>	K <sub>L</sub> /K <sub>S</sub>
Ge	942.42	4.6	940.51	2.7	937 ± 1	1.7 ± 0.1
GeP <sub>2</sub>	2009.9	16.24	1807.53	12.9	1027 ± 1	1.3 ± 0.1

Literature data for Ge : K<sub>L</sub>/K<sub>S</sub> = 2.93 [3]

Corrected ratio for ZnGeP<sub>2</sub> : K<sub>L</sub>/K<sub>S</sub> = 2.3

## The Second Long-term Program



TR17 Some Results of investigations of ZGP crystals doped from melt. Measurements were made in DERA. The crystals were grown in IOM

Crystal	Dopant (P <sub>4</sub> -pres. atm)	Temperat gradient DT/dx, °C/cm	Unit cell volume, Å <sup>3</sup>	Unit cell volume difference (V <sub>116</sub> - V <sub>116</sub> ) Å <sup>3</sup>	Absorpt. coeff. at 2.06 μm cm <sup>-1</sup>	Absorpt. coeff. difference α <sub>116</sub> - α <sub>116</sub> cm <sup>-1</sup>	Derivative da/dV, cm <sup>-1</sup> Å <sup>-3</sup>	Unit cell volume after annealing Å <sup>3</sup>	Absorpt. coeff. after annealing cm <sup>-1</sup>
89/3 ftf	0.2 wt%Ge	5.2	319.94861	-0.03872	0.431	0.016	<u>-0.465</u>	320,234	0.27 -meas. <u>0.298-calc</u>
89/3 ltf	(7.5)	15.4	319.90989		0.449				
91/2 ftf	Stoich	1.5	319.92818		0.332	0.129	<u>+1.07</u>	320,332	0.36 -meas. <u>0.764-calc.</u>
91/2 ltf	(7.1)	7.5	320.04824	+0.12000 6	0.461				
93/3 ftf	0.2 wt%Zn	2.5	319.98361		0.615	- 0.105	<u>+2.4</u>	320,190	0.53-meas. <u>1.11-calc</u>
93/3 ltf	(3.8)	>20	319.93989	-0.04372	0.510				

Seeds orientation is (116) for all grown crystals.

Annealing result in an increase of unit cell volumes, but expected change of absorption coefficient with the unit cell volume indicated only for sample enriched by Ge.



**ZGP GROWTH FROM MELT:  
THE VAPOUR PHASE COMPOSITION AND CRYSTAL  
PROPERTIES**

**G.A. Verozubova  
A.I. Gribenyukov  
Yu. F. Ivanov\***

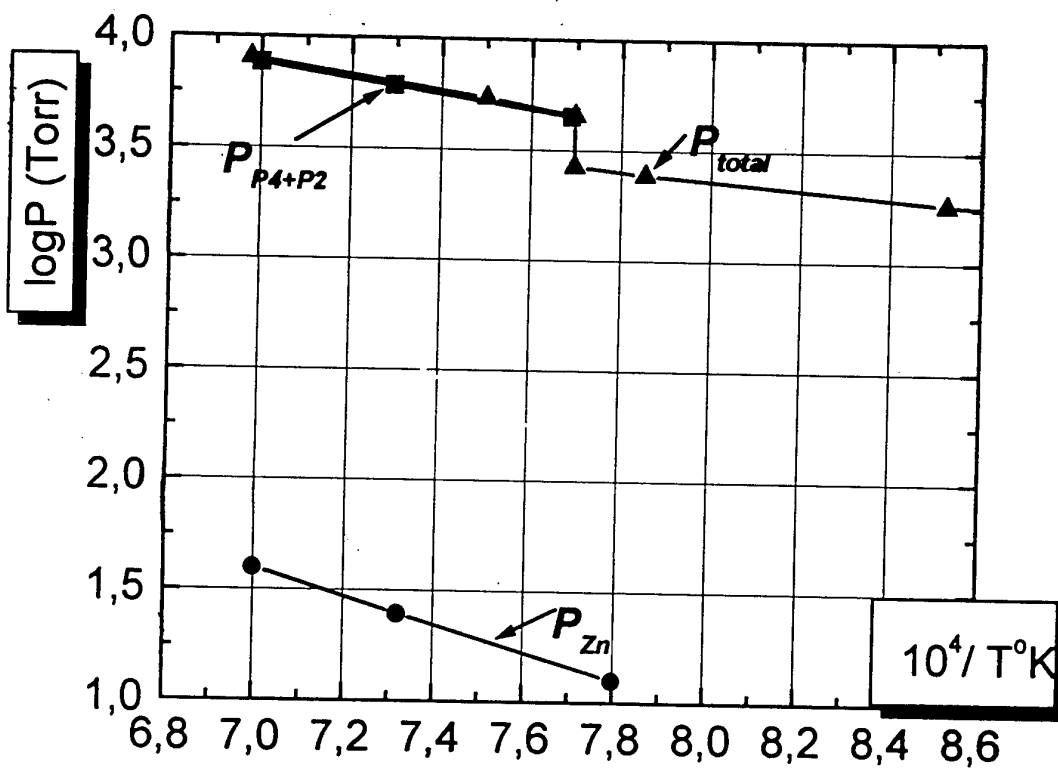
**Institute for Optical Monitoring SD RAS  
\*Tomsk Polytechnical University**

**in collaboration with A.Vere, DERA, Malvern**

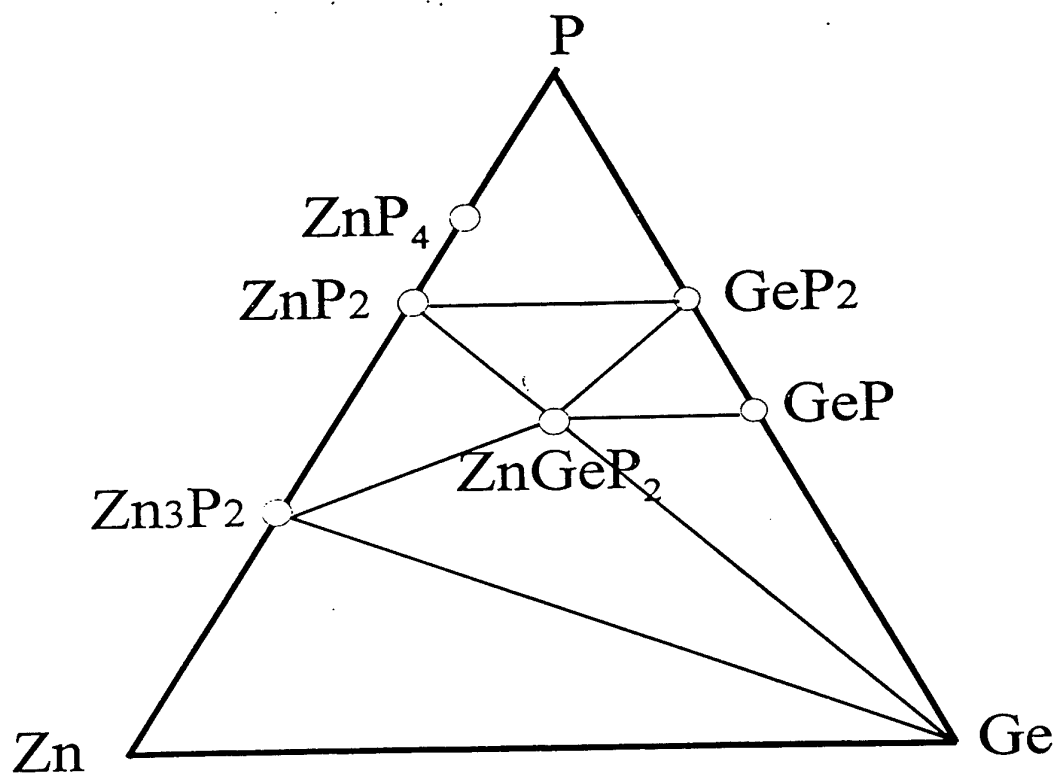
***The work was fulfilled under financial support  
DERA, United Kindom***

327°C – ZnGeP<sub>2</sub> starts to decompose

1038°C – ZnGeP<sub>2</sub> melting point (Seb Fiechter, 1996)



The total pressure above ZnGeP<sub>2</sub> -  $P_{total}$  (Buehler, 1971) and partial pressures of Zn -  $P_{Zn}$  and P -  $P_{P4+P2}$  calculated from the regular solution theory (Roenkov, 1975):  $P_{Zn}$  = 18 Torr at 1068C



The Zn-Ge-P phase triangle

## Experimental details

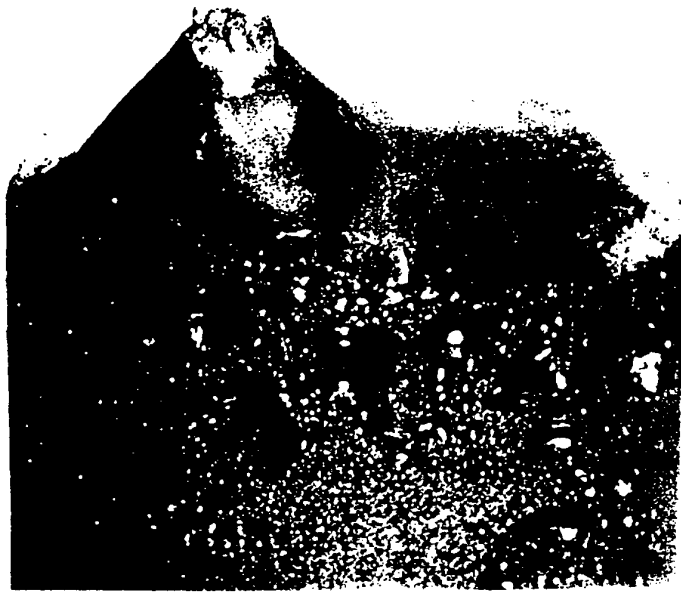
Synthesis: modified two-temperature technique, allowing to produce more then 500 gms of the material in one process

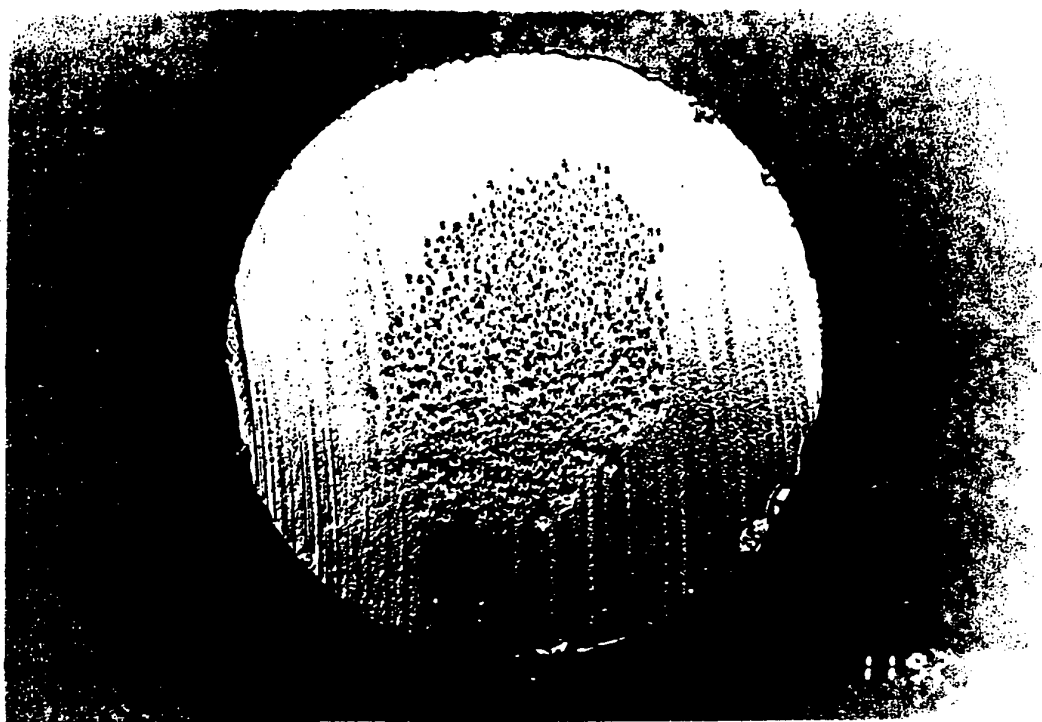
Growth: vertical Bridgman technique, (100) seeds

TABLE 1. Crystal growth conditions.

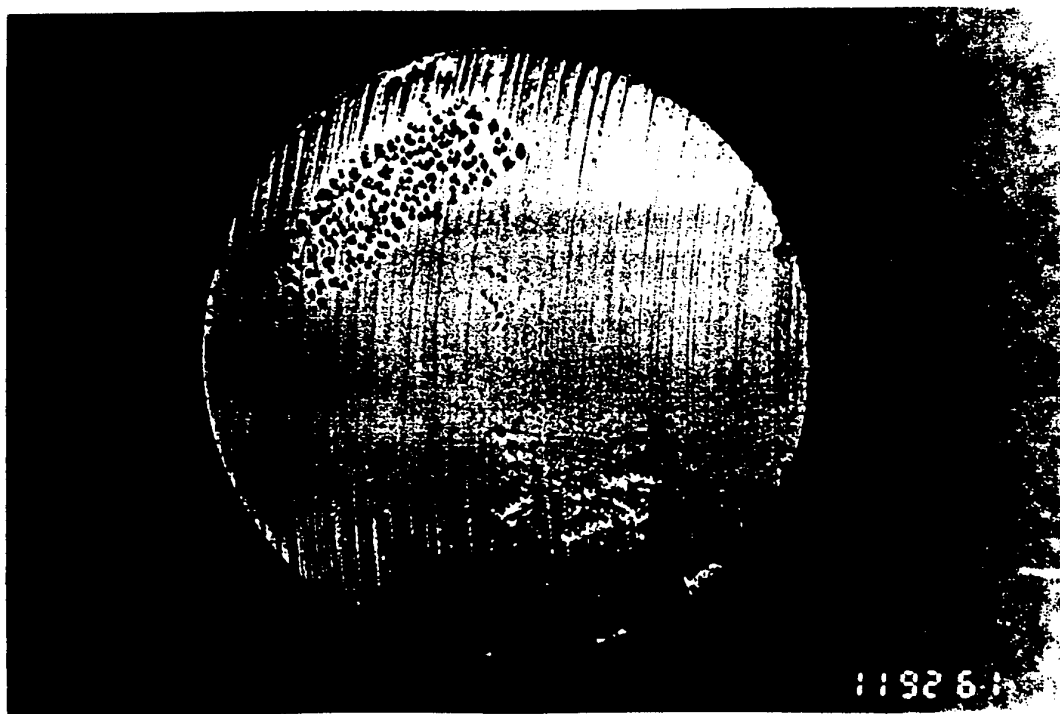
NUMBER OF CRYSTAL	HOT ZONE TEMPERATURE °C	COLD ZONE TEMPERATURE °C	WETTING POINT °C/G	PHOSPHORUS	ZINC	PHOSPHORUS	EXPERIMENTAL RESULTS
1	1060	990	6	0.5	6.9	1.1	Gas pockets
2	1060	1000	3.5	1.0	7.2	-	No pockets Single phase
3	1060	950	10	0.5	-	-	Ge eutectic on the top of the crystal

\*  $P_{P_4}$  ( $P_{Zn}$ ) - pressures of phosphorus (zinc), created by additional charges of P (Zn), and calculated from the ideal gas law.

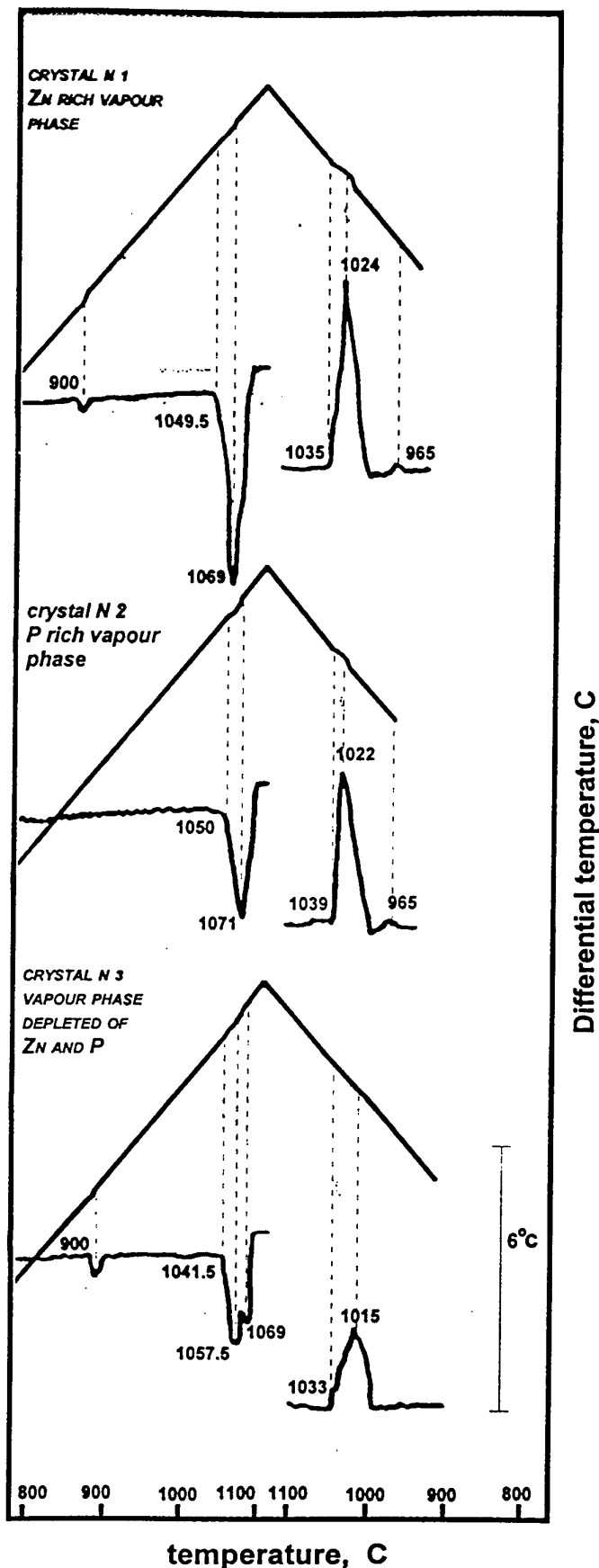




1 cm

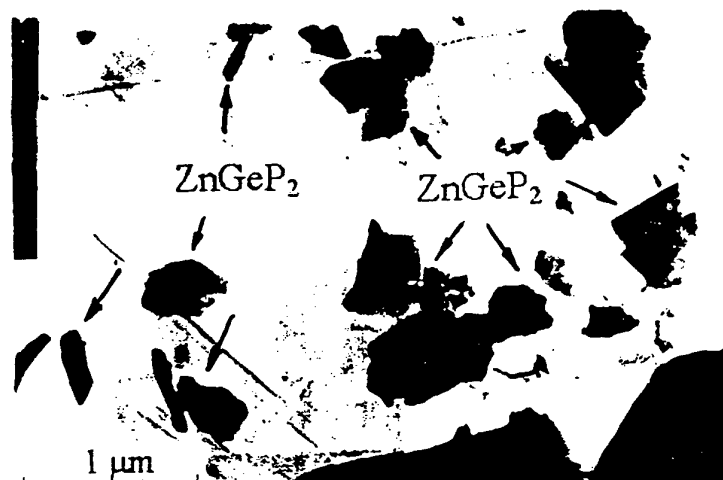


ZnGeP<sub>2</sub> slices after chemical etching



DTA curves of ZGP grown under  
various pressures of Zn and P

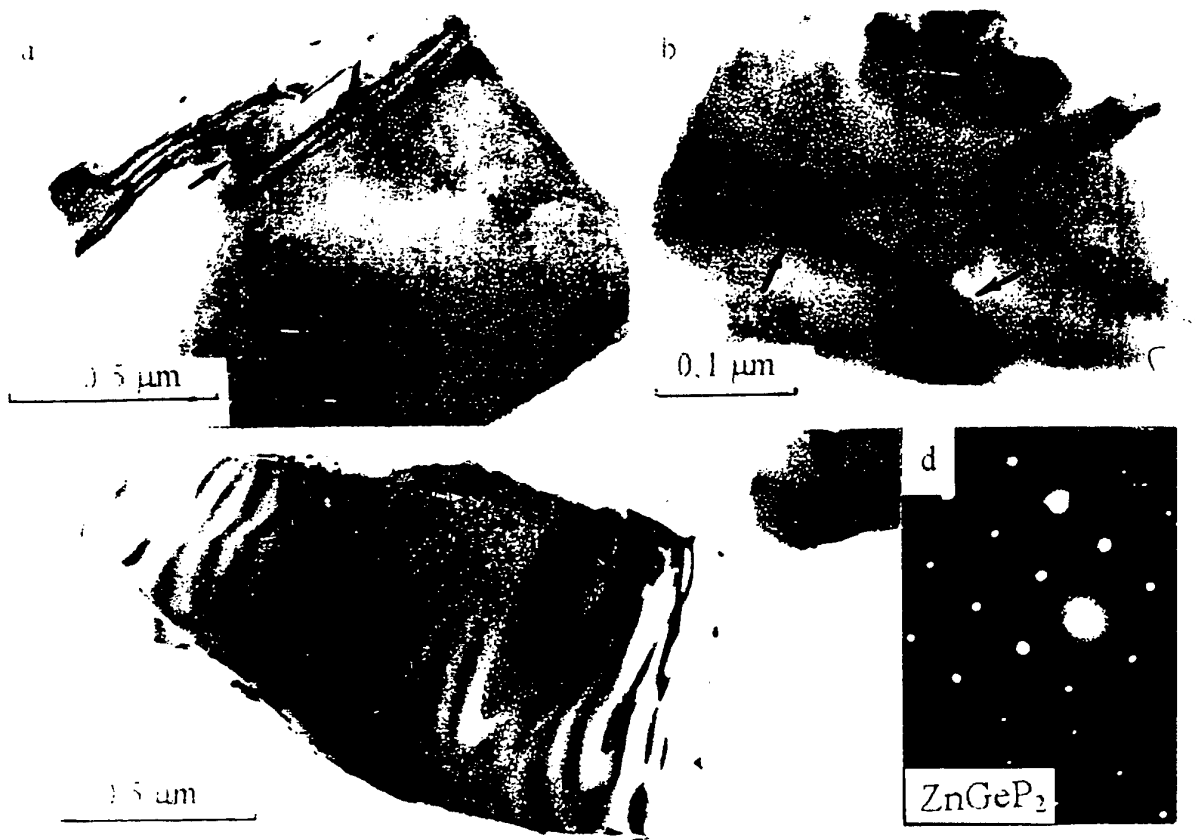
Experimental details: the weight of the studied samples - 0.5 g, heating and cooling rates - 7.5 deg/min  
reference material -  $\text{Al}_2\text{O}_3$ , speed of the paper movement - 1 mm/min



Fragments of failure (damage) of the bulk ZGP specimen  
arranged on the carbon substrate

*Electron microscope EM-125  
Accelerating voltage 125 kV  
Working Magnification 65-85 000  
Resolution 7-10Å*





Microscopic image of  $\text{ZnGeP}_2$

# A Theoretical Study of Defects in $\text{ZnGeP}_2$ and $\text{CdGeAs}_2$

**Ravi Pandey**

Michigan Tech., Houghton, MI 49931  
(pandey@mtu.edu)

(AFOSR-F49620-96-1-0319)

**Approach** : calculations of defect configurations and energetics in the framework of the atomistic model.

**Results** :

- (i) Cation sublattice disorder is predicted to be the dominant native defect in both  $\text{ZnGeP}_2$  and  $\text{CdGeAs}_2$ .
- (ii) The nature of defects responsible for the near-IR absorption band in  $\text{ZnGeP}_2$  and  $\text{CdGeAs}_2$  appears to be different -

$\text{ZnGeP}_2$  : zinc vacancy (localized hole)

$\text{CdGeAs}_2$  : cadmium antisite (delocalized hole)

Defect-induced lattice distortion plays a key role in stabilizing the hole states in the lattice.

Based on the size argument, antisites in ZGP are not expected to introduce significant lattice distortion while those in CGA would be expected to cause significant distortion in the lattice.

$$R_{\text{Zn}} = 1.23 \text{ \AA}, R_{\text{Ge}} = 1.23 \text{ \AA}, R_{\text{Cd}} = 1.41 \text{ \AA}$$

## **Dopant Binding Energies in ZnGeP<sub>2</sub> and CdGeAs<sub>2</sub>**

– selective doping of ZnGeP<sub>2</sub> to reduce the concentration of the dominant native acceptor level via charge compensation in the lattice.

–

### **ZnGeP<sub>2</sub>**

» Au, Cu : inactive    Se, Ga, In : acceptor    (Gigoreva 73)

»

» Au, Cu, Ga, In, Se, Pt : acceptor    (Rud 97)

»

### **CdGeAs<sub>2</sub>**

» Cu, Ga : acceptor    In, Te : donor    (Bairamov 98)

## Summary

- Both Cu and Ag always act as acceptors.
- small hole binding energies for Cu and Ag at the Zn site.
- large hole binding energies for the group III dopants at the Ge site except B which shows a distinct behavior.
- donor levels for B, Al, Ga, In predicted to be near middle of the gap.

# DEFECT IDENTIFICATION IN $\text{ZnGeP}_2$

K. J. Nash  
DERA Malvern

Discussions, exchange of unpublished  
results, with

M. Fearn, A. W. Vere (DERA)

L. E. Halliburton, K. T. Stevens  
(WVU, Morgantown)

# SYMMETRY THEORY

Which defect symmetry groups are possible in the ZGP structure?

- Determination of symmetry from experiments.
- Which defects have a particular symmetry?  
Which of these are consistent with
- the spin Hamiltonian?



# DEFECT SYMMETRY IN ZGP

4 possibilities

- - tetragonal

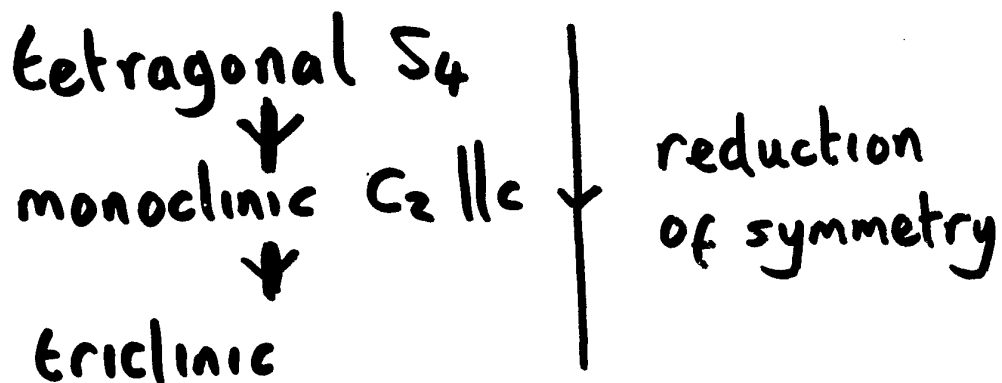
- monoclinic ( $C_2 \parallel a$  or  $b$ )

ENDOR on the main defect in ZGP  
(Halliburton et al)

⇒ monoclinic symmetry ( $C_2 \parallel a$  or  $b$ )

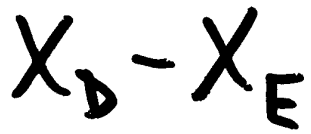
suggested identity:  $V_{Zn}$

- But the Zn site has tetragonal symmetry

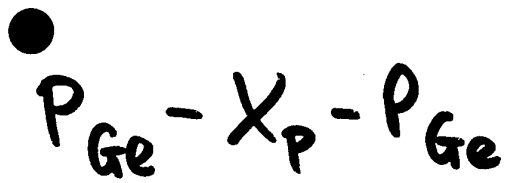


- So  $V_{Zn}$  does not have the right symmetry

# Other Models



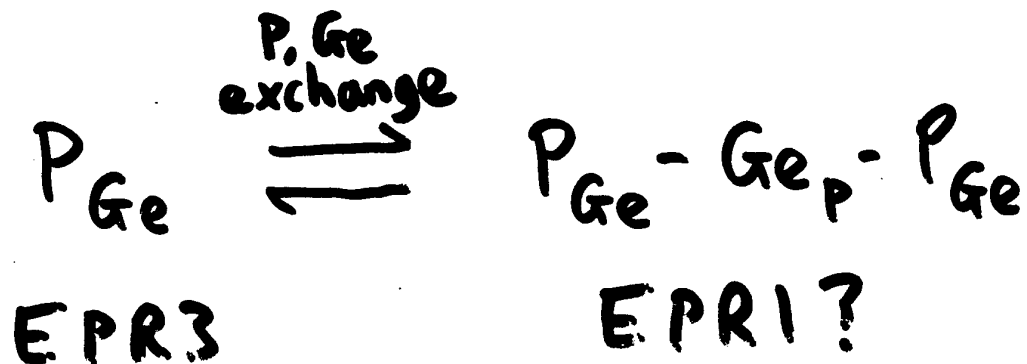
where D is a defect site that is close to one P atom and D, E are related by the  $C_2$  symmetry axis



(CI = interstitial site on cation sublattice)

- - ↳ unpaired spin localised on X;  
two P atoms related by symmetry

# SPECULATION



- no evidence for this! but...

- $\text{P}_{\text{Ge}}$  has already been found in ZGP
- $\text{P}_{\text{Ge}} - \text{Ge}_p - \text{P}_{\text{Ge}}$  would explain the
- EPRI signal, if the unpaired spin is localised on  $\text{Ge}_p$ .

● ●

# Optical Properties of Tellurium Rich $\text{Te}_x\text{Se}_{(1-x)}$ Nonlinear Optical Semiconductors

G.J. Brown\*, Cindi L. Dennis\*,  
M. C. Ohmer\*, and Arnold Burger\*\*

\*Air Force Research Laboratory, Materials & Manufacturing Directorate,  
AFRL/MLPO, Wright-Patterson AFB, OH 45433-7707

\*\* Fisk University, 1000 17<sup>th</sup> Ave., N, Nashville, TN 37208-3051

ASC-99-1822

# Outline

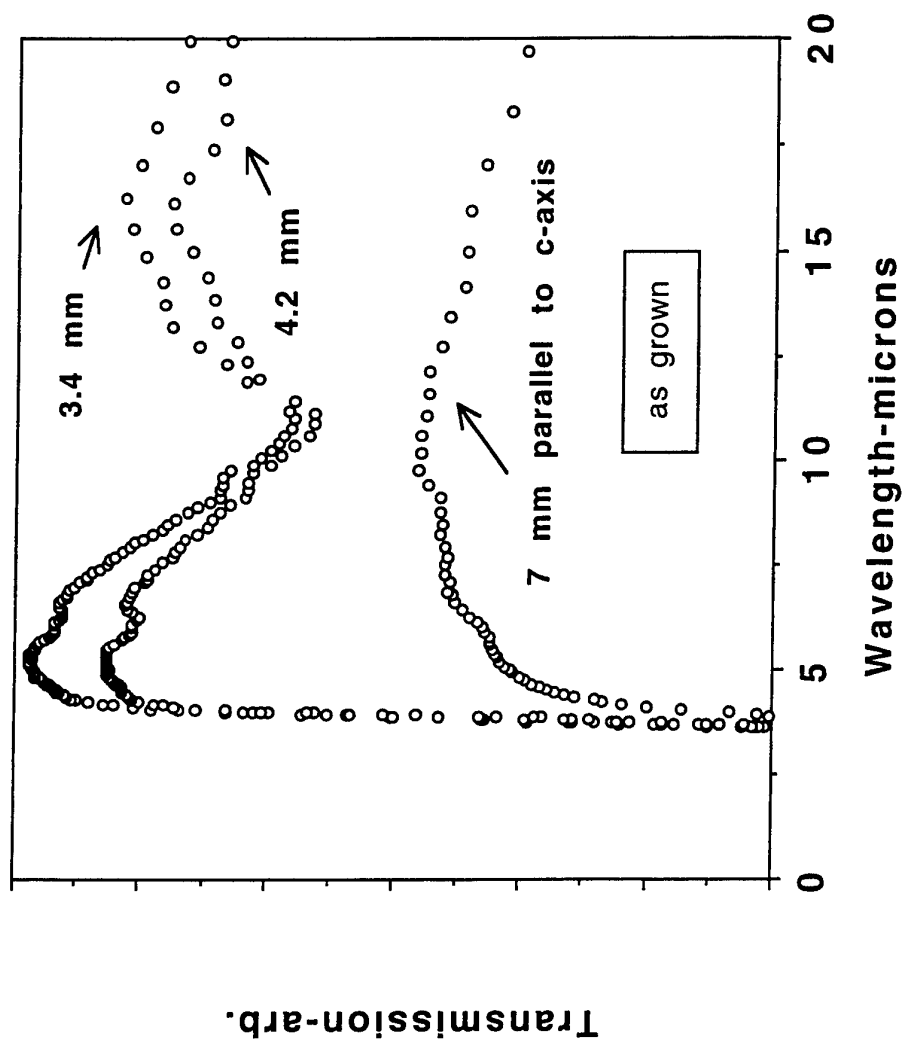
- Infrared photoresponse and the energy gaps of tellurium rich oriented crystals of the form  $\text{Te}_x\text{Se}_{(1-x)}$  for  $x=1, 0.9$ , and  $0.8$  are reported.
- Band gaps are comparisoned

- Te	0.33 eV	3.76 microns
- $\text{Te}_{0.9}\text{Se}_{0.1}$	0.38	3.26
- $\text{Te}_{0.8}\text{Se}_{0.2}$	0.498	2.48
- Se	1.7	0.73
- The composition  $\text{Te}_{0.286}\text{Se}_{0.714}$  is estimated to have an energy gap of 1 eV or 1.24 microns from literature data

## WHAT IS A LARGE SECOND ORDER NONLINEAR SUSCEPTIBILITY (a.k.a. CHI2)?

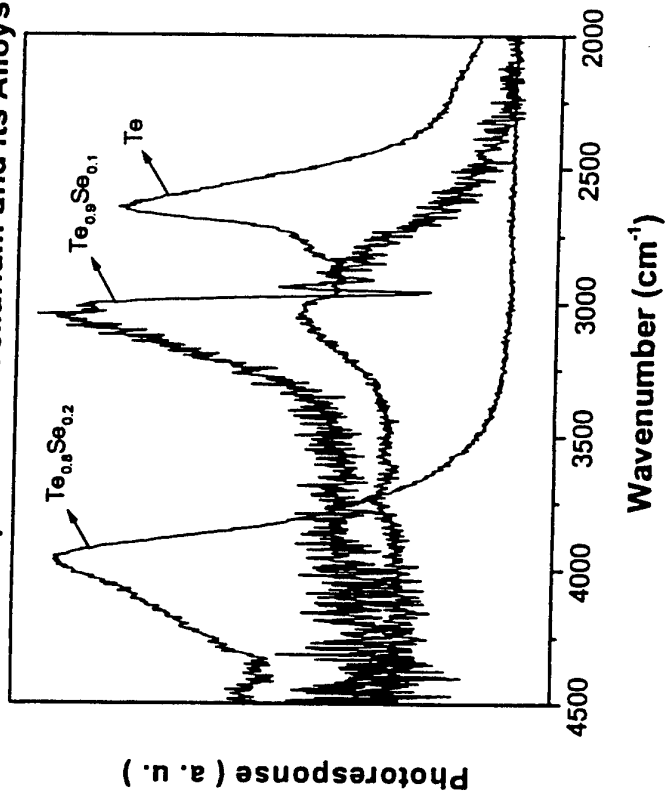
- The largest reported value for a birefringent element is 650 pm/V for Te. The value for Se is 97 pm/V.
- The largest reported value for a birefringent compound is 470 pm/V for CdGeAs<sub>2</sub>
- The value of 11 pm/V for lithium niobate (LiNbO<sub>3</sub>) is usefully large for optical signal processing applications
- Two state of the art IR materials, AgGaS<sub>2</sub> and AgGaSe<sub>2</sub> have Chi2 values of respectively 36 pm/V and 66 pm/V
- ZnGeP<sub>2</sub> has a very respectable value of 150 pm/V, but not birefringent
- The above numbers are normalized to GaAs at 180 pm/V
- Upper limit for bound electrons is 4000-5000 pm/V

**Oriented Tellurium Crystal  
Perkin Elmer FTIR (Raw Source Beam)**

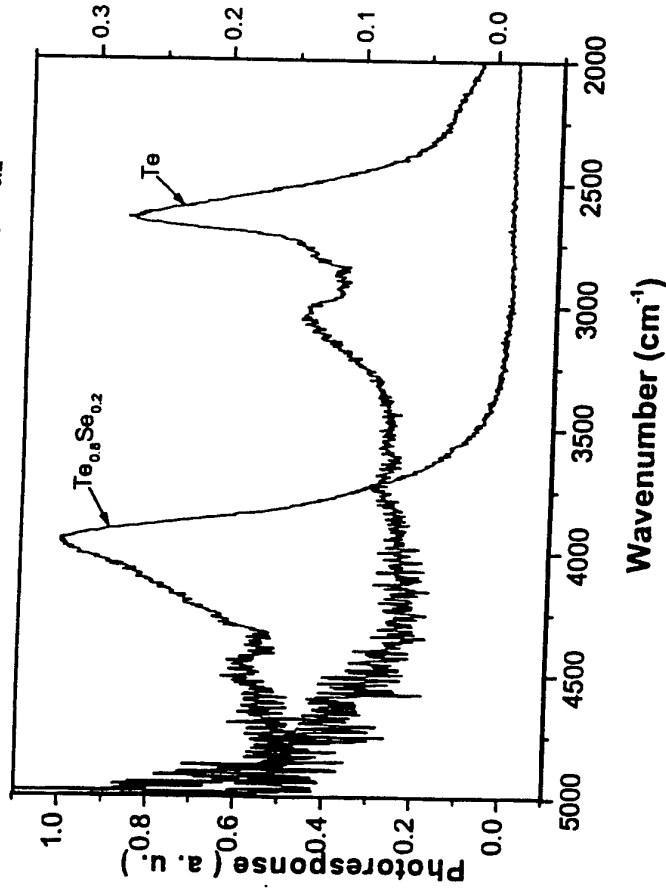




Comparison of Tellurium and Its Alloys



Photoreponse of Te and Te<sub>0.8</sub>Se<sub>0.2</sub>



# **AFRL Materials Directorate Efforts in Nonlinear Optical Crystal Development for Laser Wavelength Shifting**

**NILS C. FERNELIUS\*, F.K. HOPKINS,  
& M.C. OHMER**

*Materials Directorate,  
Air Force Research Laboratory,  
Wright Patterson Air Force Base,  
Dayton, Ohio 45433*

# **DESIRED PROPERTIES FOR NLO UNOBTAINIUM**

**BROAD WAVELENGTH OPTICAL TRANSMISSION**

**LOW LOSS: ABSORPTION & SCATTER**

**LARGE NONLINEAR SUSCEPTIBILITY or  $d$**

rather  $d_{eff}^2/n^3$

**NEED LARGE BIREFRINGENCE FOR PHASE MATCHING**

(or USE QUASI-PHASE-MATCHING STRUCTURES)

**TOO LARGE LEADS TO BEAM WALK-OFF PROBLEMS**

**NEED UNIFORM REFRACTIVE INDEX THROUGHOUT**

**CRYSTAL**

**LASER DAMAGE RESISTANCE**

**WANT SMALL  $dn/dT$  TO AVOID SELF-FOCUSING**

**WANT HIGH THERMAL CONDUCTIVITY**

**WANT STRONG MECHANICAL PROPERTIES**

**STABLE CHEMICAL PROPERTIES**

**CRYSTALS EASY TO GROW**

**INEXPENSIVE**

# THRUSTS OF BULK CRYSTAL PROGRAM

## BIREFRINGENT CRYSTALS:

KTP {KTiOPO<sub>4</sub>} ISOMORPHS- KTA {KTiOAsO<sub>4</sub>},  
RTA {RbTiOAsO<sub>4</sub>}, CTA {CsTiOAsO<sub>4</sub>},  
KRTA {K<sub>1-x</sub>Rb<sub>x</sub>TiOAsO<sub>4</sub>}

KTP GREY TRACK

CHALCOPYRITES- ZnGeP<sub>2</sub>, CdGeAs<sub>2</sub>, AgGaS<sub>2</sub>,  
AgGaSe<sub>2</sub>, AgGa<sub>1-x</sub>In<sub>x</sub>Se<sub>2</sub>, AgGaTe<sub>2</sub>

GaSe

HgGa<sub>2</sub>S<sub>4</sub>

CGC {CsGeCl<sub>3</sub>}

CGB {CsGeBr<sub>3</sub>}

UV MATERIALS(borates) - LBO {LiB<sub>3</sub>O<sub>5</sub>},

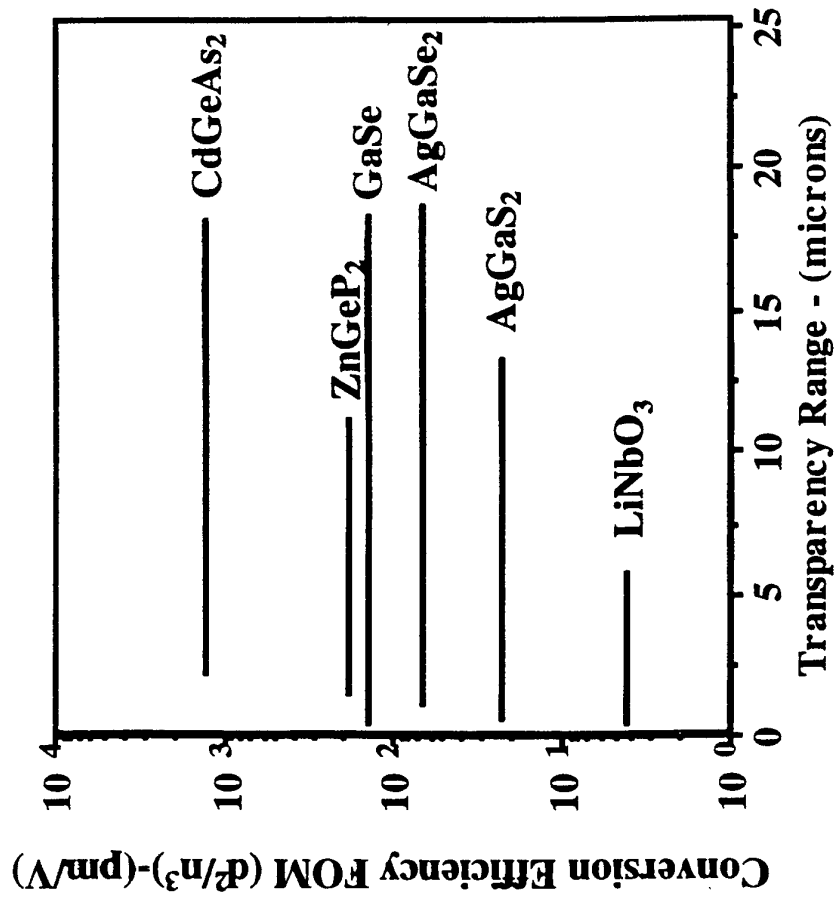
BBO { β-BaB<sub>2</sub>O<sub>4</sub>}, CLBO { CsLiB<sub>6</sub>O<sub>10</sub>},

KBBF {KBe<sub>2</sub>BO<sub>3</sub>F<sub>2</sub>}, CsLaB<sub>7</sub>O<sub>13</sub>,

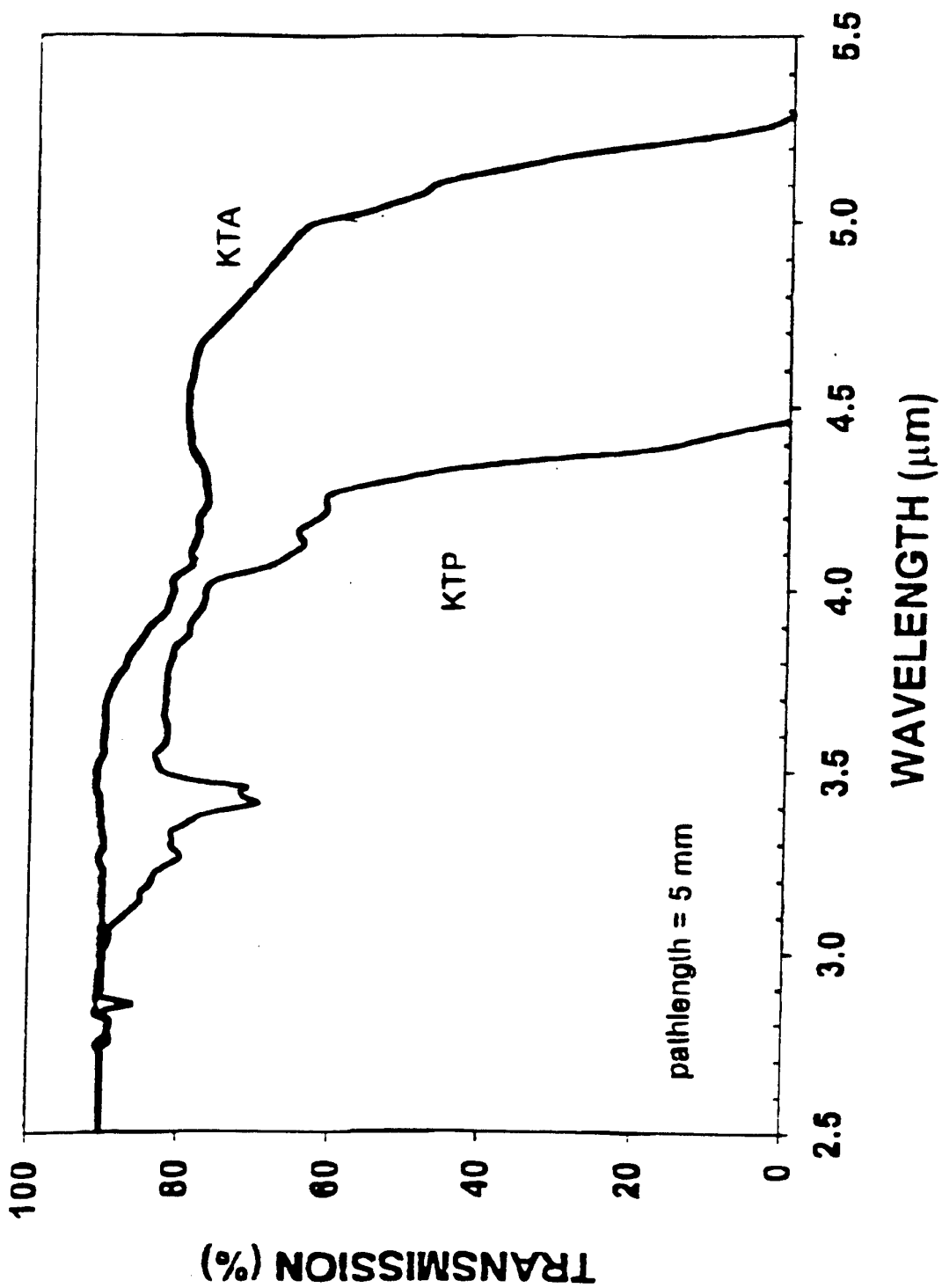
low birefringence: SBO {SrB<sub>4</sub>O<sub>7</sub>} & PBO {PbB<sub>4</sub>O<sub>7</sub>}

MM'(B<sub>3</sub>O<sub>5</sub>)<sub>3</sub> where M = Sr, Ba, Pb; M' = Li, Na

Figure of Merit for Many Common NLO Materials



# TRANSMISSION KTA vs. KTP



CRYSTAL ASSOCIATES, INC.

## GRAY TRACKS IN KTP

Nd:YAG laser produces 355 nm photons (third harmonic generation or sum of fundamental & second harmonic)  
 KTP room temperature band edge at 350 nm.  
 The above-band-gap photons generate electron-hole pairs.  
 Many recombine but a portion are trapped at stabilizing defects such as vacancies or impurities to form "stable" gray tracks. When these complexes contain an unpaired electron, they can be studied by ESR and ENDOR.

Flux grown KTP:  $\xrightarrow{\text{formation}}$   $\text{Fe}^{3+} + \text{h}^+ < \text{decay}$   $\text{Fe}^{4+}$

$\text{Ti}^{4+}\text{-V}_\text{O} + \text{e}^- \xrightarrow{\text{formation}} < \text{decay}$   $\text{Ti}^{3+}\text{-V}_\text{O}$

Hydrothermal grown KTP:  $\xrightarrow{\text{formation}}$   $\text{Fe}^{3+}\text{-OH}^- + \text{h}^+ < \text{decay}$   $\text{Fe}^{4+}\text{-OH}^-$

$\text{Ti}^{4+}\text{-OH}^- + \text{e}^- \xrightarrow{\text{formation}} < \text{decay}$   $\text{Ti}^{3+}\text{-OH}^-$

Halliburton & Scripsick, SPIE Proc. 2379 235 (1995)

# CHALCOPYRITES

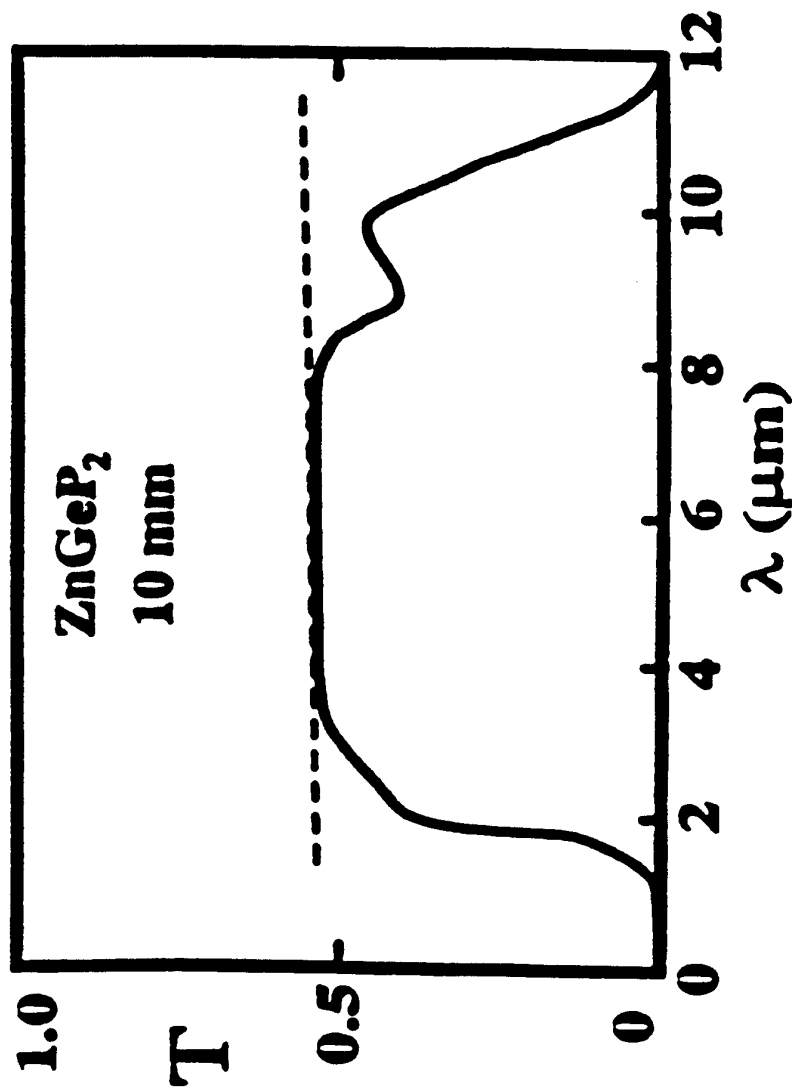


Some examples for IR NLO:



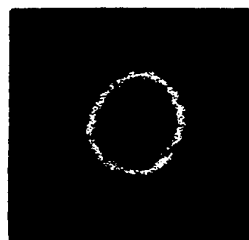
See July, 1998 issue MRS Bulletin 23(7)



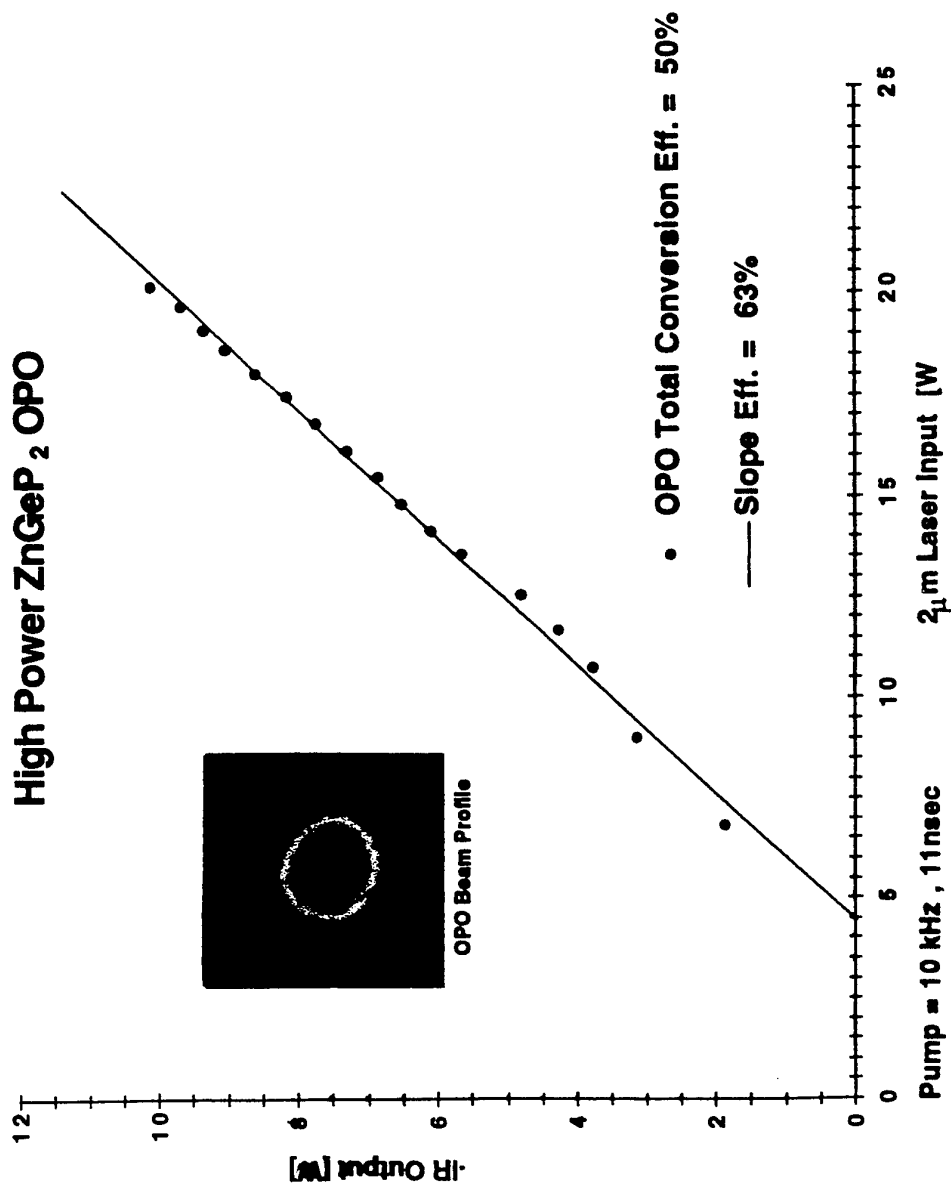


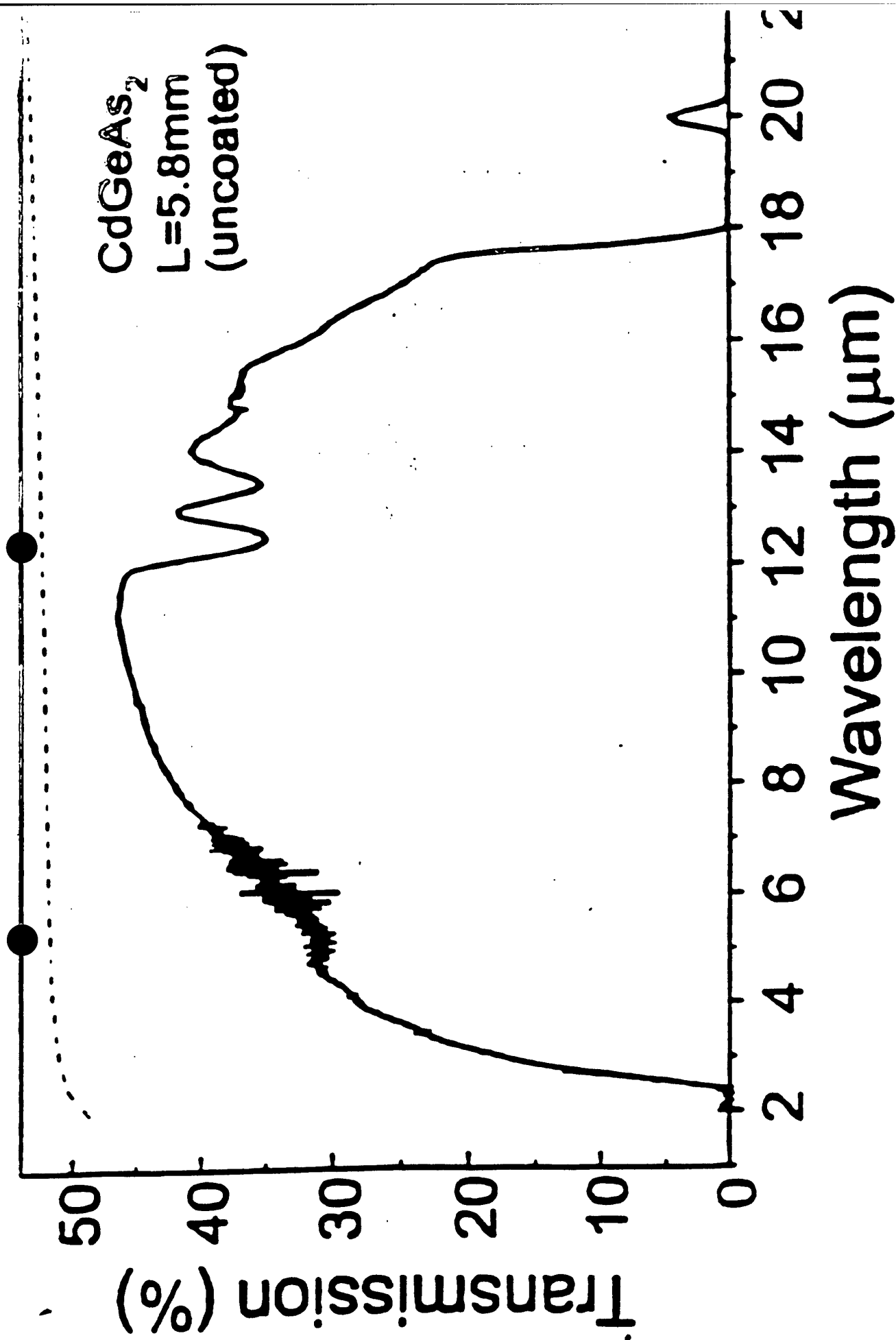
Transmission spectra taken at room temperature.  
ZnGeP<sub>2</sub> ( $L = 10$  mm). Dashed curves, Fresnel losses.

# High Power ZnGeP<sub>2</sub> OPO

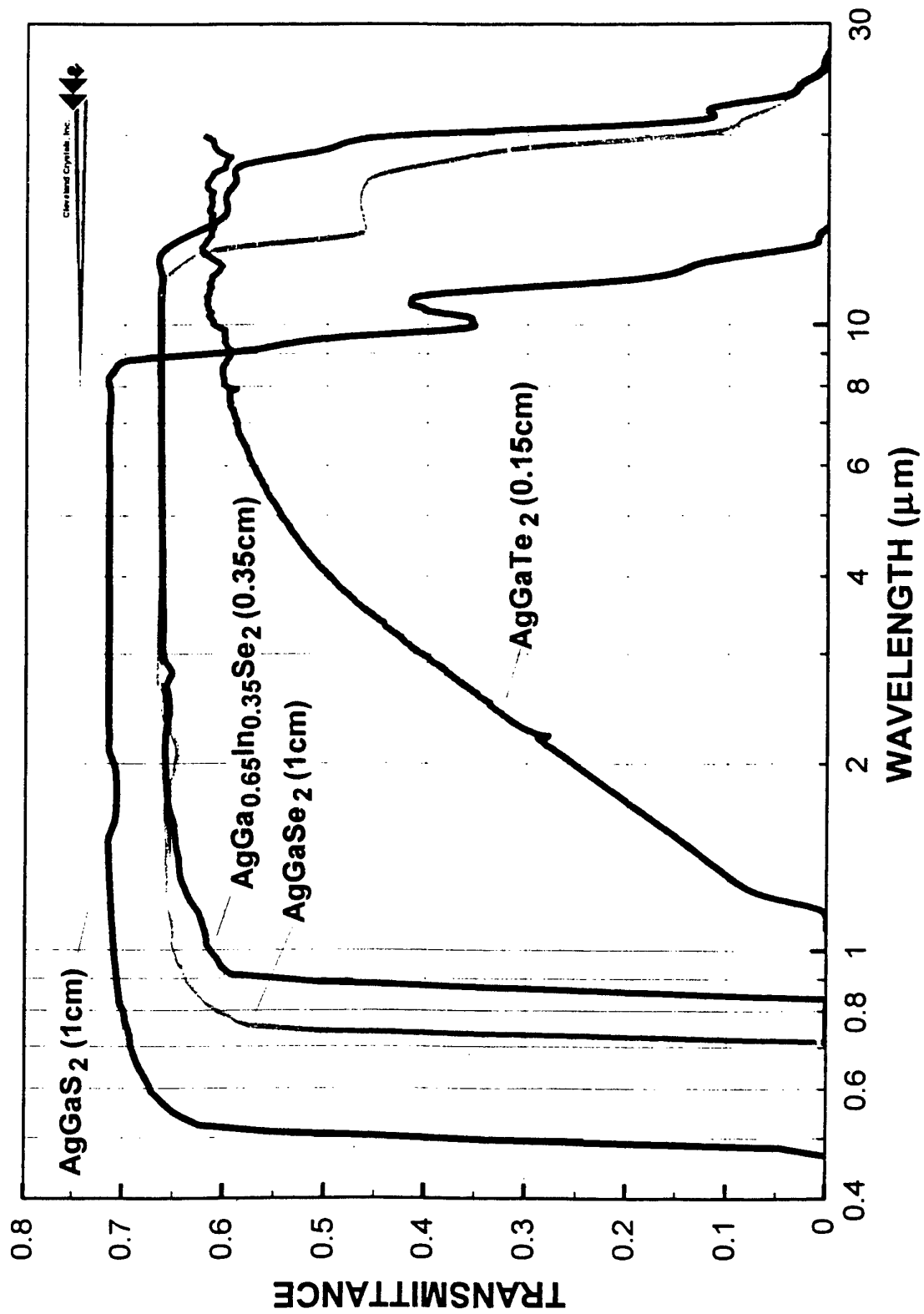


OPO Beam Profile





Measured transmission spectrum of an uncoated, 5.8 mm long CdGeAs<sub>2</sub> sample.

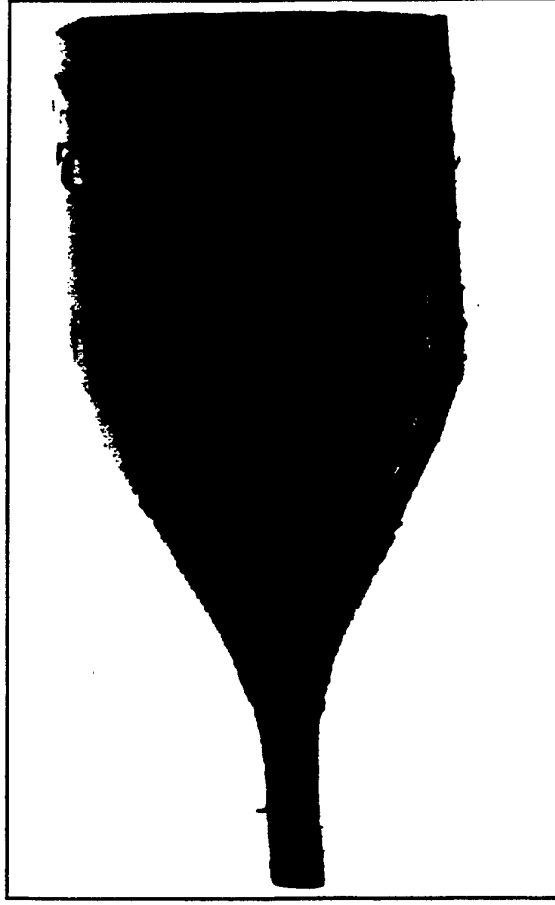


◆ GaSe has extremely high NLO coefficient (76 pm/V) and merit ( $d^2/n^3$  331 ) compared to ZGP, TAS and AgGaSe<sub>2</sub> crystals.

◆ GaSe transmits between 0.65 to 20 micrometer wavelength region without any absorption band.

◆ GaSe has very high damage threshold and did not damage up to 180 MW/cm<sup>2</sup> power

**NORTHROP GRUNNAN**

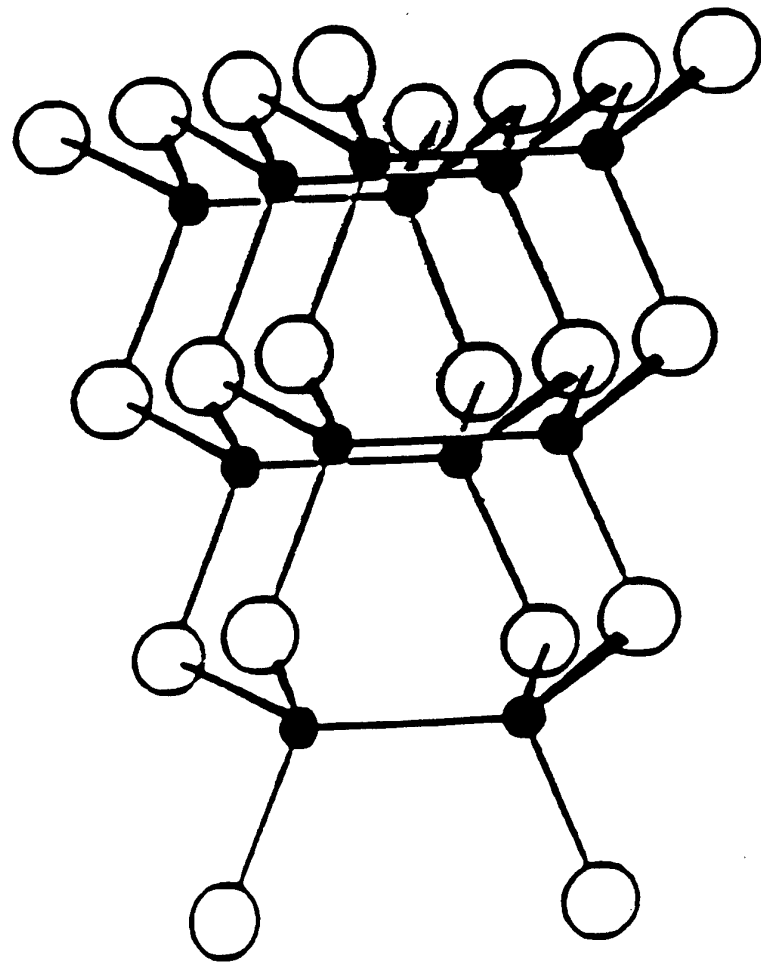


AF-1 GaSe Crystal

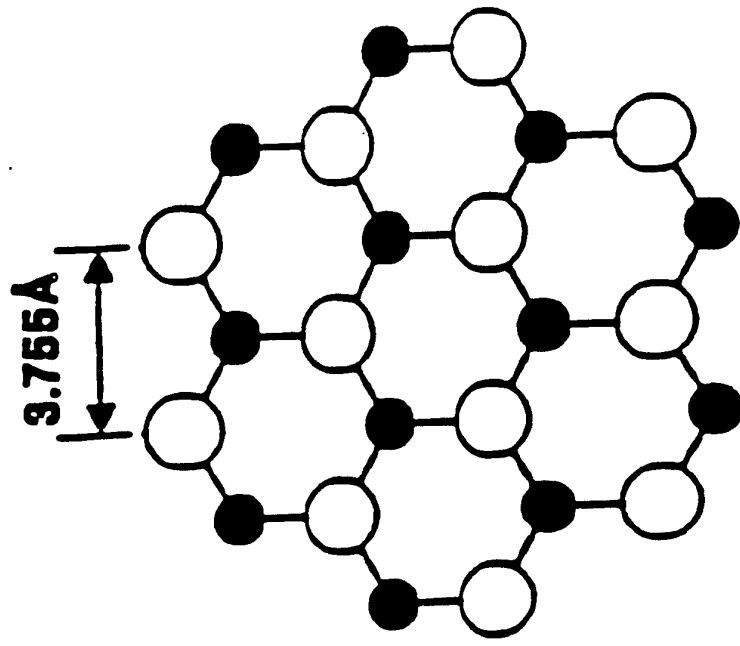
METRIC 11

21





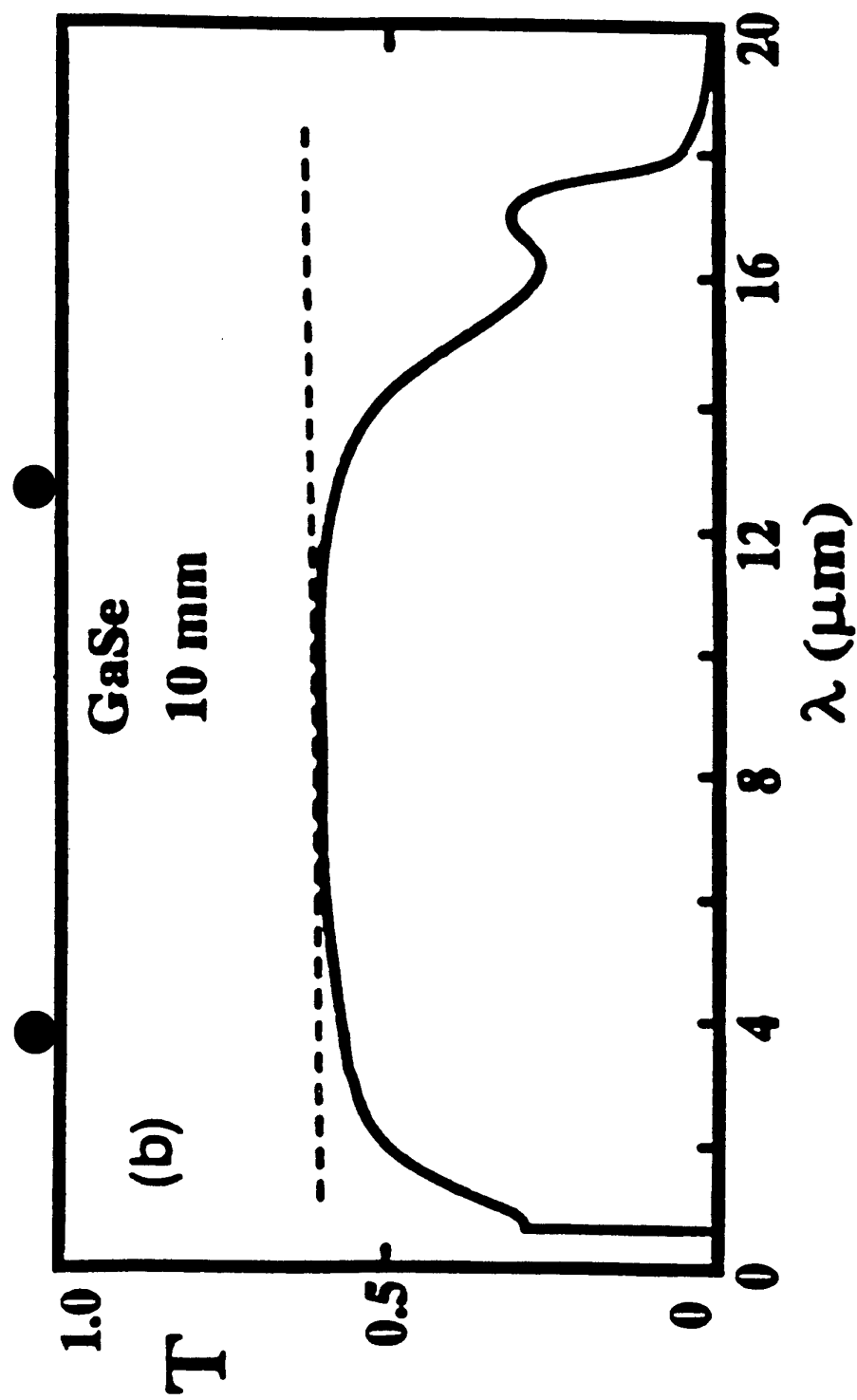
**Perspective view**



**Top view**

Perspective and top views of a unit layer of GaSe.

Uneo, Abe, Suiki & Koma, Jap. J. Appl. Phys. Lett., 30 L1352 (1991)



Transmission spectra taken at room temperature.  
GaSe ( $L = 10$  mm). Dashed curves, Fresnel losses.

K. L. Vodopyanov



## RECENT GaSe USES

W.C. Eckhoff, R.S. Putnam, S. Wang, R.F. Curl, F.K. Tittel  
A continuously tunable long-wavelength cw IR source  
for high-resolution spectroscopy and trace-gas detection  
*Appl. Phys. B* **63**, 437-441 (1996)

Difference frequency generation (DFG) of two  
synchronously pumped Ti:sapphire lasers yields continuously  
tunable light over 8.8-15.0  $\mu\text{m}$  region.

K.L. Vodopyanov & V. Chazapis

Extra-wide tuning range optical parametric generator

*Optics Communications* **135**, 98-102 (1997)

Optical parametric generator (OPG) yields continuously  
tunable light over 3.3-19  $\mu\text{m}$  range.

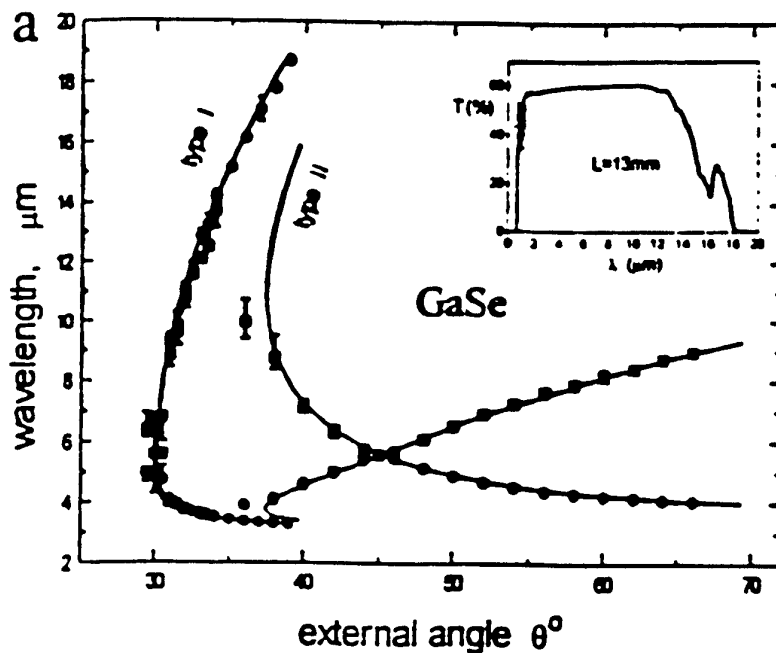
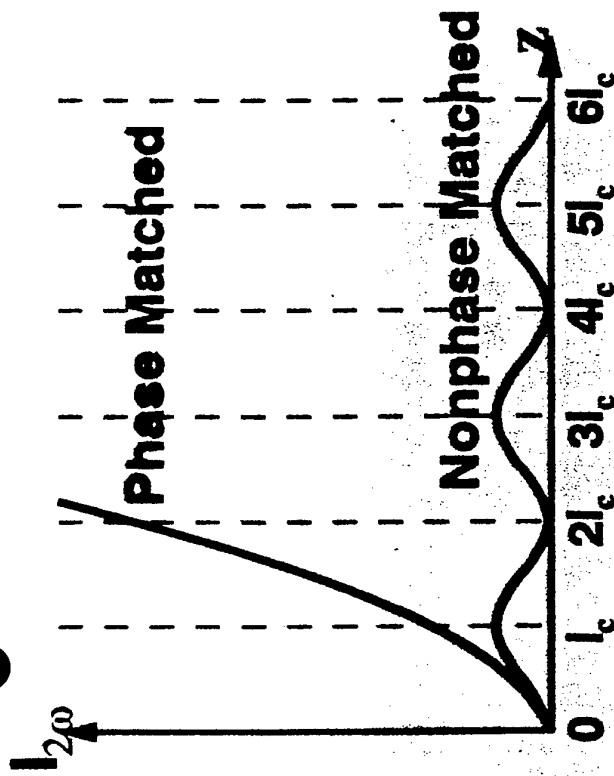
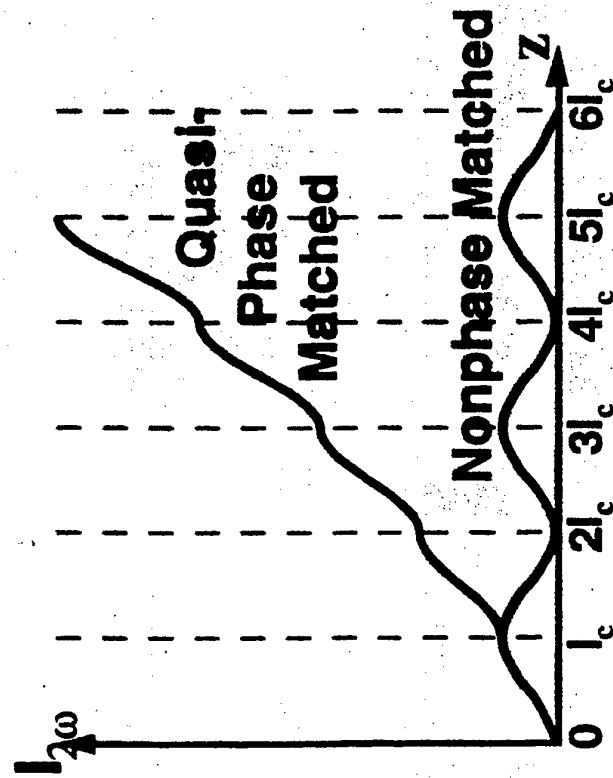


Fig. 2. GaSe and  $\text{ZnGeP}_2$  angular tuning curves at  $\lambda = 2.8 \mu\text{m}$  pump for the two types of phase-matching. Vertical bars correspond to experimental half-maximum linewidths. Solid lines - calculated tuning curves. Insets show linear transmission spectra for the two crystals;

# Quasi-Phase Matching



## QUASI-PHASE-MATCHING TECHNIQUES

### Inverted Segments



Diffusion bonded - GaAs

Periodically poled -  $\text{LiNbO}_3$ ,  
 $\text{LiTaO}_3$ , KTP, RTA, KTA, SBN

Periodic doping

- diffusion exchange

- ion implantation

### Total Internal Reflection Devices



- GaAs, ZnSe

# THRUSTS OF BULK CRYSTAL PROGRAM

## QUASI-PHASE-MATCHING TECHNIQUES:

### INVERTED SEGMENTS

DIFFUSION BONDED - GaAs

PERIODICALLY POLED- 3-5 $\mu$ m:  $\text{LiNbO}_3$  {PPLN},

$\text{LiTaO}_3$  {PPLT},

KTP, RTA, KTA

$\text{Pb}_x\text{Ba}_{1-x}\text{Nb}_2\text{O}_6$  (PBN)

8-12 $\mu$ m:  $\text{CsGeCl}_3$ ,  $\text{CsGeBr}_3$

$\text{Ti}_3\text{PbBr}_5$ ,  $\text{Ti}_4\text{PbI}_6$ ,  $\text{Ti}_4\text{HgI}_6$

PERIODIC DOPING - diffusion exchange

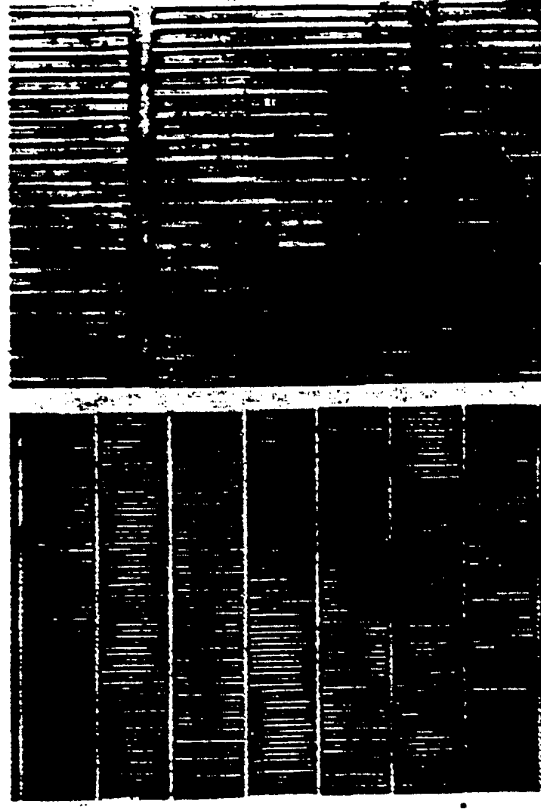
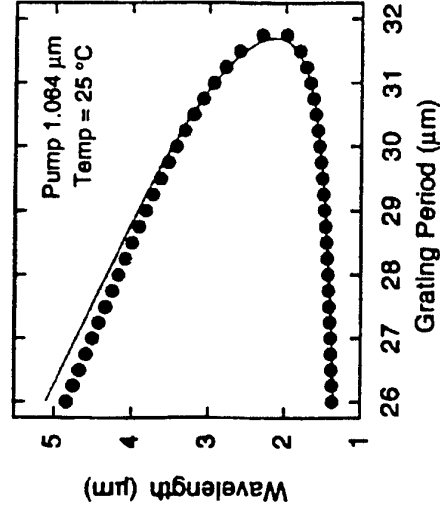
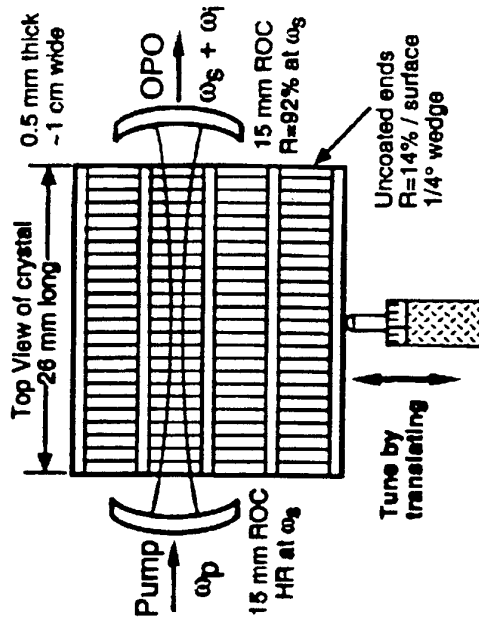
ion implantation

PERIODIC PRESSURE TO INVERT DOMAINS

TOTAL INTERNAL REFLECTION DEVICES - GaAs, ZnSe

# Mid IR Multigrating PPLN

Tuning from  $\sim 1.4 - 4.8 \mu\text{m}$   
 Multi - Watt Output Power  
 Suffers from Photorefractive Effects  
 Low Cost Material  
 Uses  $1.06 \mu\text{m}$  Laser Pump



● ●

# MATERIALS WHICH HAVE BEEN PERIODICALLY POLED

$\text{LiNbO}_3$  (PPLN)

$\text{LiTaO}_3$  (PPLT)

$\text{KTiOPO}_4$  (KTP)

$\text{RbTiOAsO}_4$  (RTA)

$\text{KTiOAsO}_4$  (KTA)

$\text{Sr}_{0.6}\text{Ba}_{0.4}\text{Nb}_2\text{O}_6$  (SBN)

$\text{BaTiO}_3$  (PPBT)

# **PERIODICALLY POLED LITHIUM NIOBATE-LiNbO<sub>3</sub> (PPLN)**

## **GOOD POINTS:**

**USE  $d_{33}= 42$  pm/V INSTEAD OF  $d_{31}= 5$  pm/V AS IN  
BIREFRINGENT PHASE MATCHING**

**IMPROVES FIGURE OF MERIT BY ~ 25**

**NO WALK OFF PROBLEMS**

**CAN USE Nd:YAG 1.06  $\mu$ m AS PUMP**

## **BAD POINTS:**

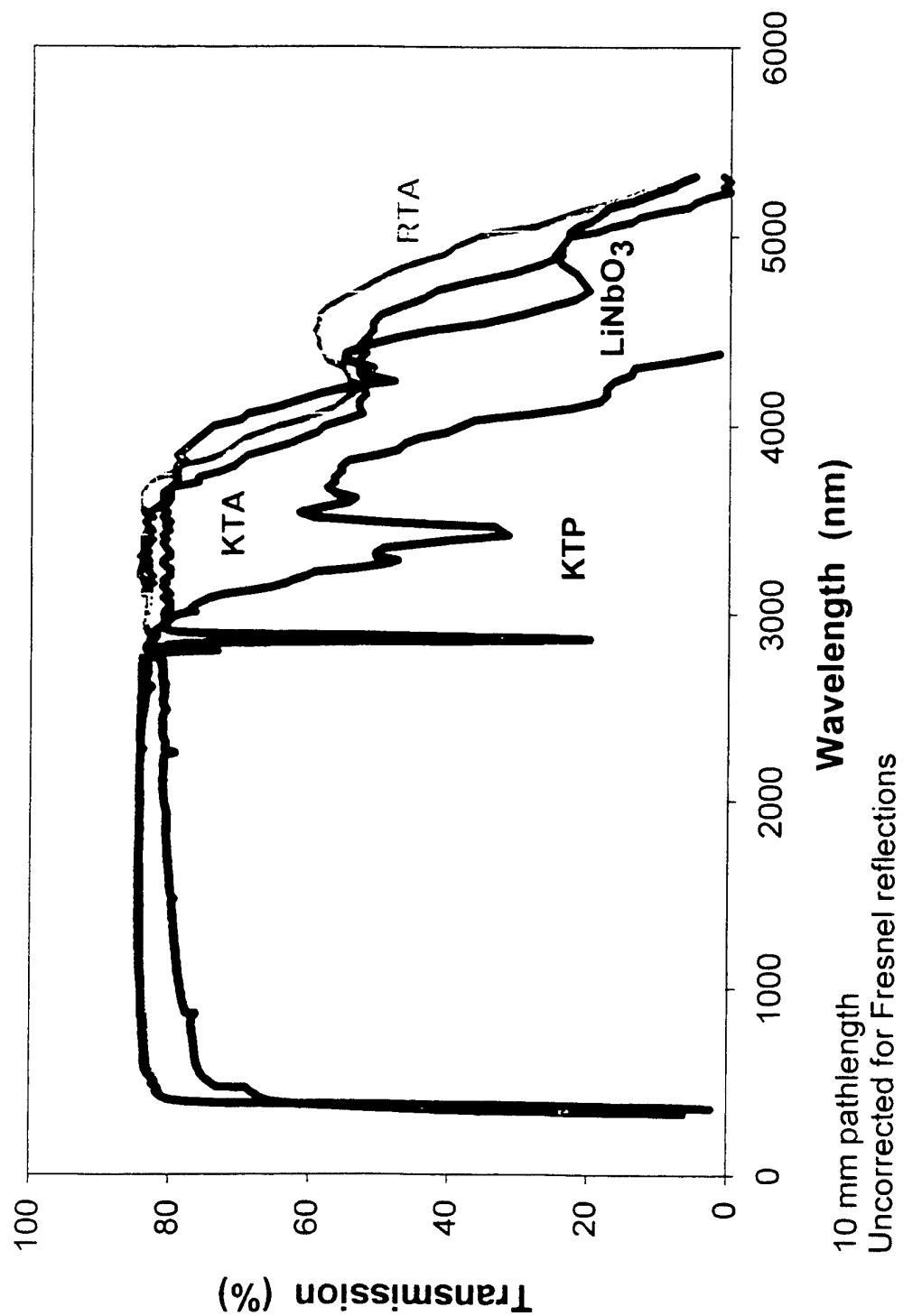
**SMALL INPUT APERTURE WHICH LIMITS POWER OUTPUT**

**SO FAR SAMPLES 0.5-1 mm THICK**

**UNLESS DIFFUSION BOND A STACK**

**M<sup>2</sup> PROBLEMS OF OUTPUT BEAMS EVEN WITH STACK  
PHOTOREFRACTIVE DAMAGE**

**HEAT SAMPLE IN OPERATION TO ANNEAL OUT  
DAMAGE**



MWIR (3-5  $\mu\text{m}$ ) THRUSTS

PMNT :  $\text{Pb}\{\text{Mg}_x\text{Nb}_y\text{Ti}_{1-x-y}\}\text{O}_3$  Rockwell Science Center  
(PMNT) to cover 4.5-6  $\mu\text{m}$  band  
Difficult to grow optically clear. Switch to  
 $\text{Pb}_x\text{Ba}_{1-x}\text{Nb}_2\text{O}_6$  (PBN) to cover 4.5-5.5  $\mu\text{m}$  band

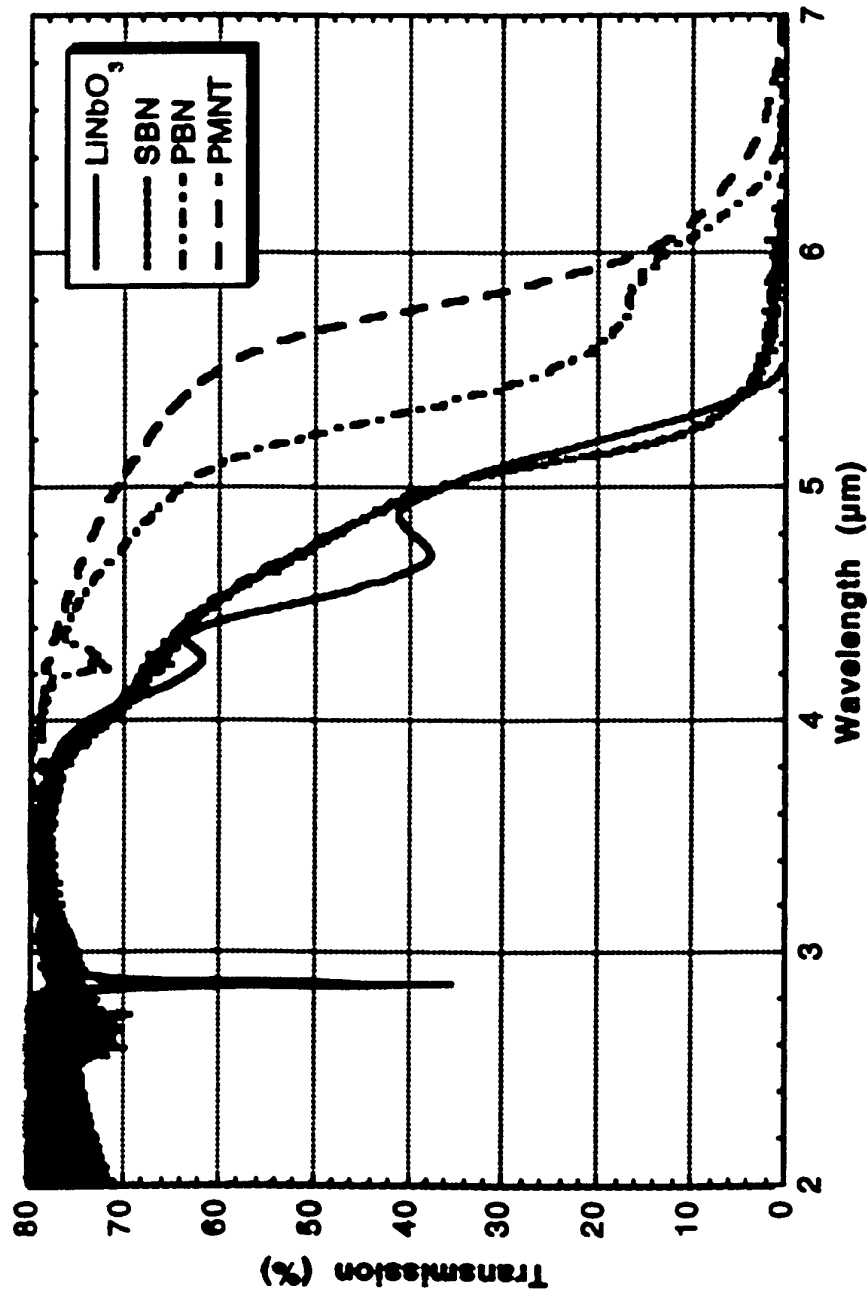
KRTA :  $\text{K}_x\text{Rb}_{1-x}\text{TiOAsO}_4$  Crystal Associates  
Best for  $x = 0$

LWIR (8-12  $\mu\text{m}$ ) THRUSTS

$\text{CsGeCl}_3$	Rockwell Science Center
$\text{CsGeBr}_3$	
$\text{Tl}_3\text{PbBr}_5$	Northrop Grumman
$\text{Tl}_4\text{PbI}_6$	(Pittsburgh) $\rightarrow$ (Baltimore)
$\text{Tl}_4\text{HgI}_6$	

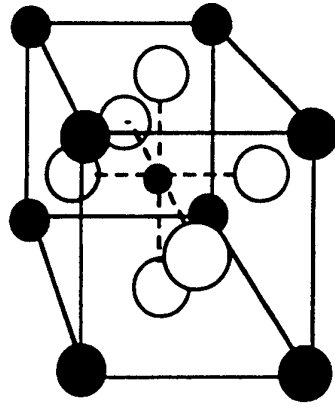
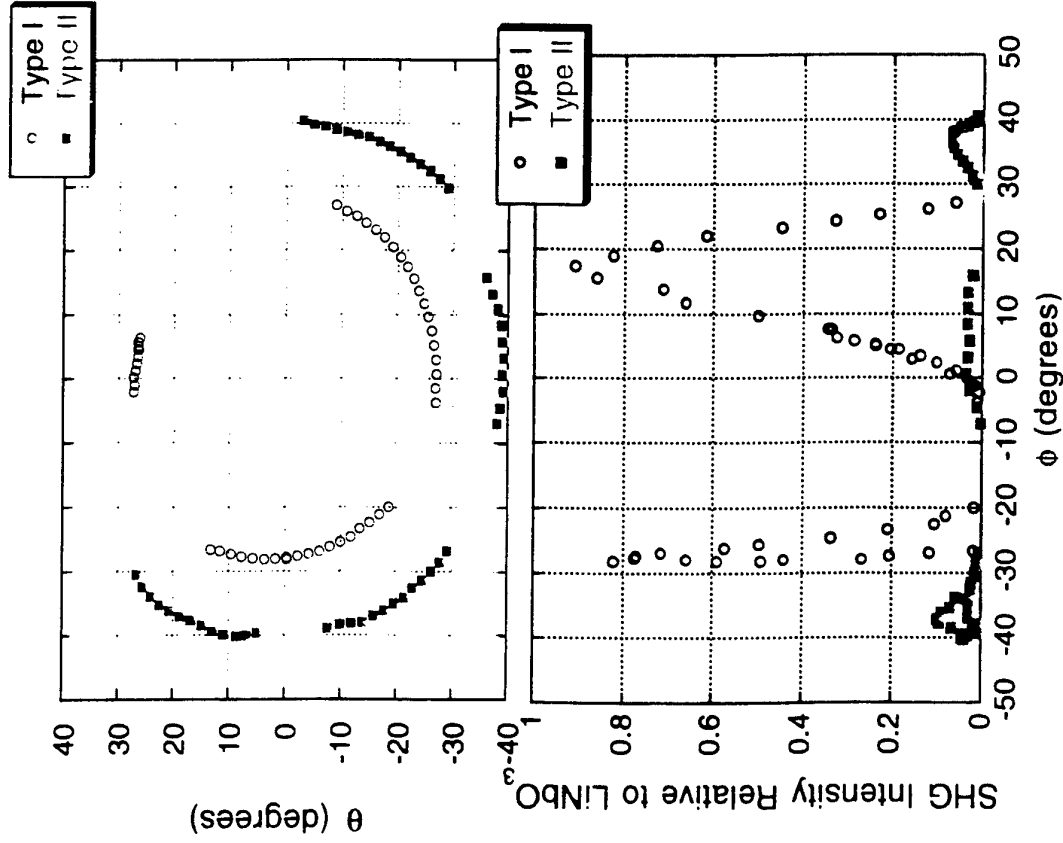


# Comparison of Spectral Transparencies in Ferroelectric Oxides



# New Family of NLO Materials: CGX

## DPM Loci & $d_{\text{eff}}$ in $\text{CsGeCl}_3$



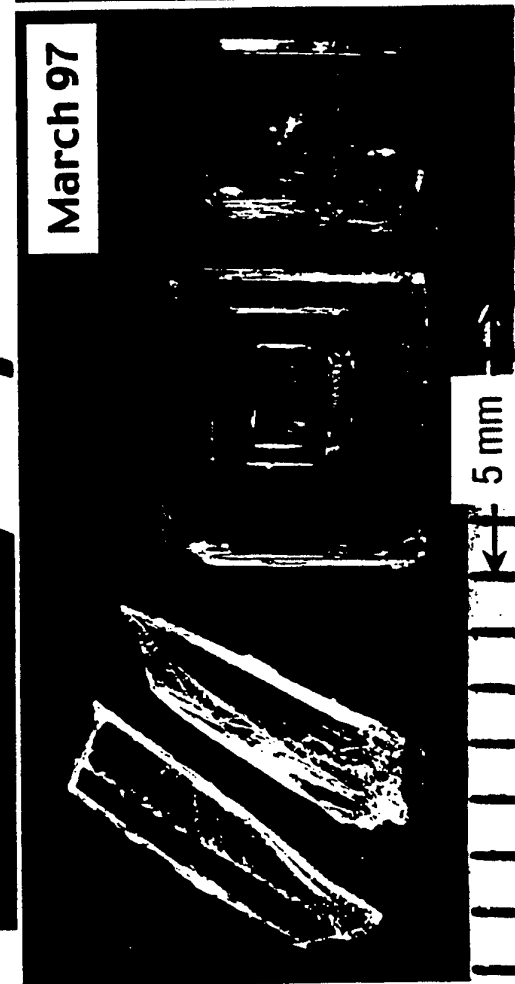
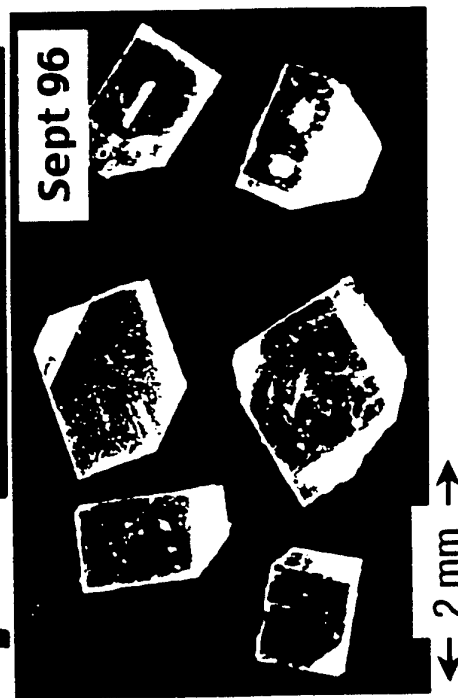
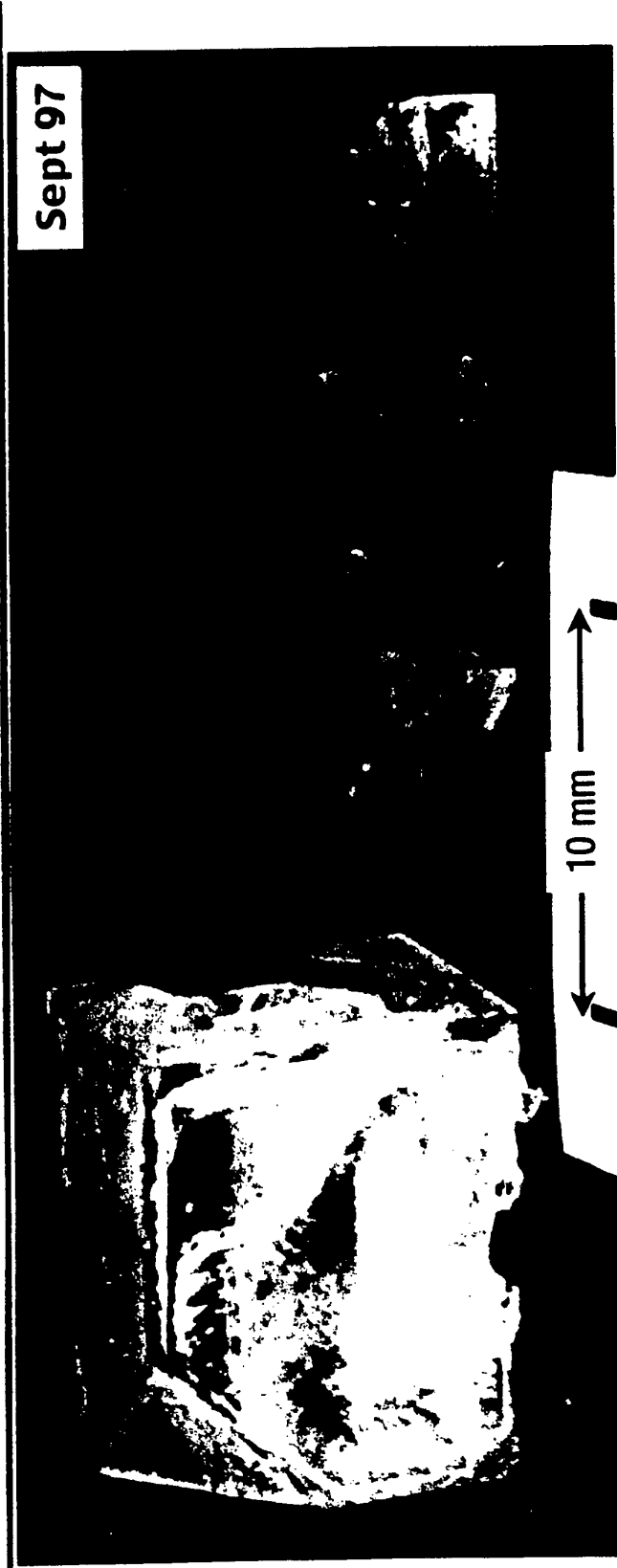
- Ge, Sn, Pb (2+)
- Cs, Rb (1+)
- Cl, Br, I (1-)

## Ferroelectric Halides

- Perovskite structure
- Wide IR transparency
- Solution-grown semiconductor
- Mechanically robust
- Nonlinearity  $\sim \text{LiNbO}_3$
- Periodically poled?

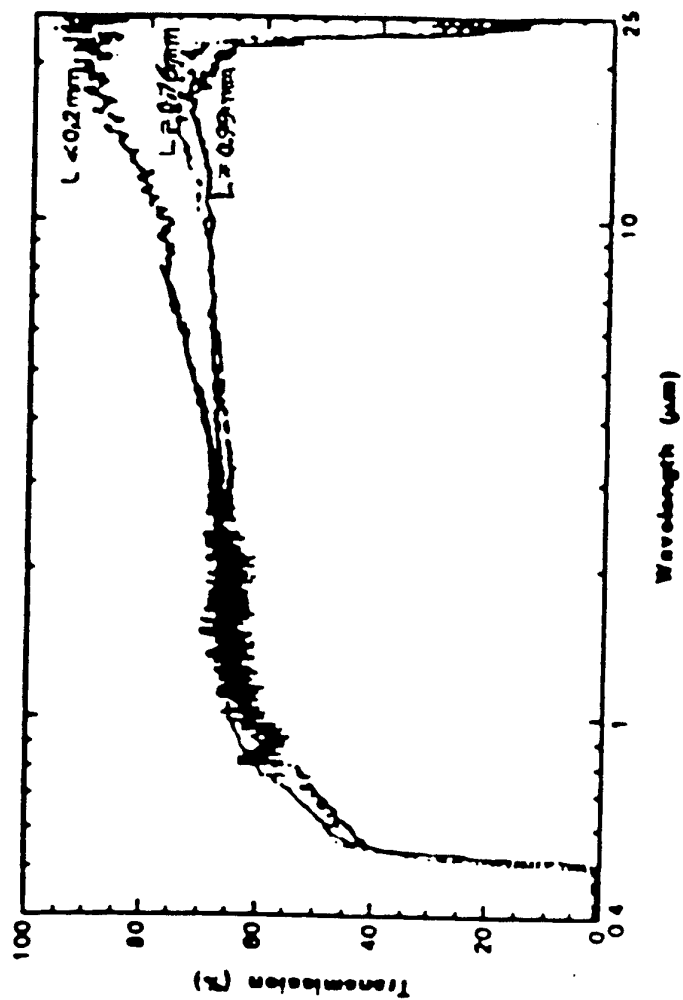
**Rockwell  
Science Center**

# Progress in Crystal Growth of $\text{CsGeCl}_3$



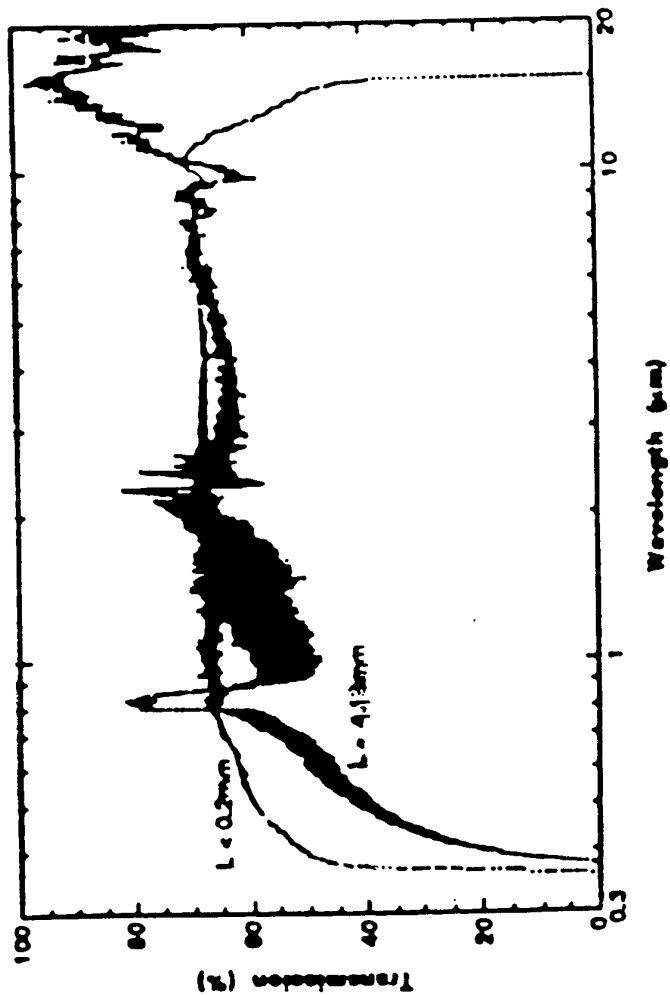
Rockwell  
Science Center

# CsGeBr<sub>3</sub> Transmission Spectra



Rockwell  
Science Center

# $\text{CsGeCl}_3$ Transmission Spectra

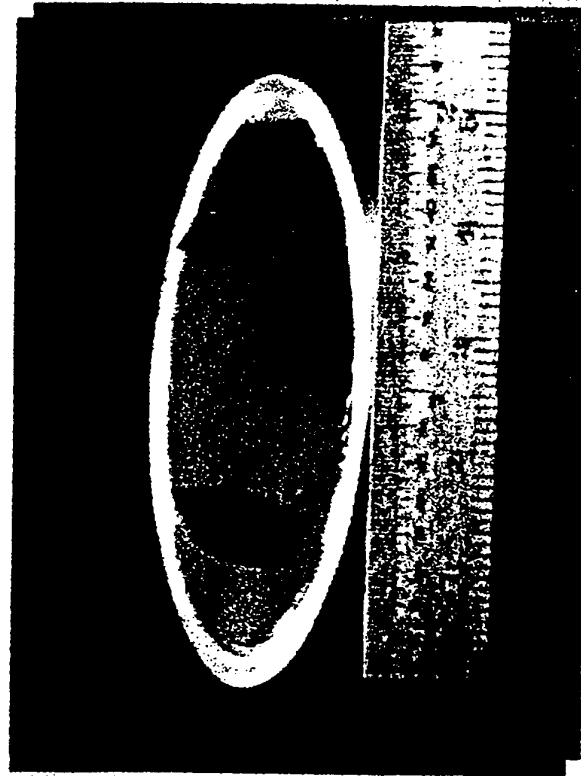


Rockwell  
Science Center

We disclose a novel class of binary halides for the tunability of dielectric constant. This will enable very efficient tunable devices suitable for radar applications. These materials are combinations of  $A^+ B^{++} X^-$  (where A is monovalent, B is divalent and  $X=F, Cl, Br$  and  $I$ ). We have experimentally demonstrated the growth feasibility of  $Tl_3 PbBr_5$ ,  $Tl_3 PbI_5$  and  $Tl_4 HgI_6$  stoichiometry. Many other compounds such as  $Rb_2 ZnCl_4$ ,  $K_2 ZnF_4$ ,  $K_2 ZnBr_4$  and  $K_2 ZnCl_4$  also belong to this category. These compounds were grown by Bridgman method in large sizes and were fabricated in desired shape and sizes. The devices of these crystals will provide high performance rf tunable filters for radar receivers and communications for receivers such as SC-21 and JSF.

# TOTAL INTERNAL REFLECTION QUASI PHASE MATCHED WAVELENGTH CONVERTER

- **GaAs TIR QPM Converter**
- **Couple Pump Into Plate With GaAs Prism**
- **Output Via Prism Also Allows Use Of High CHI Two Materials With Little Or No Birefringence**



Non-linear for IR  
region in DTIM

L. I. Isaenko

Design & Technological Institute of Monocrystals SB RAS, 630090,  
Novosibirsk, Russia, E-mail: [lisa@lea.nsk.su](mailto:lisa@lea.nsk.su)





## Outlines

### I. Design & Technological Institute of Monocrystals SB RAS:

- field of activity, main crystals;
- contacts and collaborators;

### II. Crystal real structure.

1. Investigation techniques;
2. Pyroelectric properties effect on processes of crystallization and defect formation (on example of KTA,  $\text{LiInS}_2$ );
3. Defects, appearing at deviation from stoichiometry (KTA,  $\text{AgGaS}_2$ ,  $\text{LiInS}_2$ );

### III Structural investigation

1. Structural features responsible for spontaneous polarization  $P_s$ , in KTA,  $\text{LiInS}_2$
2. Structural simulation of doping process:
  - KTA, doped by Nd and Yb;
  - $\text{LiInS}_2$ , doped by Nd;
  - $\text{AgGaS}_2$ , doped by Yb

### IV. Spectroscopic parameters of polyfunctional crystals

### V. Double chlorides as active media for IR region

### VI. Conclusions

**Design & Technological Institute of Monocrystals,**  
Russian Academy of Sciences, Siberian Branch,  
founded in 1978

**The main trends of the scientific research:**

- 1** The complex physic-chemical study of the growth processes of the optic quality single crystals for the laser technique and optoelectronics.
- 2** Experimental modeling of the diamond crystallization processes and the refinement of the methods of diamond instruments manufacturing.
- 3** Experimental modeling of the natural mineral formation processes and the improvement of the methods of gem crystals growth..

**Main growth techniques:**

TSSG, Czochralski, Bridgeman-Stockbarger, Kyropulos,  
low temperature growth from aqueous and organic solutions

**The main groups of crystals under consideration:**

- Oxides; halogenides, chalcogenides (Tables);

**Foreign collaborators:**

1. The Lawrence Livermore National Laboratory, U.S.A.;
2. Tohoku University, Japan;
3. Observatory of Paris, Bureau of Metrology, Paris, France;
4. University of Bourgogne, Dijon, France,

**Financial support:**

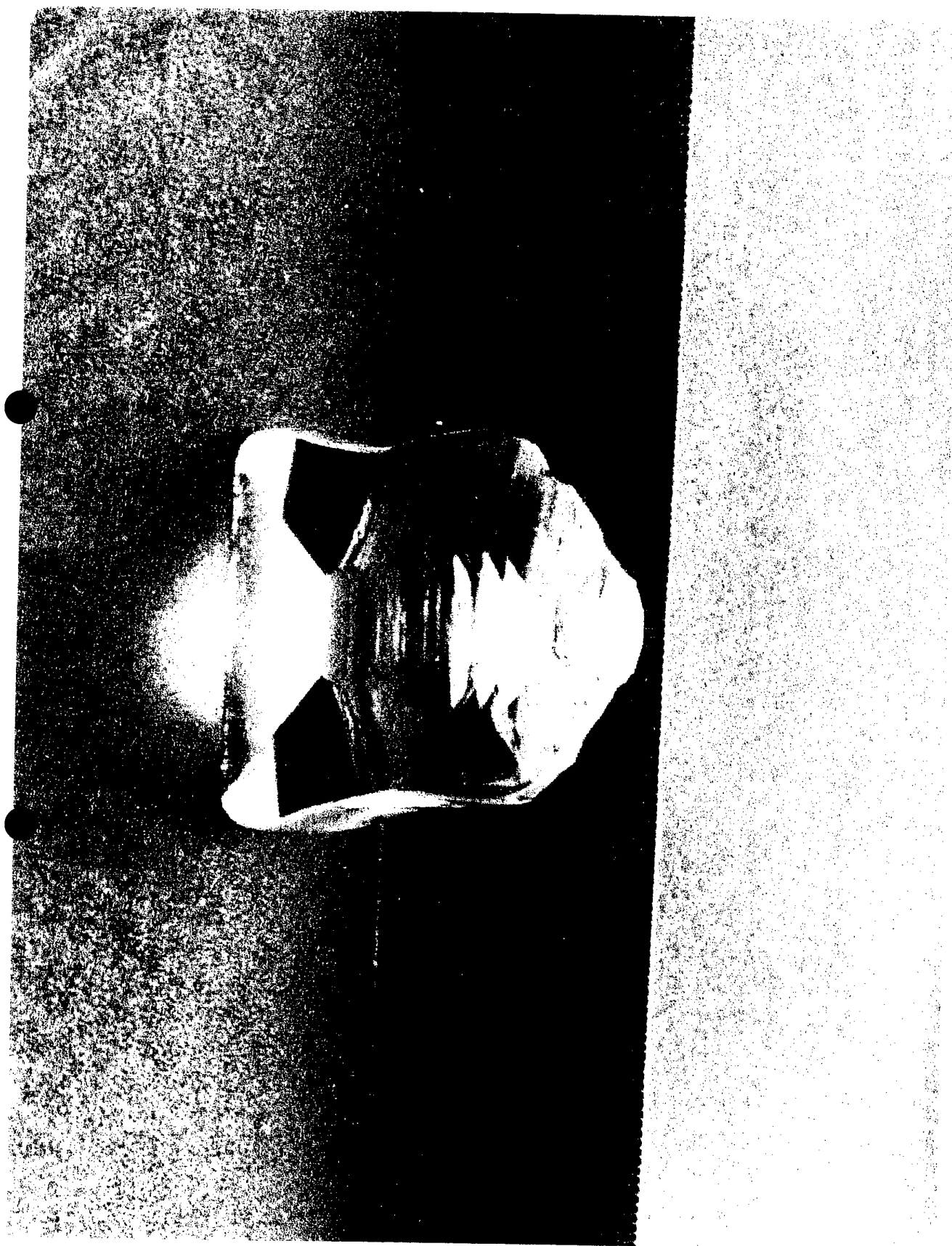
1. Grant of the Civil research and Development Foundation (CRDF);
2. INCO-Copernicus grant;
3. Contracts with the LLNL beginning from 1992;
4. Contracts with other universities/ companies all over the world.

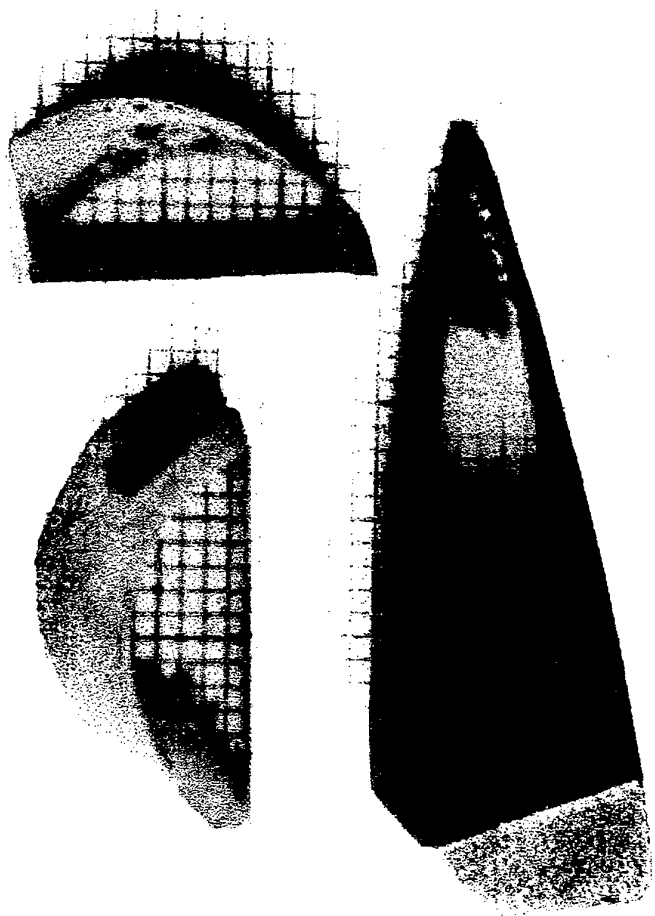
**Table 2. SOME CHARACTERISTICS OF OBTAINED NONLINEAR SINGLE CRYSTALS**

Crystal	Transparency $\mu\text{m}$	Max size of element (mm)	SRG cut off (nm)	Walk off angle (degree)	Optical damage threshold ( $\text{MW}/\text{cm}^2$ )	Conversion efficiency (%)
<b>LBO</b>	0.16 - 2.6	10 x 10 x 20	554	0.43(I) 0.22(II)	>10 000 (1.064 $\mu\text{m}$ , 20 ns, 50 Hz)	70(1.064 $\mu\text{m}$ , 20ns, 50Hz, 2W) 30(0.8 $\mu\text{m}$ , 20ns, 50Hz, 2W) 55(1.064 $\mu\text{m}$ , quasi CW, 2W) 50(1.064 $\mu\text{m}$ , 20ns, 3W)
<b>BBO</b>	0.19 - 2.6	4 x 4 x 20	411	4.80	>2000 (1.064 $\mu\text{m}$ , 10 ns)	30(1.064 $\mu\text{m}$ , 20ns, 3W)
<b>CLBO</b>	0.18 - 2.8	5 x 5 x 15	471	1.83	>10 000	1.064 $\mu\text{m}$ , 2W-5W
<b><math>\alpha</math>-LiIO<sub>3</sub></b>	0.28 - 5.6	30 x 30 x 30	630	4.0	500 + 100 (1.064 $\mu\text{m}$ , 20ns)	50(1.064 $\mu\text{m}$ , 20ns, 50Hz, 2W) 35(0.780 $\mu\text{m}$ , 20ns, 10Hz, 2W) 20(1.064 $\mu\text{m}$ , 20ns, 50Hz, 3W)
<b>KTP</b>	0.35 - 4.5	5 x 5 x 15	990	0.06	500 (1.064 $\mu\text{m}$ , 20ns)	60(1.064 $\mu\text{m}$ , 10ns, 50Hz, 2W)
<b>KTA</b>	0.35 - 5.5	5 x 5 x 20	1083	0.06	>10 000 (1.064 $\mu\text{m}$ , 70 ps)	OPO pumping by 20-30 (1.064 $\mu\text{m}$ , 20ns) to 3-5 $\mu\text{m}$
<b>POM</b>	0.44 - 2.1	8 x 8 x 8	1000		2000(1.064 $\mu\text{m}$ , 15ns) 75(0.53 $\mu\text{m}$ , 15ns)	20(1.32 $\mu\text{m}$ , 10ns, 10MW/ $\text{cm}^2$ L=4mm
<b>BLAP</b>	0.23 - 1.95	10 x 10 x 10	532	2.0	>10 000 (1.064 $\mu\text{m}$ , 20ns)	
<b>LiInS<sub>2</sub></b>	0.4 - 12	5 x 5 x 10			>100 (1.064 $\mu\text{m}$ , 10 ns)	OPO up to 10 $\mu\text{m}$
<b>LiInSe<sub>2</sub></b>	0.6 - 15	5x5x5			>50(1.064, 10 ns)	
<b>AgGaS<sub>2</sub></b>	0.46 - 12	10 x 10 x 20	1736		8000(1.06 $\mu\text{m}$ , 15ps, 10Hz) 75(1.06 $\mu\text{m}$ , 10ns, 20Hz)	OPO up to 10 $\mu\text{m}$ (1.064 $\mu\text{m}$ , 20ps, 10mJ)
<b>AgGaSe<sub>2</sub></b>	0.65 - 18	5x5x5			>50	OPO up to 10 $\mu\text{m}$ DFG up to 18 $\mu\text{m}$
<b>GaSe</b>	0.7 - 18	5x5x5			3.7 J/ $\text{cm}^2$ (9.25 $\mu\text{m}$ ) 0.5 (cw 10.6 $\mu\text{m}$ )	1 (OPO 2.94 $\mu\text{m}$ , 100 ps) DFG up to 18 $\mu\text{m}$

## **Specific effects at crystallization/cooling of pyroelectric (ferroelectric) crystals**

1. **A strong anisotropy in growth rates** along and across polar axis;
2. **Self-organization of extended defects** structure directed to lower or compensate completely the large pyroelectric fields inside crystal appearing at crystallization or cooling:
  - Formation of **twin or domain structures** from several blocks with different (opposite) direction of spontaneous polarization vector  $P_s$ .
  - Formation of **channel type defects** extended along polar axis and filled by different phases with lower melting temperature which operates as a conductor removing the fields appearing in the «ideal» pyroelectric lattice.
3. **Cracking of the crystals** is particularly dangerous in temperatures where pyroelectric coefficient  $\gamma$  has maximum.
4. Pyroelectric fields stimulate **migration of alkali cations** and **formation of defects** in the cation sublattice.
5. The electric discharge as a result of huge pyroelectric fields inside crystals is one of the mechanisms of their **mechanical damage** at cooling or during operation in laser schemes.







## Single crystals



pyroelectrics  
ferroelectrics



pyroelectrics



nonpyroelectrics

## Symmetry

(point group)

$\text{mm}2$

$\text{mm}2$

$\bar{4}2\text{m}$

## Lattice parameters

$a = 13.103 \text{ \AA}$

$b = 6.558 \text{ \AA}$

$c = 10.746 \text{ \AA}$

$d = 3.45$

$a = 6.887 \text{ \AA}$

$b = 8.05 \text{ \AA}$

$c = 6.474 \text{ \AA}$

density ( $\text{G/cm}^3$ )

$d = 3.5$

$a = 5.757 \text{ \AA}$

$c = 10.305 \text{ \AA}$

$d = 4.56$

## Growth techniques

( $T_{\text{cryst.}} = 850\text{--}1000 \text{ }^\circ\text{C}$ )

TSSG

with pulling  
from selfflux  
in  $\text{K}_2\text{O}\text{--}\text{As}_2\text{O}_5\text{--}$   
 $\text{TiO}_2$  system

Bridgeman-

Stockbarger

from melt

Bridgeman -

Stockbarger

from melt

## Boule size ( $\text{mm}^3$ )

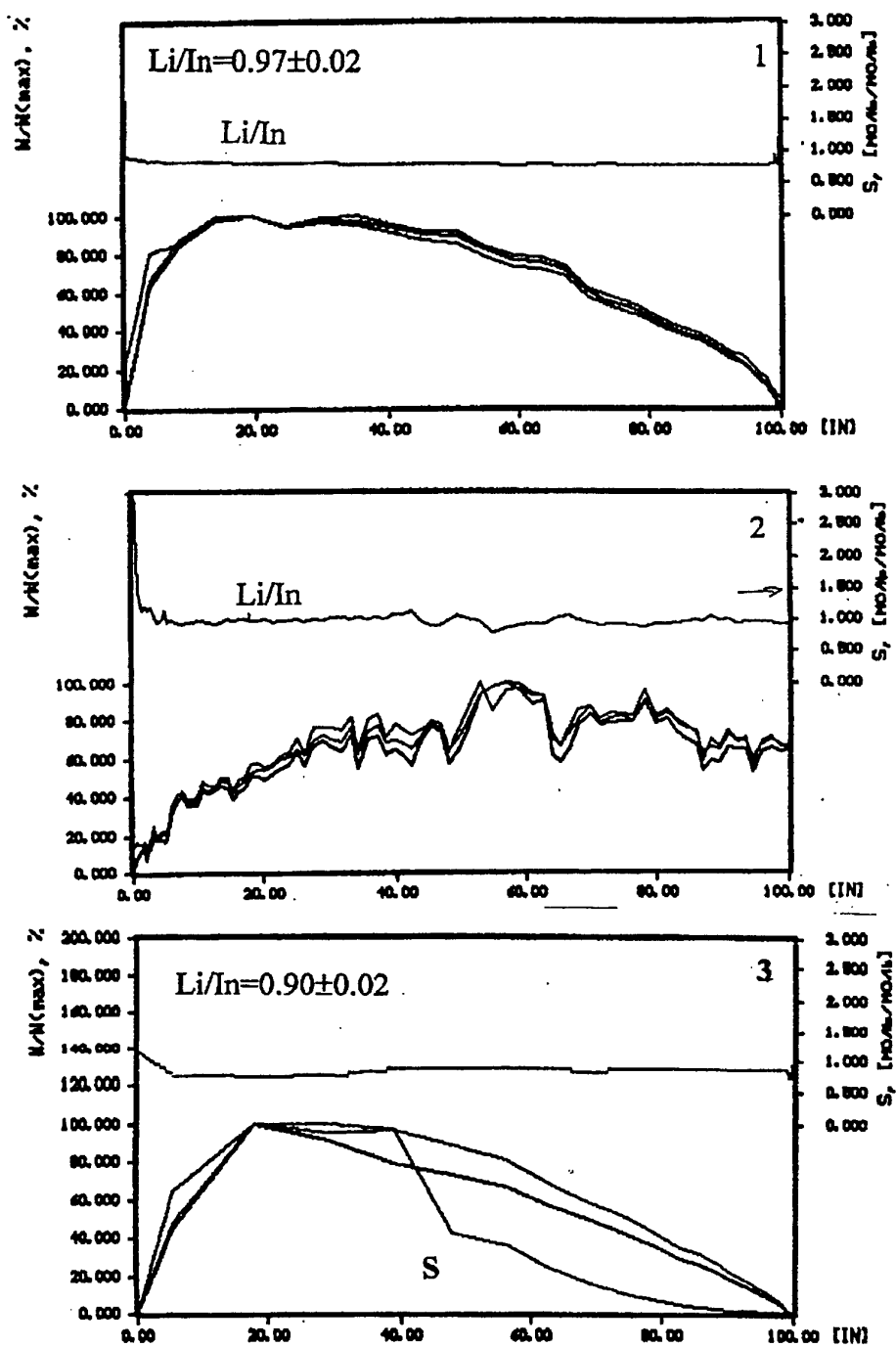
$50 \times 55 \times 45$

$20 \times 20 \times 50$

$25 \times 25 \times 100$



The technique of differential dissolving combined with the ICP analysis  
(inductively coupled plasms)



Kinetic curves of dissolving,  $\text{Li/In}$  stoichiograms for:  
1, 2 – grown samples, 3 – annealed in  $S_2$  sample

	KTA		LiInS <sub>2</sub>		AgGaS <sub>2</sub>	
Dopant	Yb	Nd	Nd		Yb	Nd
Segregation coefficient $C_{\text{cryst}}/C_{\text{melt}}$	0.2	$10^{-3}$ -0.1*	0.02		$0.02$ - $0.3^{**}$	$<10^{-3}$
Possible position of dopant ion in the lattice	Distorted TiO <sub>6</sub> prism, two sites: Ti(1), Ti(2)	K-O(8,9) polyhedral Formation of NdO <sub>7</sub>	Octahedral cavity		Octahedral cavities Distorted octahedral	
Absorption cross-section, cm <sup>2</sup> (300K)	$1.2 \times 10^{-20}$		$2 \times 10^{-20}$			

Notes: \* for KTA:Me<sup>2+</sup>

\*\* for milky as grown AgGaS<sub>2</sub> sample

Necessary conditions for dopant stability in the crystal structure:

- Coordination number  $\geq 6$ ;
- Similarity of sizes for dopant ion and host site;
- Charge compensation



**Design & Technological Institute  
of Monocrystals SB RAS**

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**Spectroscopic properties  
of pure and Rare Earth-doped  
nonlinear crystals for the mid-IR**

**A.Eliseev**

**Outline:**

**A. Spectroscopic features of pure nonlinear crystals**

**1. Absorption /luminescence of pure nonlinear single  
crystals for the mid -IR: excitation mechanisms**

- $\text{KTiOAsO}_4$  (KTA);
- $\text{AgGaS}_2$ ;
- $\text{LiInS}_2$ .

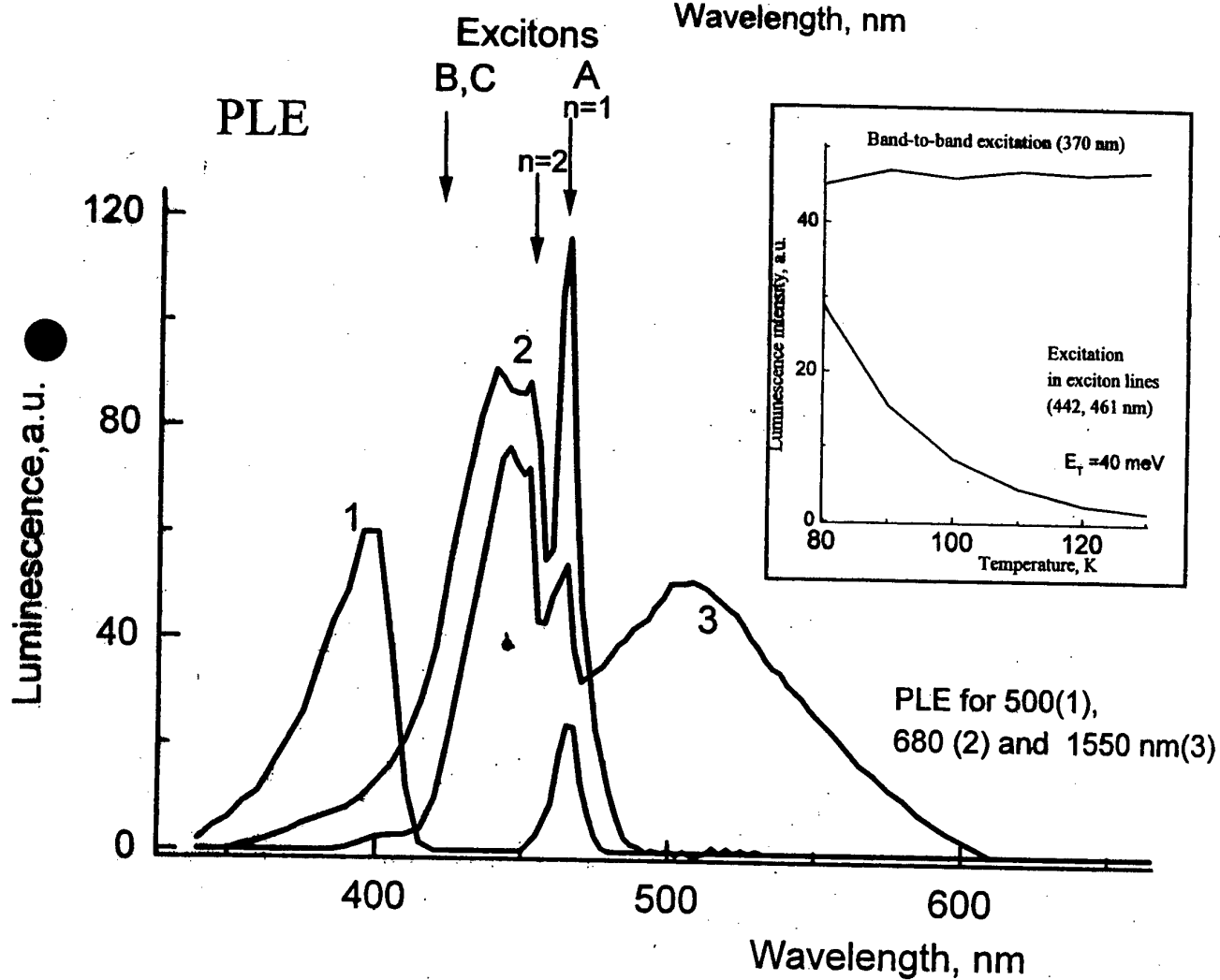
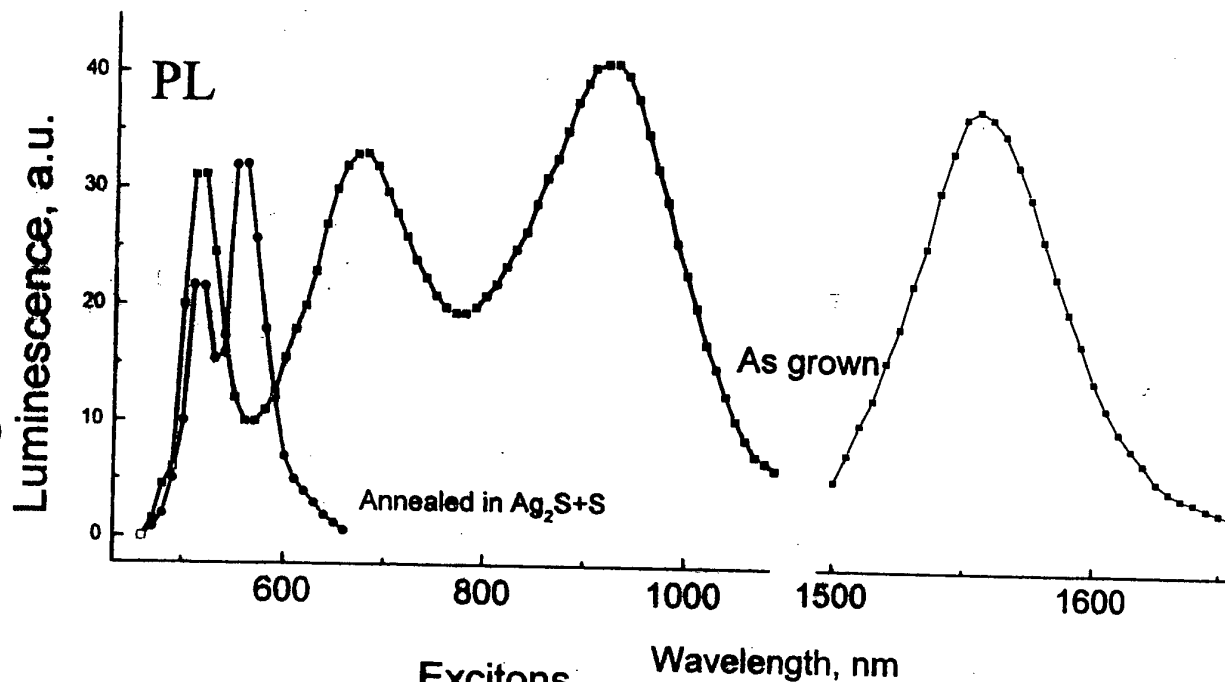
**B. Spectroscopic properties of RE-doped crystals**

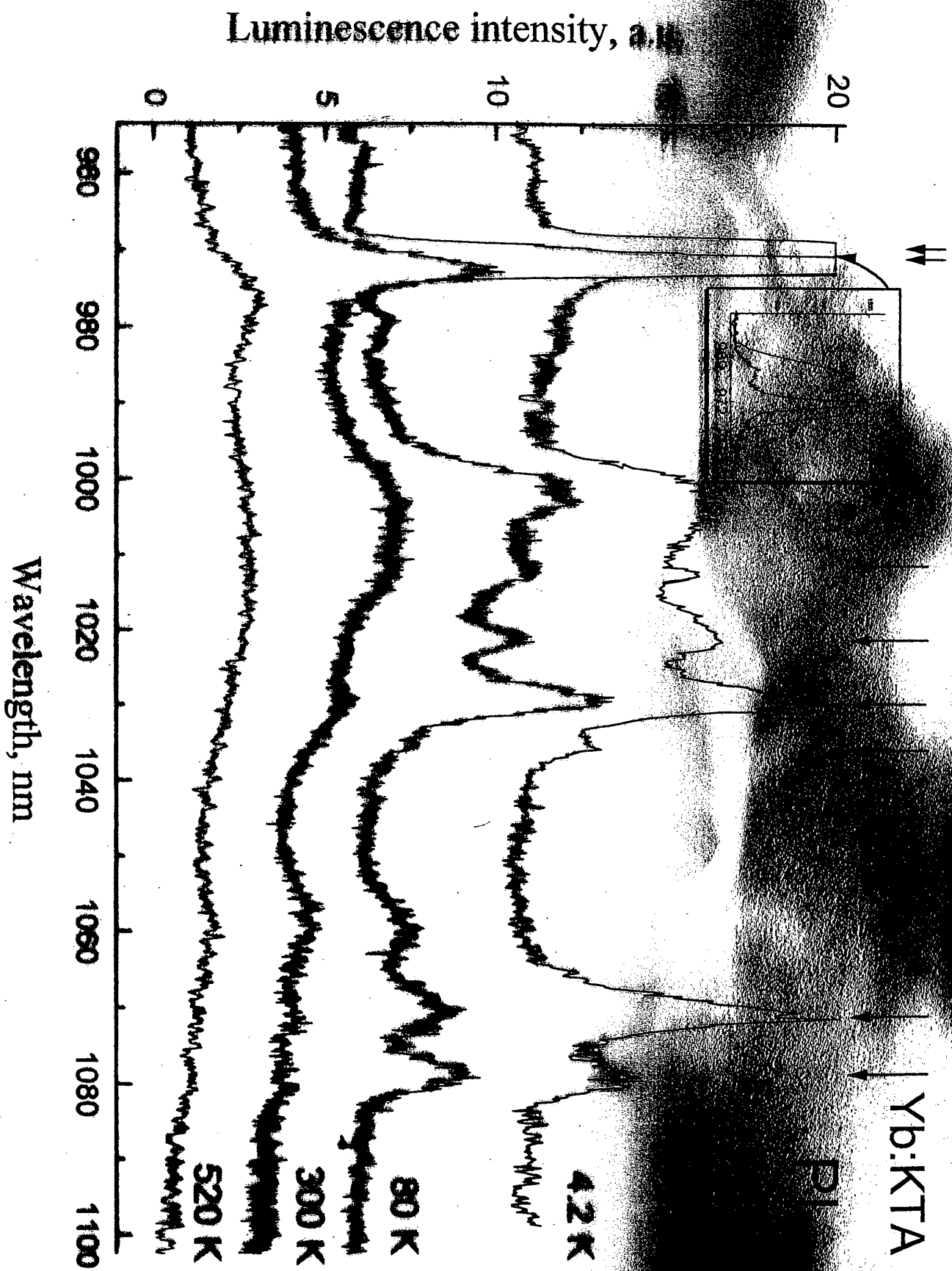
**1. Option of RE dopants for nonlinear crystals as**

- Polyfunctional laser elements;
  - Chalcogenides as an active media for the mid IR;
- 2. Spectroscopy of Nd and Yb: spectra, decay times,**

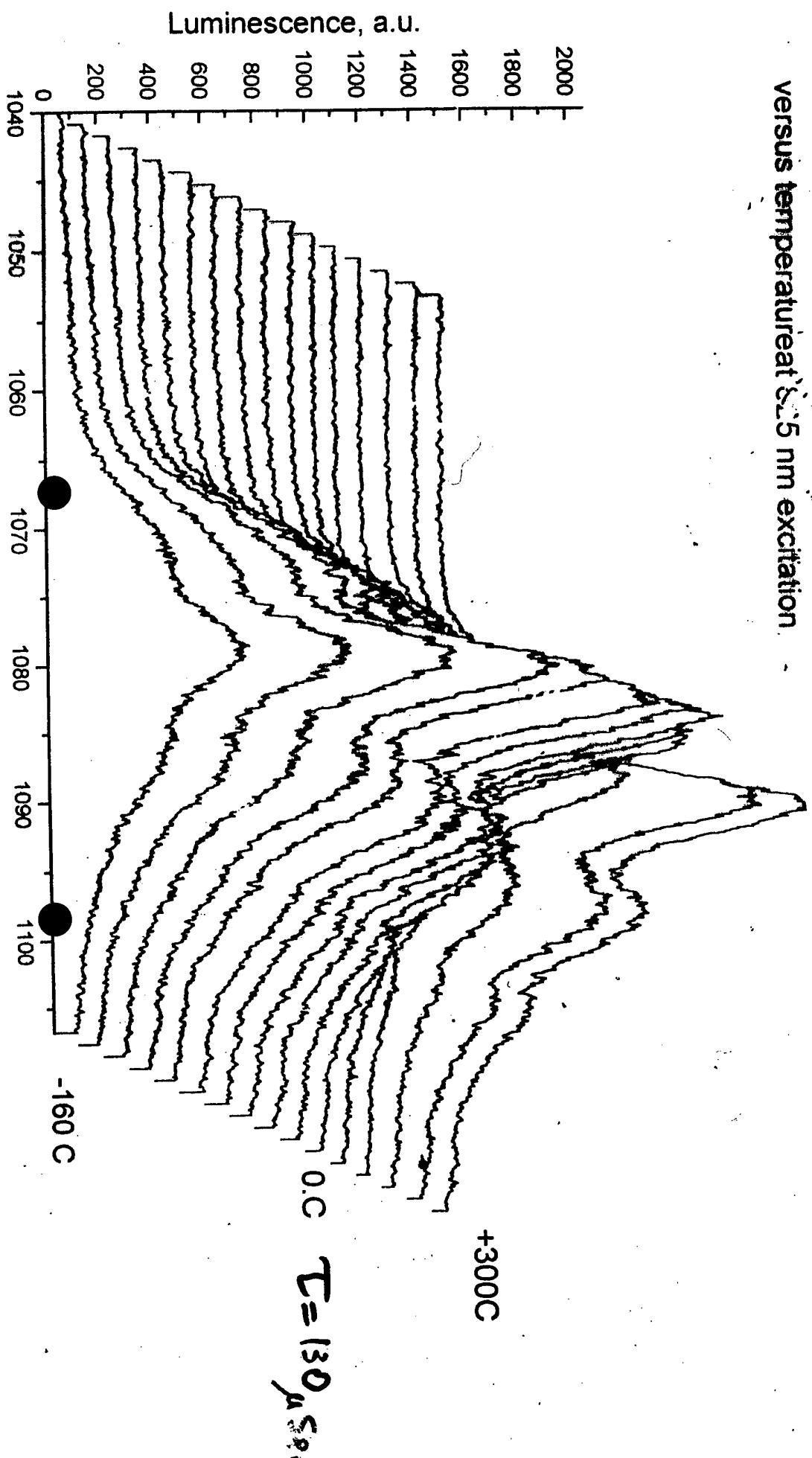
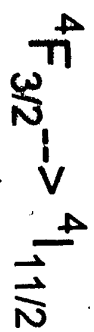
**3. Radiation and radiationless multiphonon  
relaxation, stimulated emission in the mid-IR**

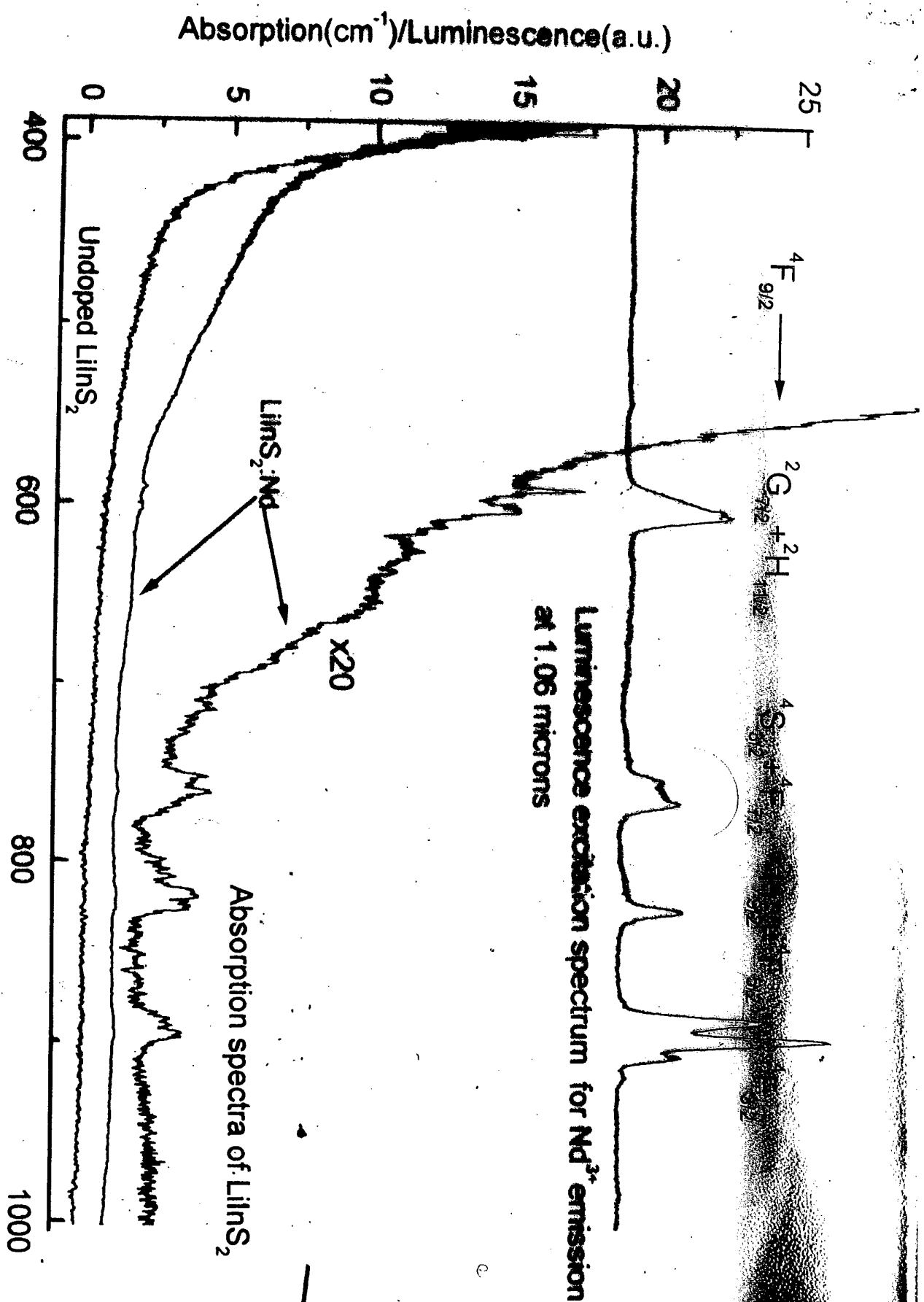
# Photoluminescence in $\text{AgGaS}_2$ , $T=80\text{K}$





Luminescence spectra of  $\text{LiInS}_2:\text{Nd}$   
versus temperature at 525 nm excitation





Growth and Optical Properties of  $\text{LiNbO}_3\text{-WO}_3$  and  $\text{LiNbO}_3\text{-MoO}_3$  solid solutions

A. Hill, A. Pirie, T. P. J. Han and H. G. Gallagher

Optical Materials Research Centre  
Department of Physics and Applied Physics  
University of Strathclyde  
Glasgow G1 1XN, Scotland, UK

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E-mail: [h.g.gallagher@strath.ac.uk](mailto:h.g.gallagher@strath.ac.uk)



## Crystal Growth

- Method: Czochralski

- Composition:  $X < 10 \text{ mol\%}$

Solid solution range of  $\text{Li}_{1-x}\text{Nb}_{1-x}\text{W}_x\text{O}_3$ :-

At  $T = 860^\circ\text{C}$ ,  $X = 0 - 50 \text{ mol\%}$

Blasse et al, 1970

At  $T = 750^\circ\text{C}$ ,  $X = 0 - 20 \text{ mol\%}$

Foulon et al, 1998

Solid solution range of  $\text{Li}_{1-x}\text{Nb}_{1-x}\text{Mo}_x\text{O}_3$ :-

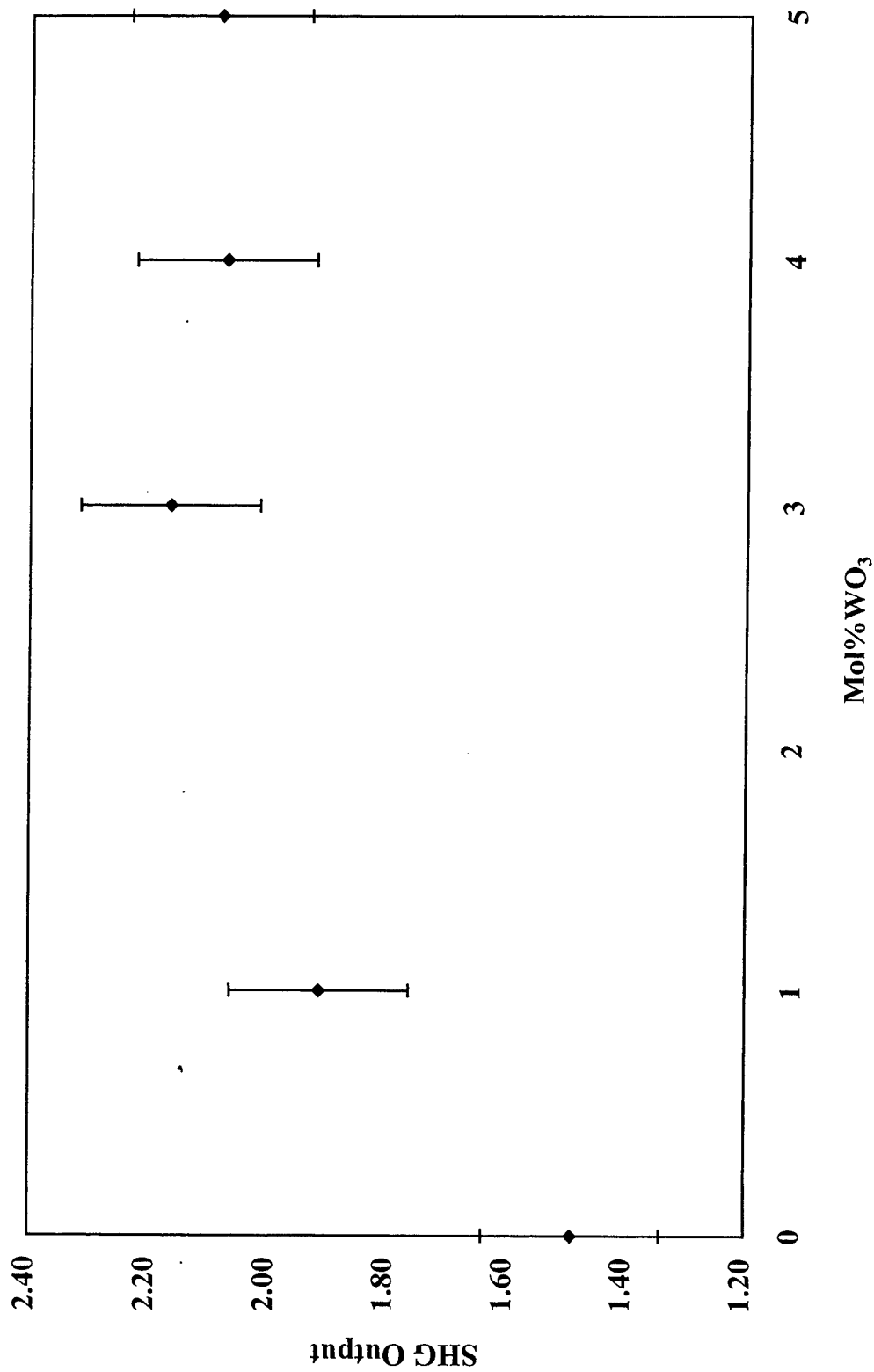
At  $T = 860^\circ\text{C}$ ,  $X = 0 - 30 \text{ mol\%}$

Blasse et al, 1970

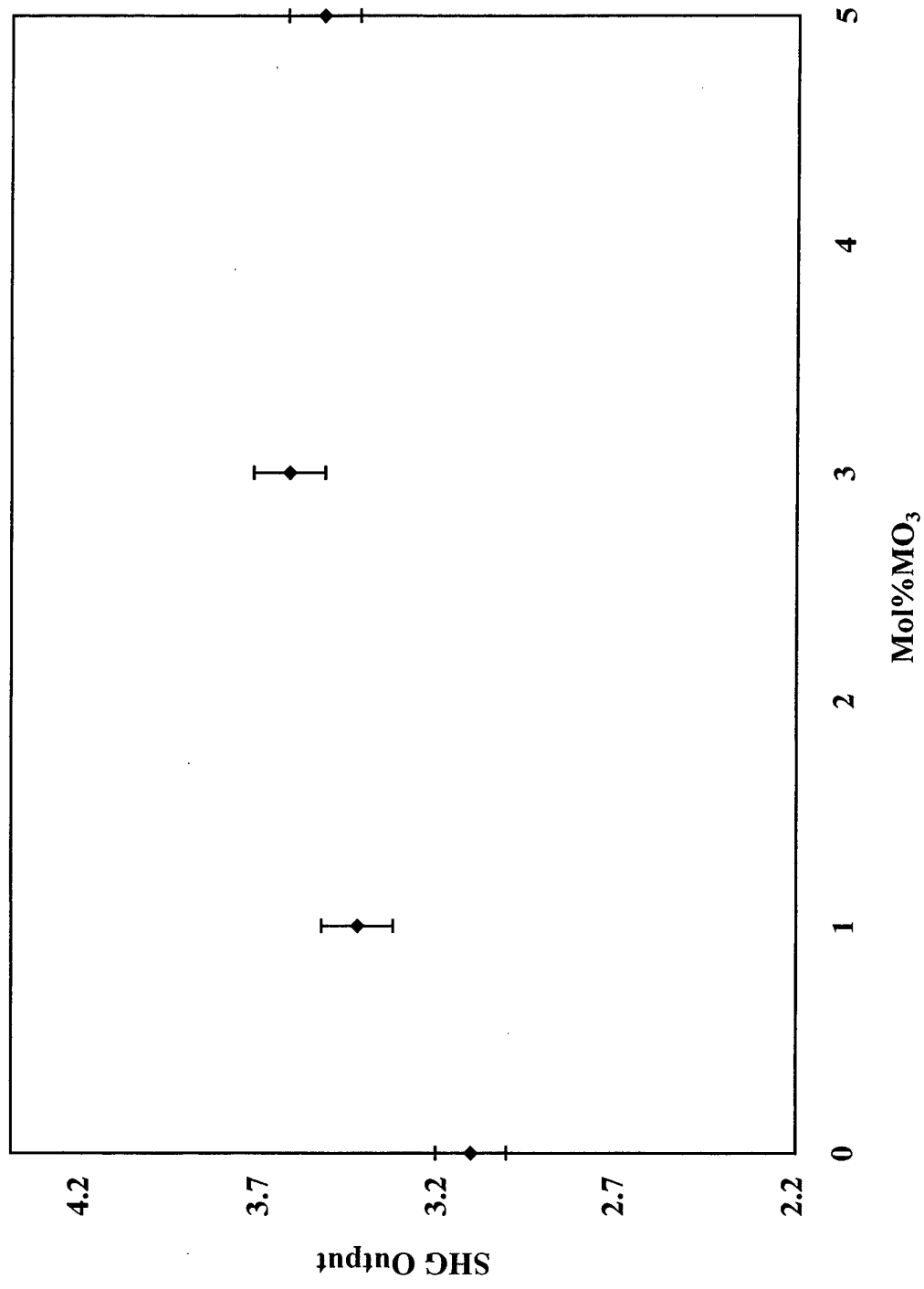
- Melting temperature:  $1180 - 1250^\circ\text{C}$

- Poling:  $1 - 2 \text{ mA/cm}^2$  at  $1200^\circ\text{C}$

Variation in Powder SHG for LiNbO<sub>3</sub> Doped with Various Concs. of WO<sub>3</sub>

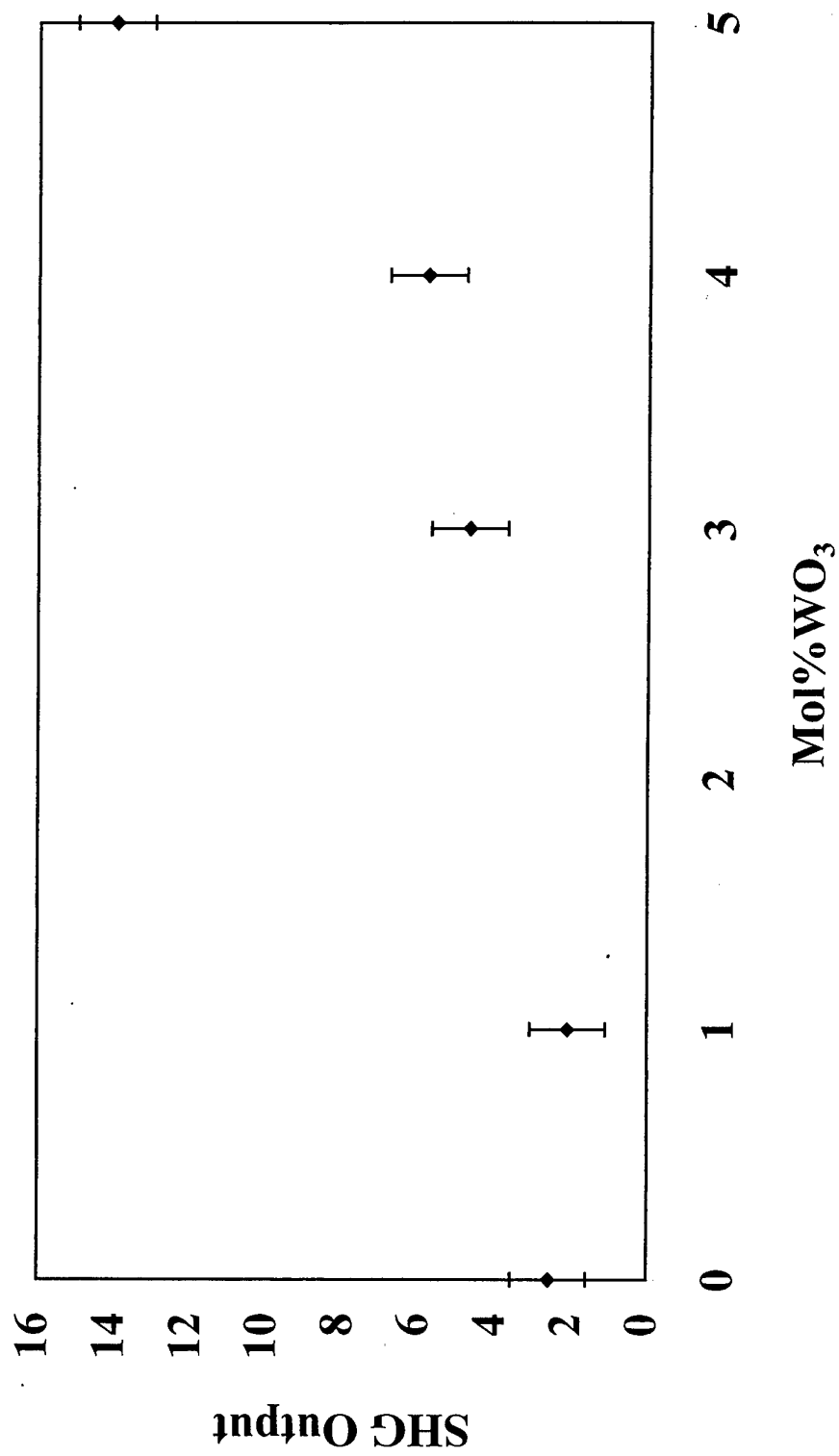


Variation in Powder SHG for LiNbO<sub>3</sub> Doped with Various Concs. of MO<sub>3</sub>

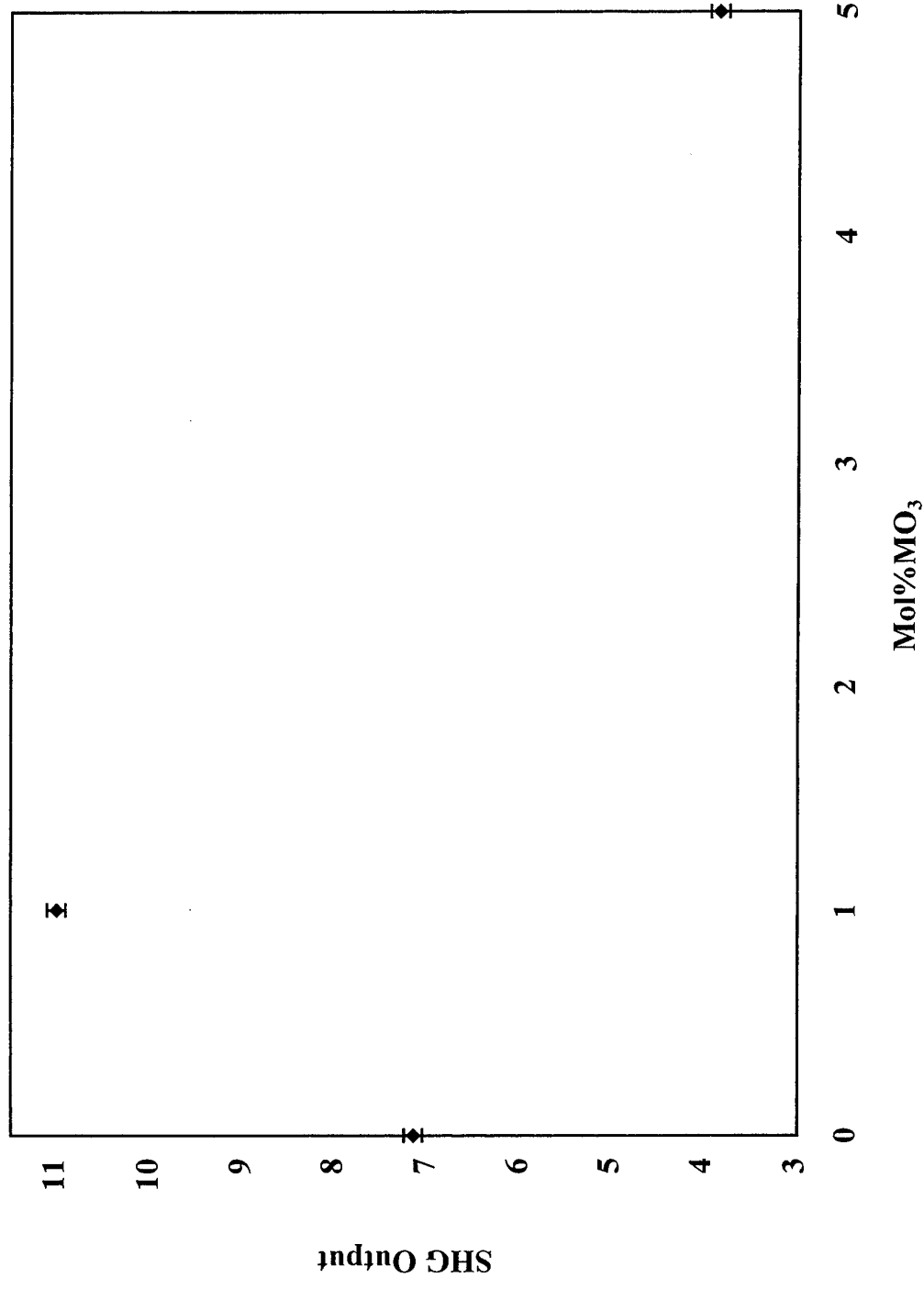


● ●

## Variation of $d_{33}$ Coefficient for $\text{LiNbO}_3$ Doped with Various Concentrations of $\text{WO}_3$



Variation of  $d_{33}$  Coefficient for LiNbO<sub>3</sub> Doped with Various Concs. of MO<sub>3</sub>



## Conclusions

- The solid solution range for growth of  $\text{Li}_{1-x}\text{Nb}_{1-x}\text{W}_x\text{O}_3$  and  $\text{Li}_{1-x}\text{Nb}_{1-x}\text{Mo}_x\text{O}_3$  crystals is limited to  $x = 0.5$  due to cracking and constitutional cooling, respectively
- Optical properties vary (linearly?) with concentration of  $\text{WO}_3$  and  $\text{MoO}_3$
- Further work is required to refine growth conditions and eliminate optical defects
- More detailed optical characterisation is necessary

# Growth and Characterisation of Photorefractive Materials

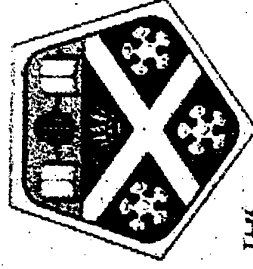
**Craig.J. Finnan, H.G. Gallagher, T.P.J. Han.**

**Optical Materials Research Centre (OMRC),**

**University of Strathclyde**

**G. Cook, D. Jones**

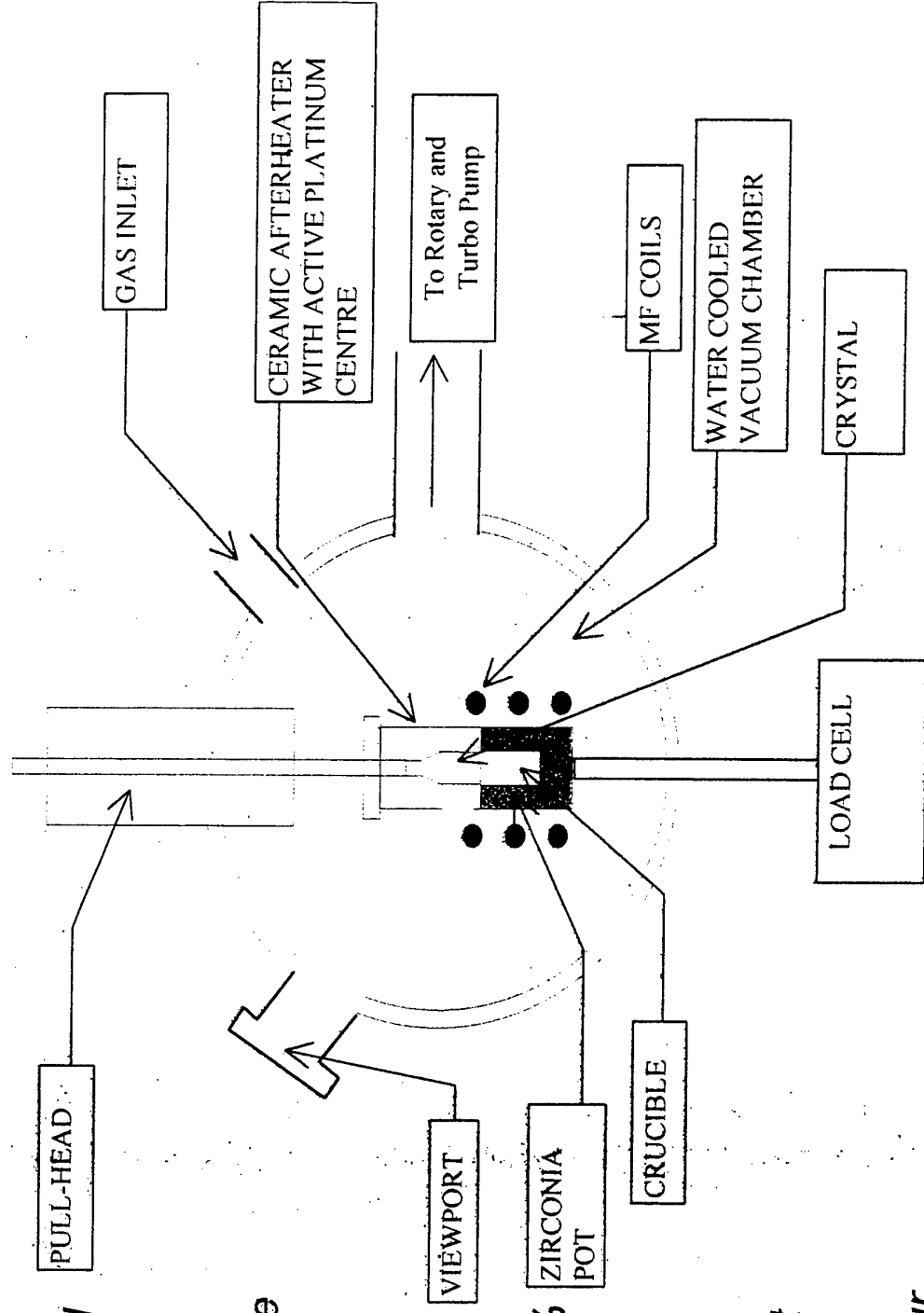
**DERA Malvern**



**THE  
UNIVERSITY OF  
STRATHCLYDE  
IN GLASGOW**

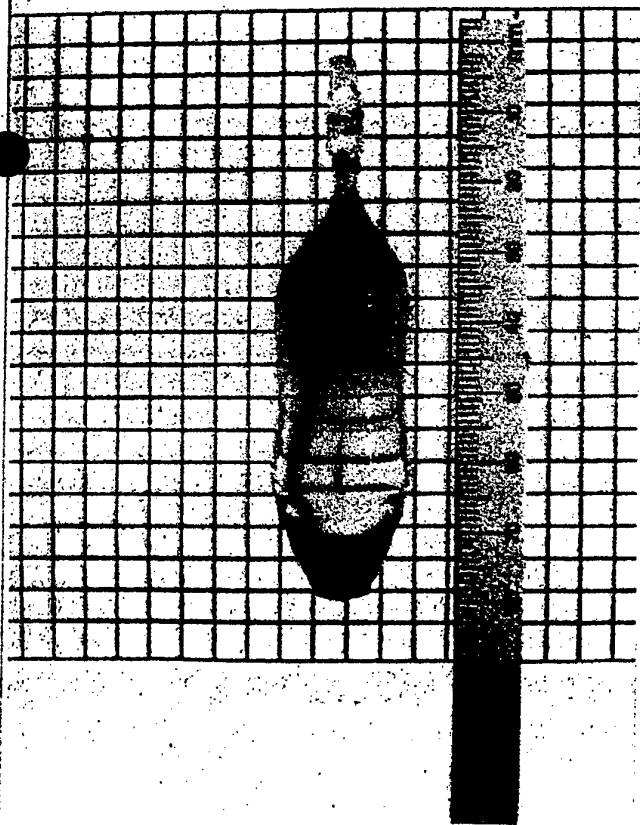
**DERA**

# Czochralski Growth Technique

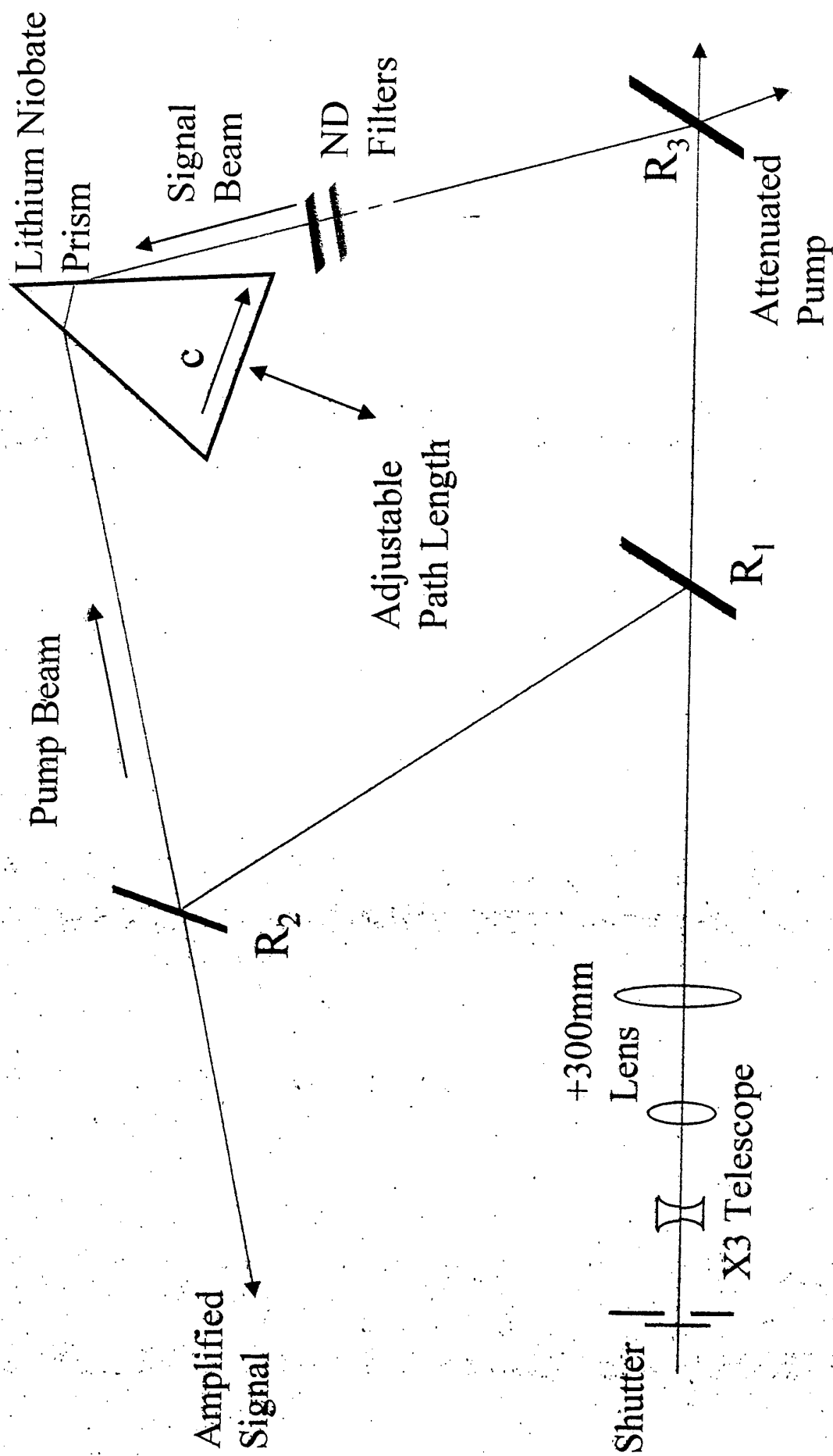


- ◆ Both single and co-doped  $\text{Fe:LiNbO}_3$  samples have been grown.
- ◆ Congruent Lithium Niobate is best grown from a melt by the Czochralski technique.
- ◆ Congruent composition is 48.6mol%  $\text{Li}_2\text{O}$ , 51.4mol%  $\text{Nb}_2\text{O}_5$ .
- ◆ To reduce thermo-Mechanical Strain, a Platinum Afterheater must be used.
- ◆ Surface cracking can occur due to  $\text{Li}_2\text{O}$  evaporation.

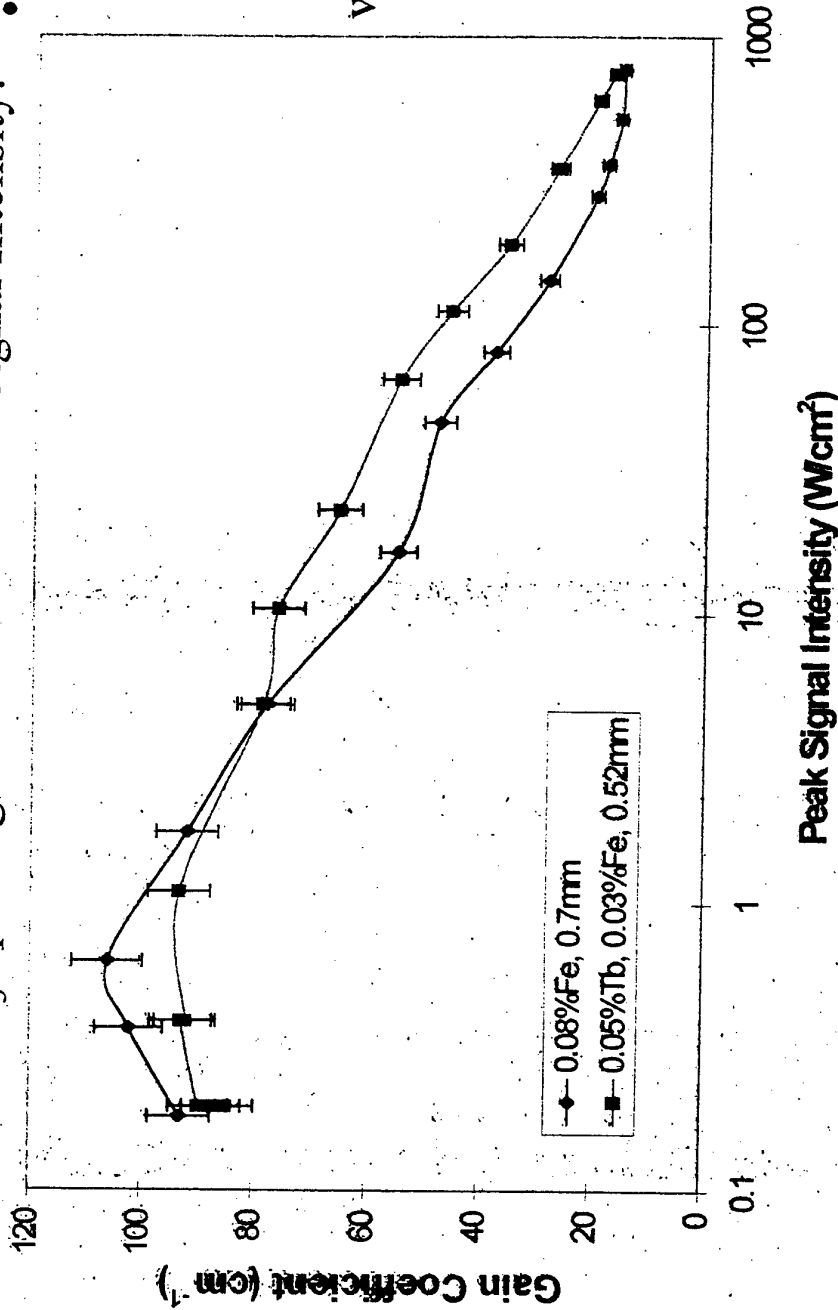




# EXPERIMENTAL SETUP



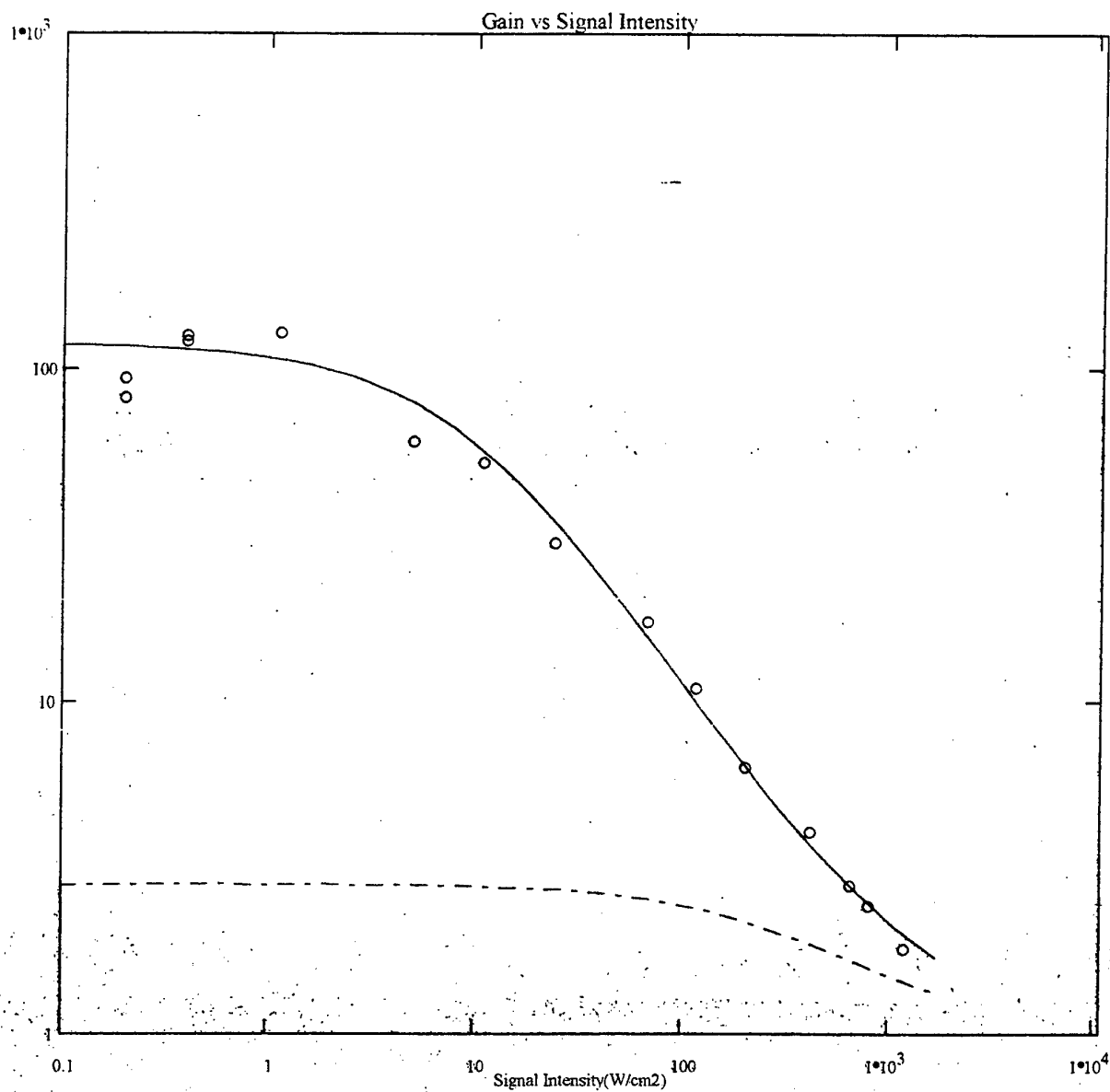
LiNbO<sub>3</sub> optical gain as a function of Signal Intensity.



- Pump intensity is constant at 1kW/cm<sup>2</sup>.
- Gain Coefficient is calculated from,

$$G = \frac{\ln \left[ \frac{(I_a - I_b)}{I_s} \right]}{l}$$

where  $I_B$  is the background intensity with no pump beam,  $I_S$  and  $I_A$  are the intensities of the transmitted signal beam in the presence and absence of the pump, and  $l$  is the sample thickness



— Fit to Data( $\Gamma=92\text{cm}^{-1}$ )  
-- Normal Diffusion Theory( $\Gamma=20\text{cm}^{-1}$ )  
ooo Data

# **Developments in PPLN Fabrication at the ORC**

**Dr. Peter G.R. Smith**

**Prof. D.C. Hanna, Prof. D.J. Richardson**

**Dr R.W. Eason, Dr. Graeme Ross, Dr. Neil Broderick**

**Dr. H.L. Offerhaus**

**Paul Britton, Cowin Gawith, Joyce Abernethy, Ian Barry**

# Outline

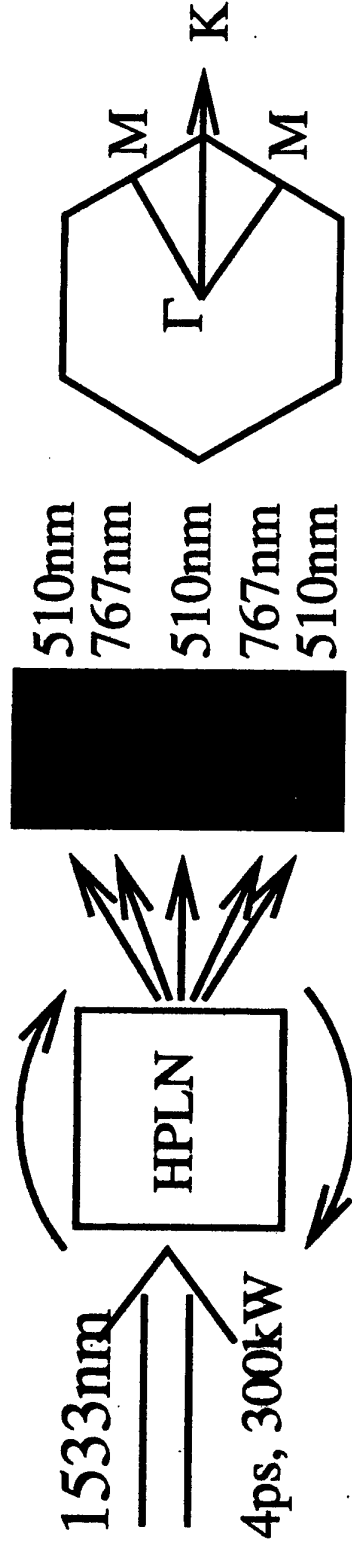
- PPLN Fabrication 1mm material
- PPLN OPOs and fibre lasers
- Etched PPLN microstructuring
- HeXLN

## **PPLN Fabrication 1mm material**

- **DERA Supported Project**
- **Constructed a current controlled poling rig for 1mm samples**
- **Successfully fabricated 1mm thick PPLN at coarse periods  $>25\mu\text{m}$ .**
- **Issues are breakdown through the material, electrode design, yield.**
- **Future - how to improve quality, how to improve yield? Can push to 2mm?**

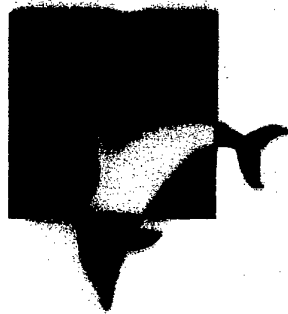


## Experimental Setup



- A schematic of the experimental setup is shown above. The pulse source is a high power all-fibre CPA source.
- The input pulses were  $\sim 3$ ps long with a bandwidth of 2nm and a maximum peak power of 300kW.





## 2D patterned PPLN

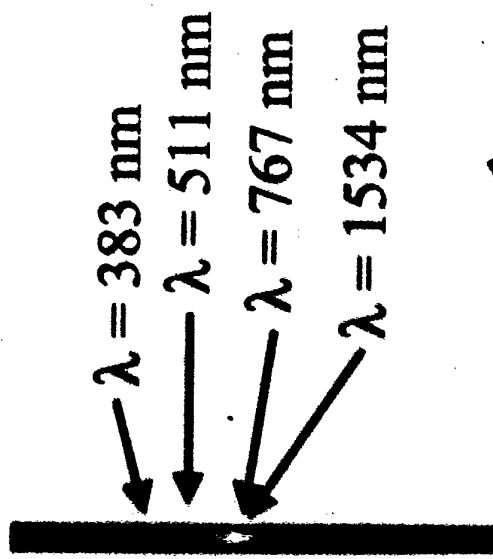
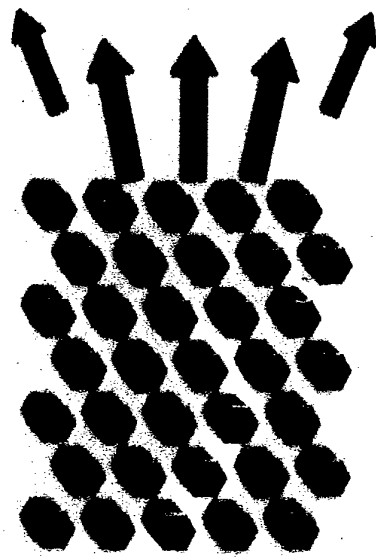
*Light*

**Inverted domains**

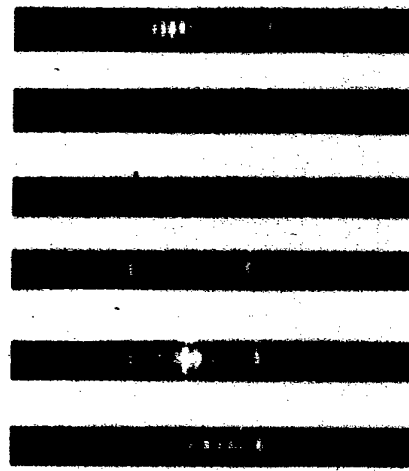
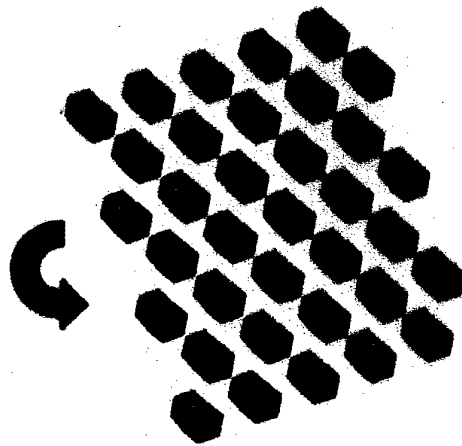
**Normal incidence**

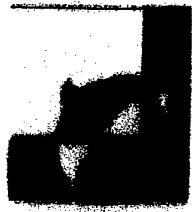


$\lambda = 1.534 \mu\text{m}$   
4ps, 300kW



**Angled**



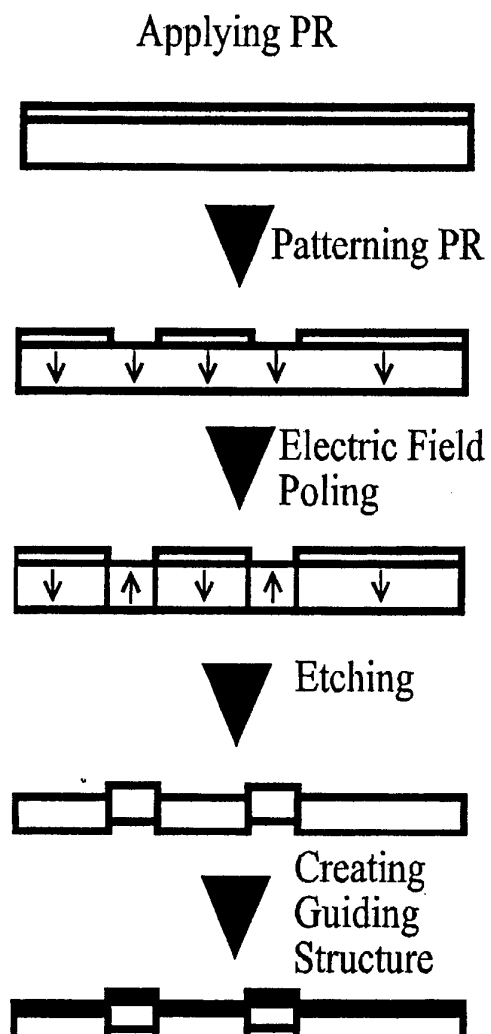
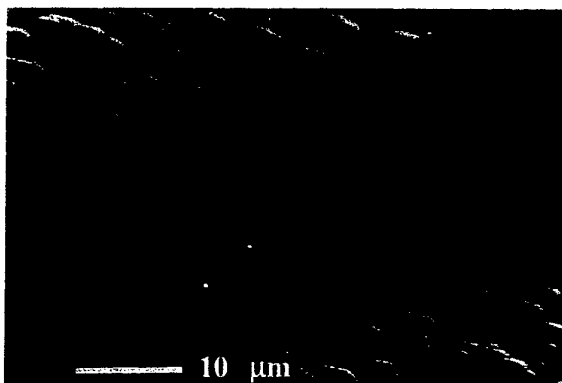


## Introduction

- Recently V. Berger [Phys. Rev. Lett. **81**, 4136 (1998)] developed the idea of a nonlinear photonic crystal in which the linear refractive index is constant but the nonlinear susceptibility varies periodically.
- We have fabricated such a crystal in Lithium Niobate. Due to the crystal symmetry of  $\text{LiNO}_3$  our crystal has hexagonal symmetry – hence HeXLN.
- Such a crystal is able to phase-match nonlinear interactions in any direction where there is a suitable reciprocal lattice vector (RLV).
- For certain angles of incident this should result in multiple output beams for a single input beam. Or it could phase-match multiple wavelengths at different angles simultaneously.

# Lithium Niobate: differential etching

- +z untouched
- -z etches  
700nm/hr  
(room temp.)



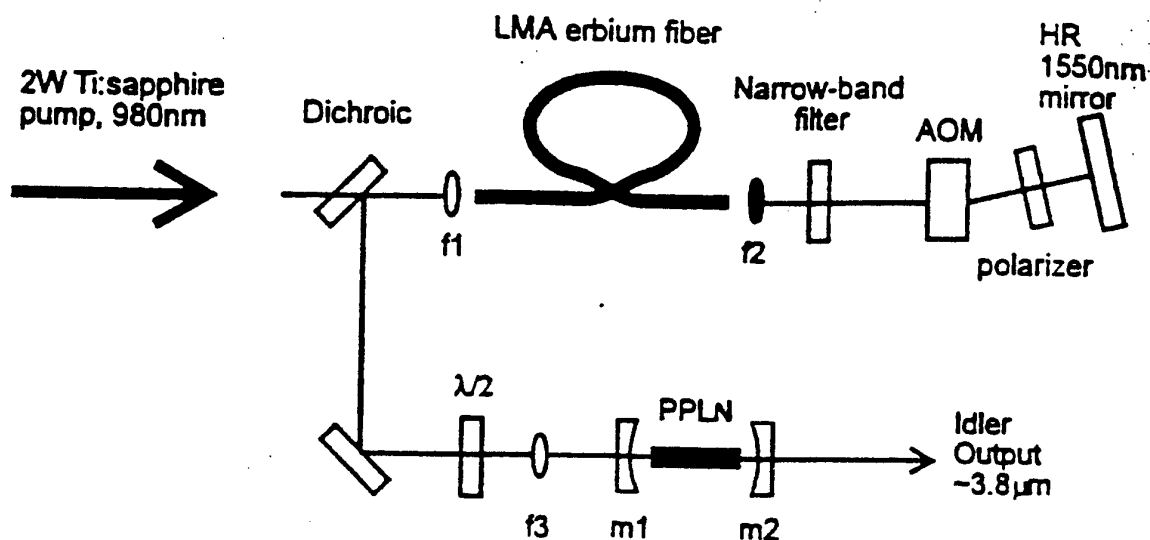


Fig. 1. Schematic of the setup: LMA, large-mode-area. AOM, acousto-optic modulator; HR, highly reflecting.

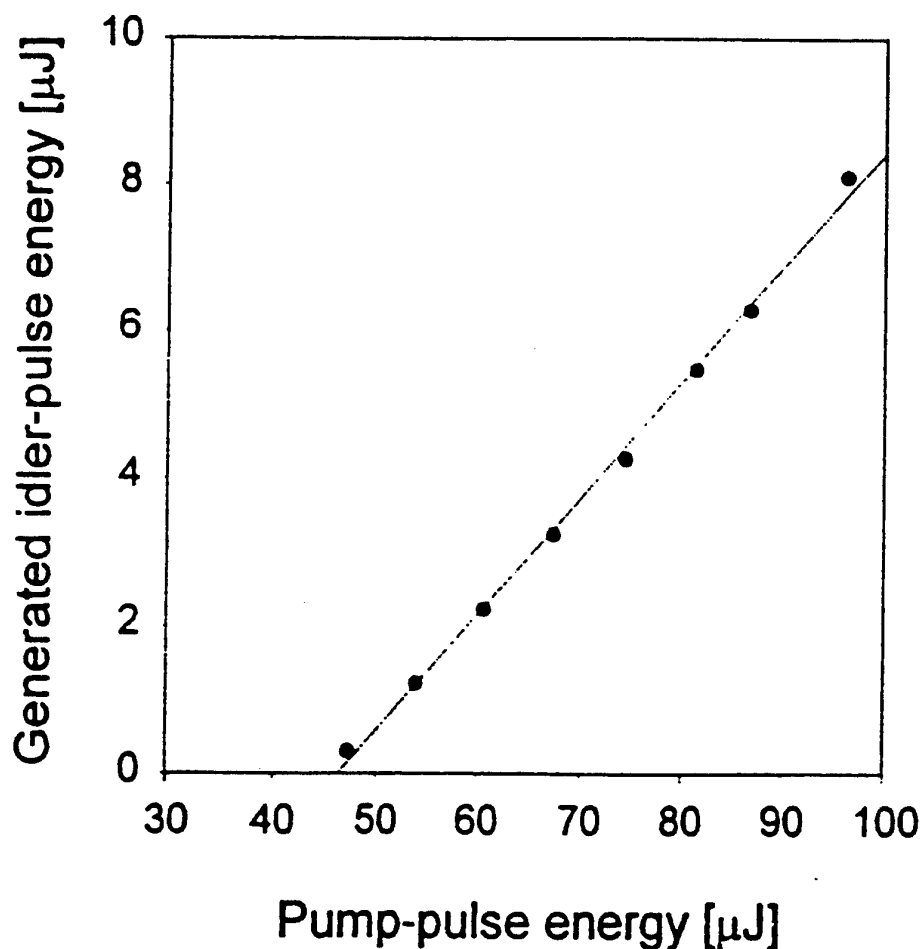


Fig. 2. Energy characteristics of the generated idler output at  $2.61\mu\text{m}$ .

**Oxford Crystal Growth Group**



## **Growth of phosphates and arsenates for periodic poling**

**K.B.Hutton and R.C.C.Ward**

**Clarendon Laboratory, Parks Road, Oxford OX1 3PU**

- **Properties of phosphates and arsenates**
- **Material requirements for periodic poling**
- **Growth programme using self fluxes**
- **Assessment of results**

### **Collaborators**

**P.A.Thomas, Warwick Univ.**

**D.C.Hanna, P.Smith, Southampton ORC**

**M.H.Dunn, St.Andrews Univ.**

### **Acknowledgements**

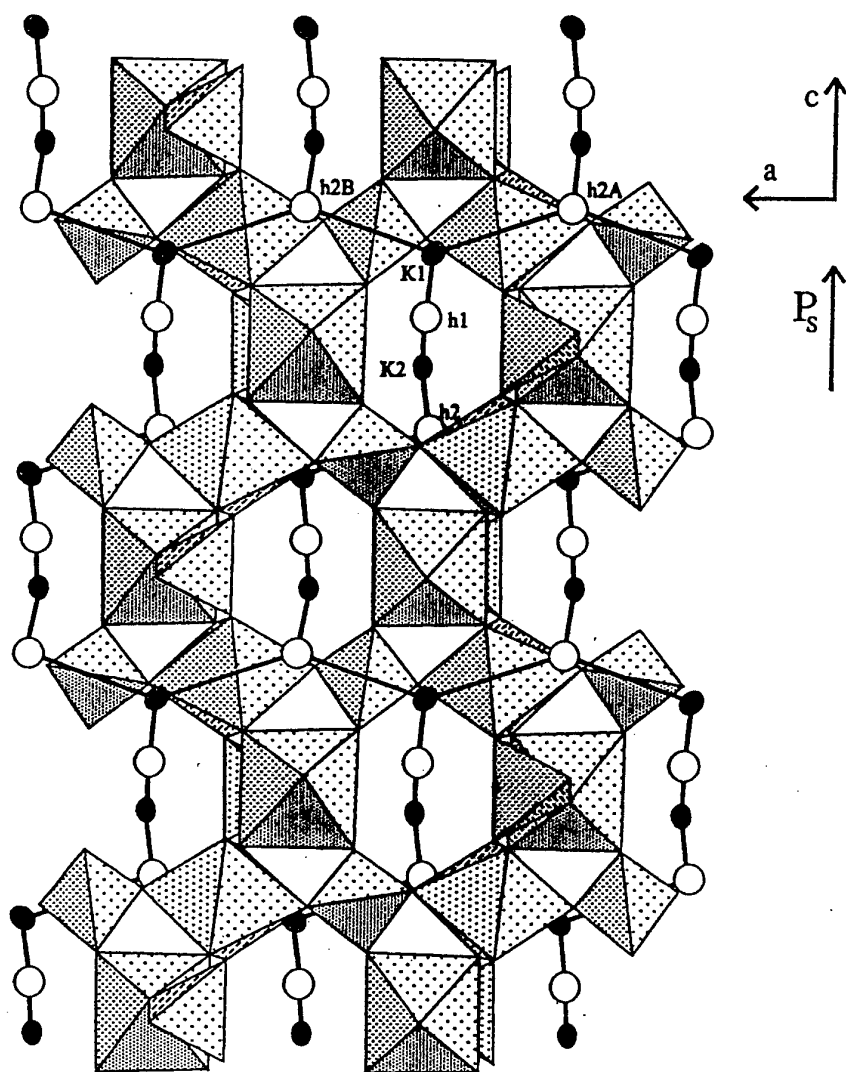
**EPSRC, DERA Fort Halstead**

## Optical and electrical properties of KTP isomorphs

	KTP	RTP	KTA	RTA
Curie point (°C)	946	785	873	750
Trans. range ( $\mu\text{m}$ )	0.35 - 4.3	0.35 - 4.3	0.35 - 5.3	0.35 - 5.3
SHG NCPM y cut-off (nm)	994	1038	1075	1138
1.06 $\mu\text{m}$ PM $\phi^\circ$	25	60	-	-
$d_{33}$	16.9	17.1	16.2	15.8
$d_{\pi\pi}$ type II, 1.06 $\mu\text{m}$	3.3	2.4	-	-
ionic conductivity 0.1kHz (S/cm)	$5 \times 10^{-7}^*$	$2 \times 10^{-8}$	$2 \times 10^{-7}$	$10^{-11}$

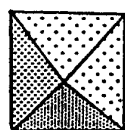
\* Can be reduced to  $2 \times 10^{-9}$  by doping with trivalent ions

Sources : Cheng et al, J.Crystal Growth 137 107 (1994)  
Cheng & Bierlein, Ferroelectrics 142 209 (1993)

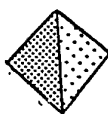


### Crystal structure of $\text{K}^+\text{Ti}^{4+}\text{OP}^{5+}\text{O}_4$

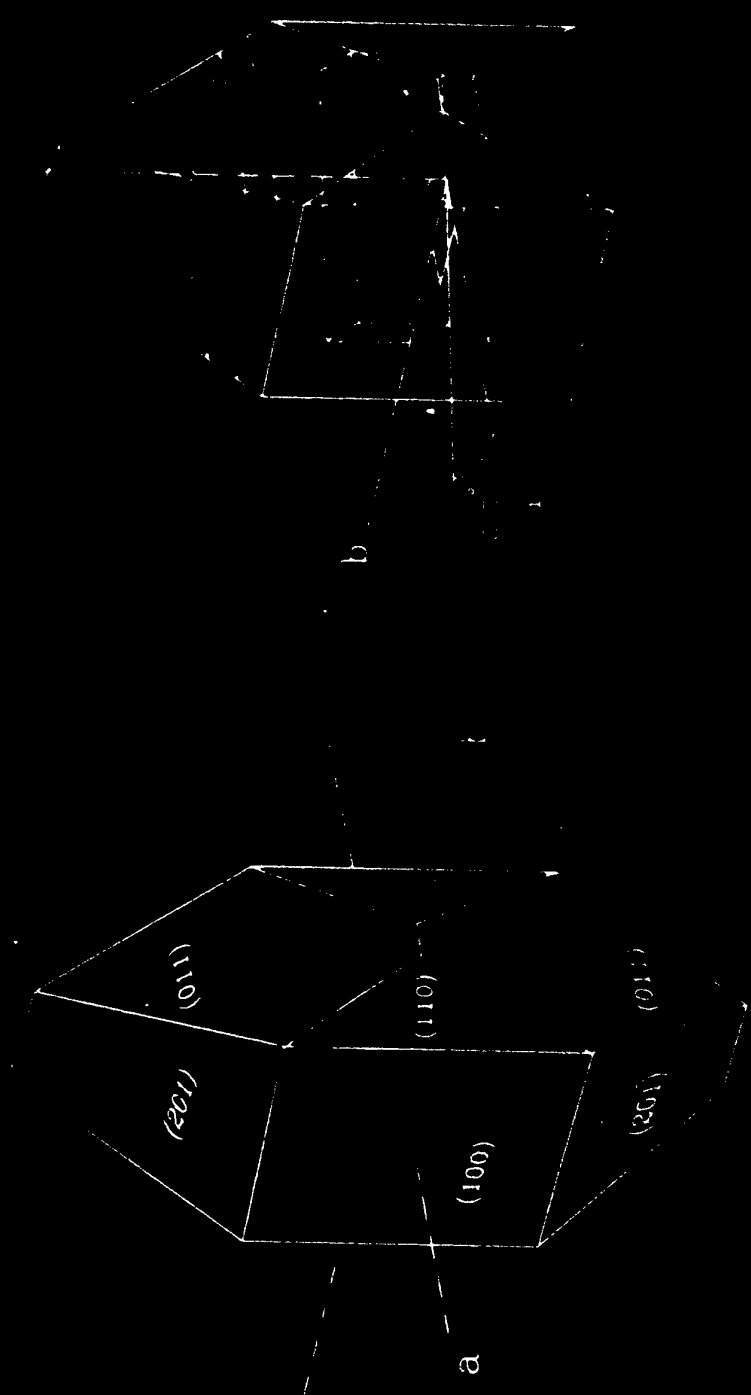
(from P.A.Thomas & A.M.Glazer, *J.Appl.Cryst.* 24 968 (1991))



TiO<sub>6</sub> octahedra



PO<sub>4</sub> tetrahedra



Morphology of  $\text{BaSO}_4$  crystals grown from a solution of  $\text{BaCl}_2$  and oriented in a magnetic field. The crystals are shown in a 3D perspective.



## **Electric field poling of KTP and analogues**

- Electric field poling of hydrothermal KTP  
*Q.Chen & W.P.Risk, Electron.Lett. 30 1516 (1994)*
- Periodic poling of RTA  
*H.Karlsson, F.Laurell et al, Electron.Lett. 32 556 (1996)*
- Periodic poling of flux-grown KTP with Rb-exchanged layer  
*H.Karlsson & F.Laurell, Appl.Phys.Lett. 71 3474 (1997)*
- Low-temperature poling of flux-grown KTP  
*G.Rosenman et al, Appl.Phys.Lett. 73 3650 (1998)*

## **Advantages of KTP analogues for periodic poling**

- Lower poling voltage than  $\text{LiNbO}_3$
- Highly anisotropic crystal structure inhibiting domain broadening
- Stable device operation due to small  $dn/dT$

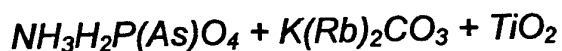
# Growth of KTP analogues for periodic poling

## ● Objectives

1. Production of high-quality, flux-grown KTP for poling trials
2. Investigate methods of lowering conductivity of KTP  
*Doping -  $Ga^{3+}$  (ref: Morris et al, J.Cryst.Growth **109** 367 (1991))  
 $Ce^{4+}$  (correlation with increased transmission?)  
 $Rb^{+}$  (ref. RTP properties)*
3. Establish UK source of KTA and RTA  
*Extended IR transmission and inherently low conductivity (RTA)*
4. Investigate in-situ poling techniques

## ● Growth methods

TSSG method using self fluxes



Synthesis of high-purity arsenate starting material

Production of arsenate seed crystals by spontaneous nucleation

Optimisation of growth conditions

*flux composition, growth temperature, doping*

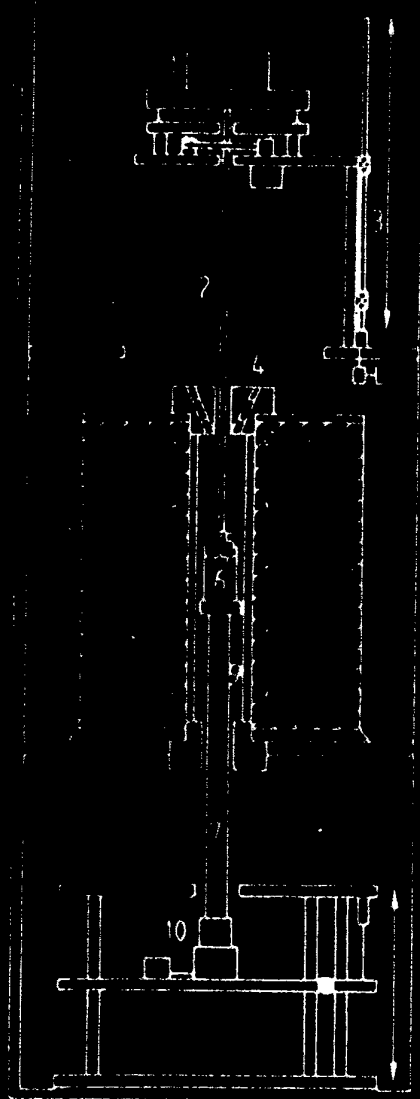


Fig. 1 Top Weighing TSSG Furnace

1. Electronic Balance
2. Seed Rod
3. Vertical Adjustment Stages
4. Optical Windows
5. Seed Crystal
6. Platinum Crucible
7. Alumina Crucible Support Rod
8. Three Zone Furnace
9. Silica Liner
10. ACRT Motors

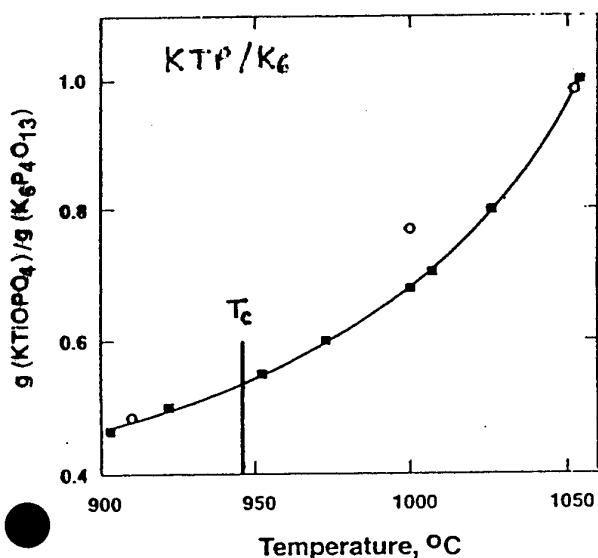
## Self fluxes for KTP and analogues

Polyphosphate  $K_2O - P_2O_5$  solvents :

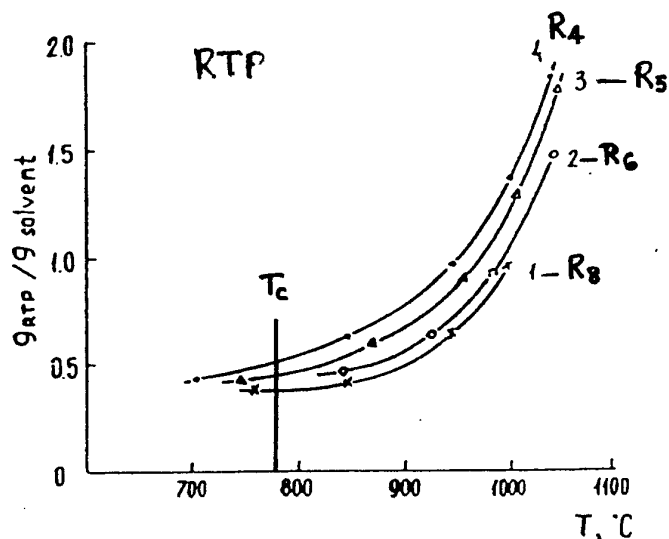
$K_2O / P_2O_5$	Flux
2	$K_4P_2O_7$
1.67	$K_5P_3O_{10}$
1.5	$K_6P_4O_{13}$
1.33	$K_8P_6O_{19}$

Corresponding  
Rb and As analogues.

## Solubility curves for KTP/ $K_6$ and RTP/ $R_n$



Ref: Angert et al, J.Cryst.Growth 137 116 (1994)



Ref: Oseldchik et al, J.Cryst.Growth 125 639 (1992)

## Viscosity

- KTP/ $K_6$  – viscosity increases from 75cP at 950°C to 300cP at 800°C

*High viscosity and flat solubility curve set limits for very low temperature growth*

## Results to date of growth programme

- Undoped KTP, Ga:KTP, Ce:KTP

Routine production of large crystals ( $\approx 100\text{g}$ ) established

- Rb-doped KTP

5, 10, 20 mol% concentrations, growth temp.  $\approx 860^\circ\text{C}$

Growth rate low along a-axis

Quality appears higher than undoped KTP

- RTP crystals

Growth along a-axis enhanced ( $a:b:c \approx 1:1:1$ )

Melt very viscous at  $830^\circ\text{C}$  - higher temp. under test

- Arsenates

*Synthesis of starting materials established*

KTA run in progress ( $886^\circ\text{C}$  using  $\text{K}_6$  flux)

Volatility of solution higher than KTP

RTA - small crystal grown at  $893^\circ\text{C}$  ( $\text{R}_5$ ) to provide seeds

Evidence that a-axis growth enhanced in arsenates

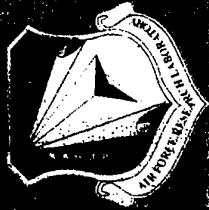


Shekhar Guha and Chris Reyerson\*  
AFRL/MLPJ

Wright Patterson Air Force Base, OH 45433-7702  
\* Anteon Corporation

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NLO99 Workshop, DERA, Malvern, UK, 20 - 21 September, 1999



#### AR coating wafers:

1. Coating 2 inch diameter single wafer, dicing in oriented pieces and stacking three coherence length thickness 320 micrometers
2. Growing 100 wafers 1 inch diameter, three coherence length thick  
Then IR AR coating at 5.3 and 10.6 micrometer

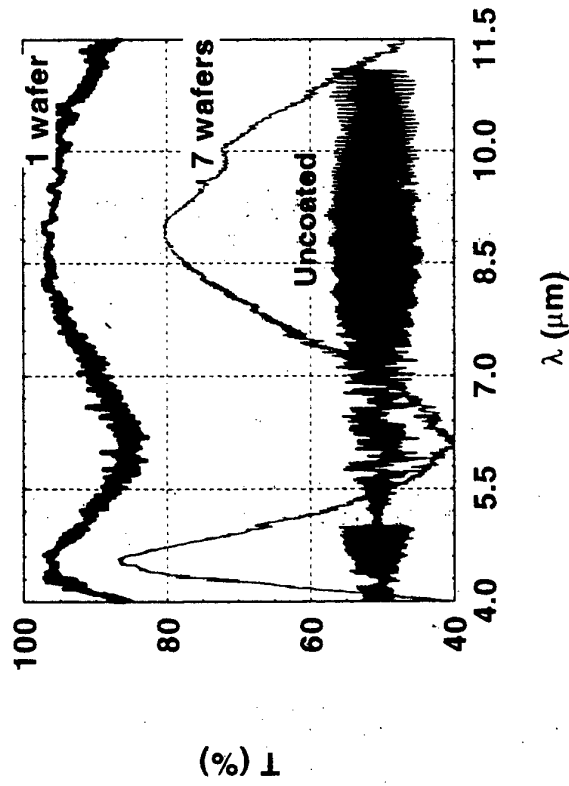
#### Results:

1. Up to 5  $\mu$ J of MWIR energy generated
2. Saturation of generated power observed

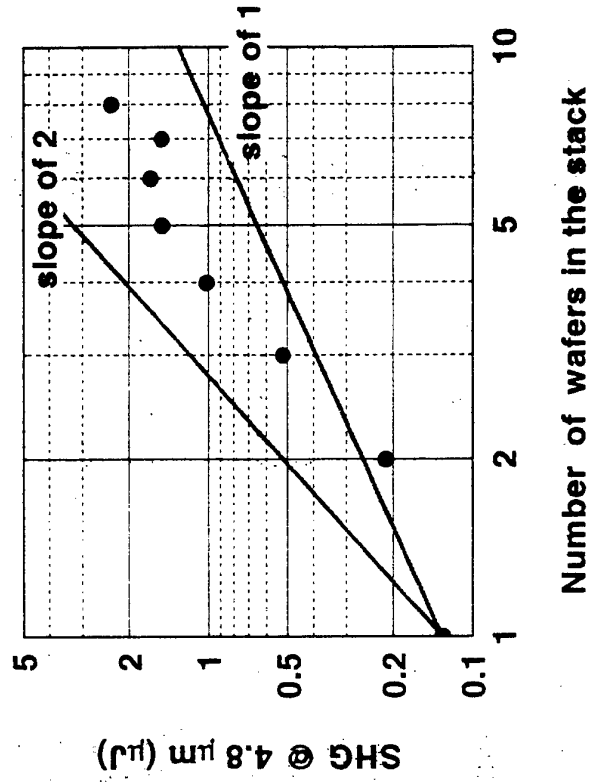
Possible causes of saturation being investigated



AR coated 320  $\mu\text{m}$  GaAs wafers

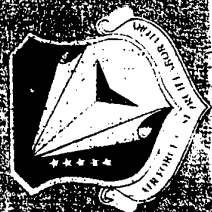


SHG of 9.6  $\mu\text{m}$  laser



- SHG is not increasing quadratically
- Saturation of generated signal

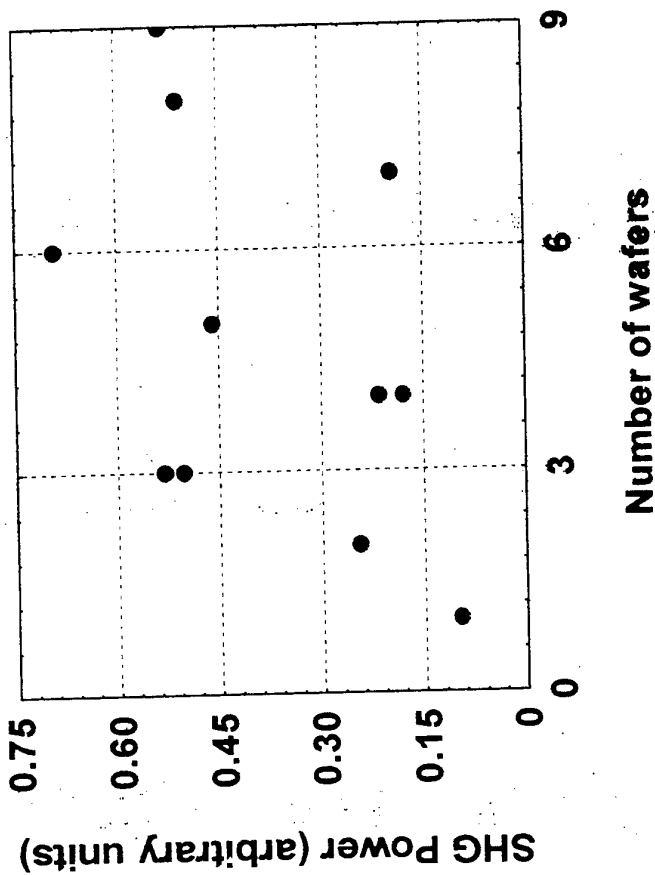
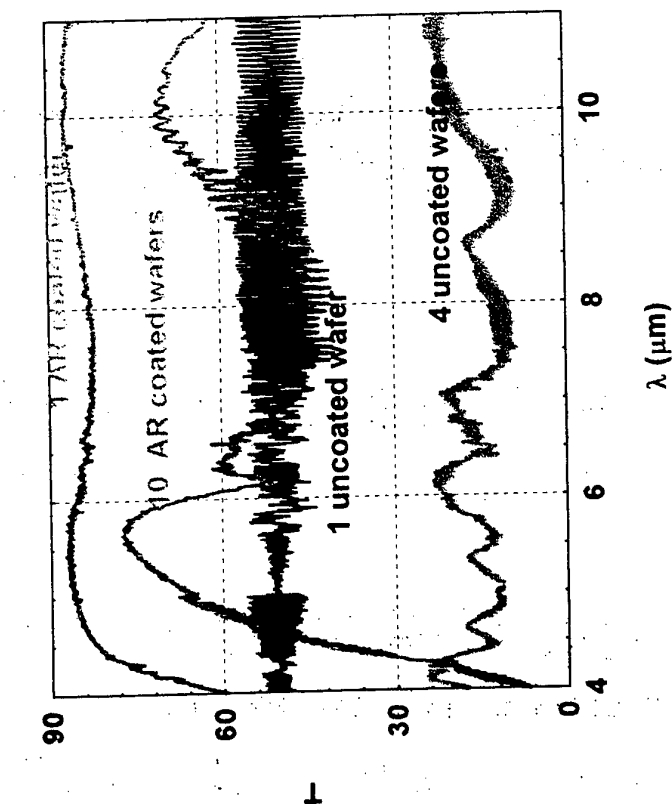




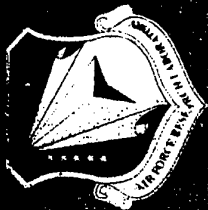
# SHG in AR coated GaAs Wafers

1 Coherence length each

1 inch diameter, 107  $\mu\text{m}$  GaAs Wafers



Worse SHG performance



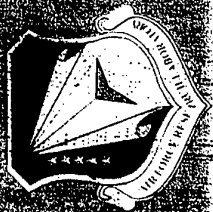
	$d_{\text{eff}}$	$n$	$K$	$\alpha$	$dn/dT$	$FOM_1$	$FOM_2$
GaAs	61	3.3	55	0.01	1.5	2.5	633
ZnSe	37	2.4	18	$5 \times 10^{-4}$	0.64	2.4	9333
CdTe	28	2.7	6.3	0.001	0.5	1	867

ZGP	70	3.1	35	0.1 (1996)	1.7	4	55
CGA	154	3.5	4.2	0.2 (1996)	5	13.5	5
AgGaSe <sub>2</sub>	27	2.6	1	0.01	0.7	1	1

$d$ : pm/V  
 $K$ : W/m/K  
 $\alpha$ : cm<sup>-1</sup>  
 $dn/dT$ : 10<sup>-4</sup> K<sup>-1</sup>

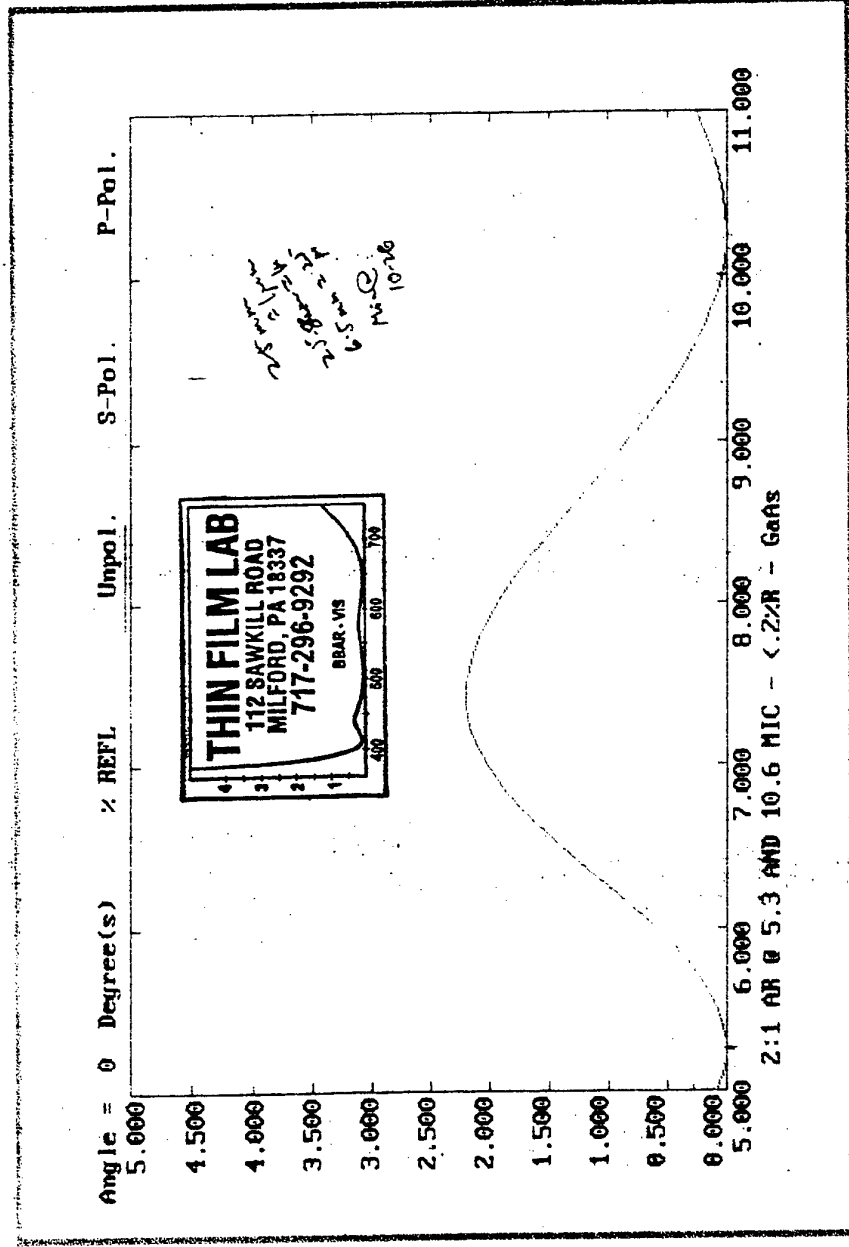
$$FOM_1 = \frac{d^2}{n^3}$$

$$FOM_1 = \frac{d^2}{n^3} \times \frac{K}{\alpha} \propto \frac{K}{\alpha} (dn/dT)$$



# Reflectivity of a single AR coating GaAs window

1 coherence length ( $106 \pm 10 \text{ } \mu\text{m}$ )



Very low reflectivities shown at 5.5 and 10.26  $\mu\text{m}$



AR coating GaAs for efficient QPM SHG of CO<sub>2</sub> laser was attempted

Coating performance still not adequate

# A New Nonlinear Optical Material: Periodically-Poled Barium Titanate (PPBT)

P. G. Schunemann, S. D. Setzler, T. M. Pollak



Presented at the 1999 Nonlinear Optical Materials  
Workshop, (NLO 99); DERA, Malvern, UK, Sept. 21, 1999

Work supported L.N. Durvasula at DARPA (via the Air Force Research Laboratory Materials Directorate  
contract No. F33615 -94-C-5415) and Sanders Internal R&D Funding

# Periodically-Poled Barium Titanate

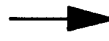
**SANDERS**

A Lockheed Martin Company

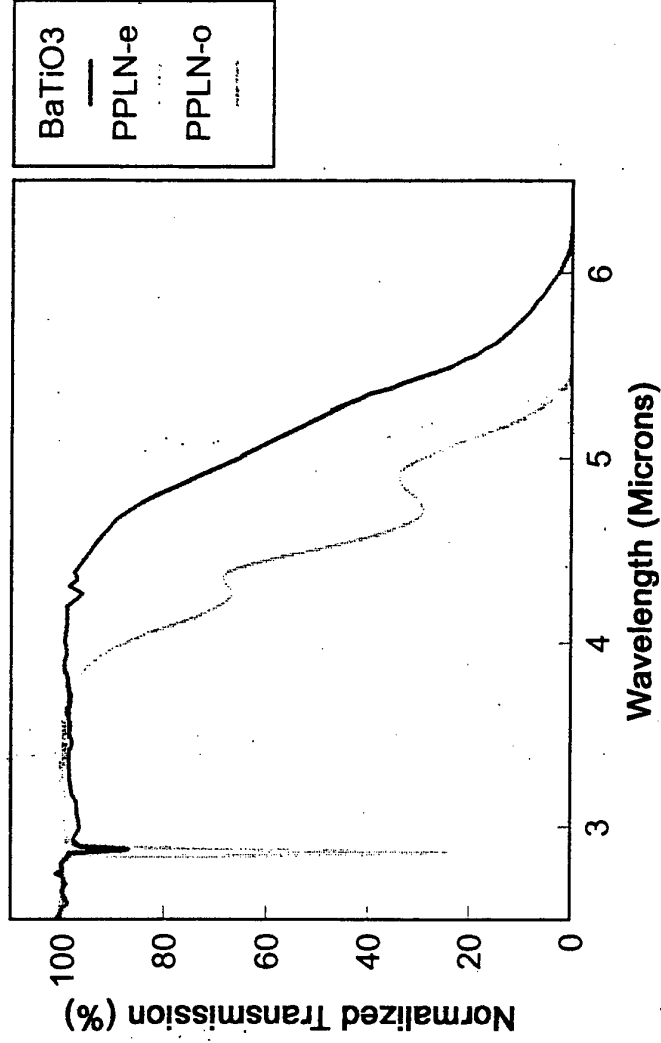
Advanced Engineering and  
Technology Division



## BaTiO<sub>3</sub> Offers Very Attractive Properties for Periodically-Poled OPOs

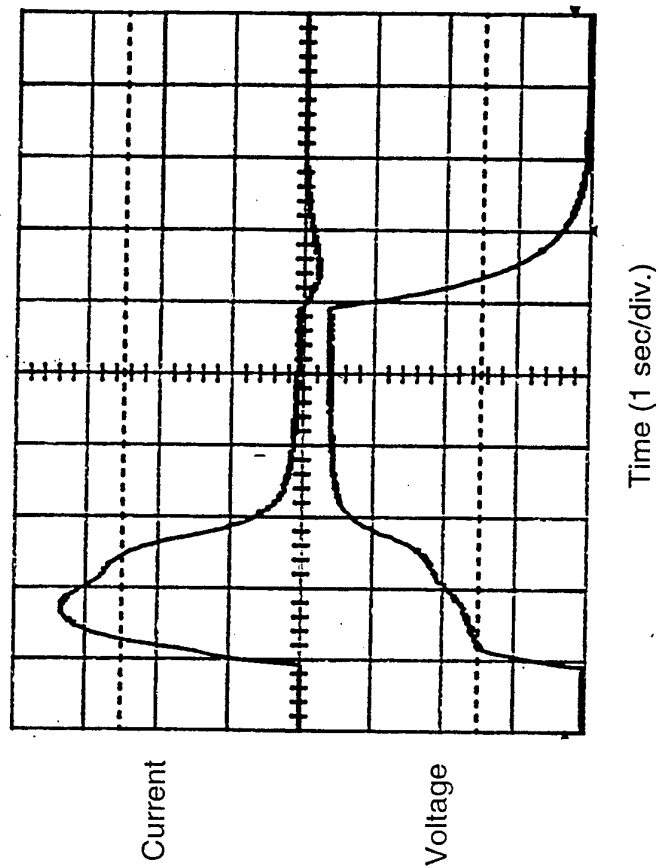
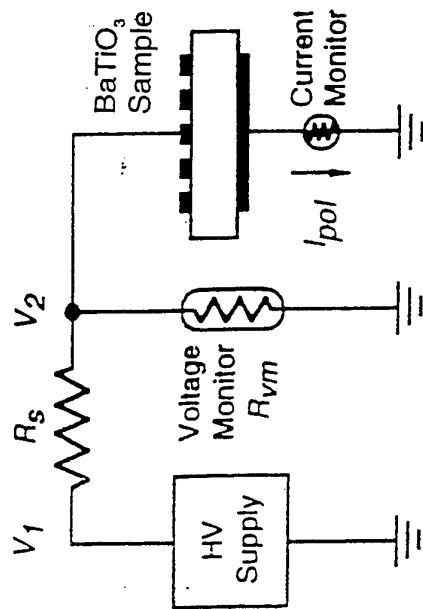
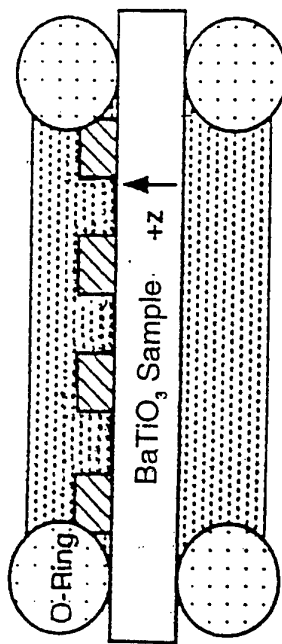
- Longer IR cut-off than PPLN (allowing full 3-5 micron coverage)
- Low Coercive Field (100V/mm, 200x lower than PPLN)



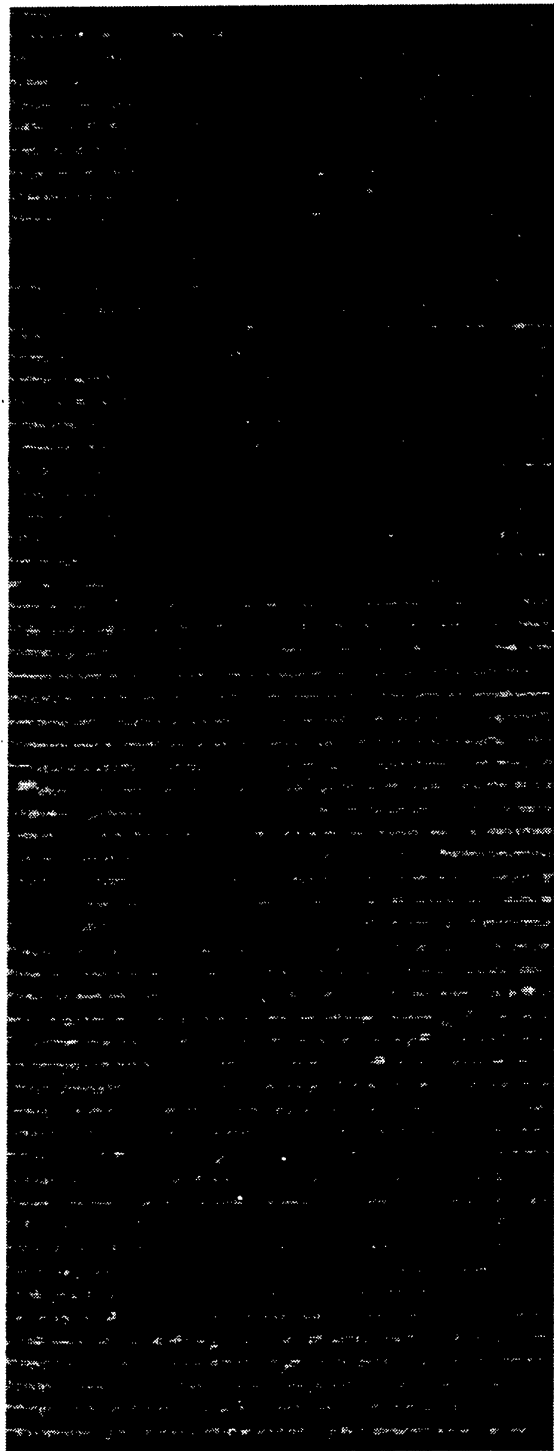
- Larger Apertures
- Large Nonlinear Coefficient  
 $d_{15}=17\text{pm/V}$



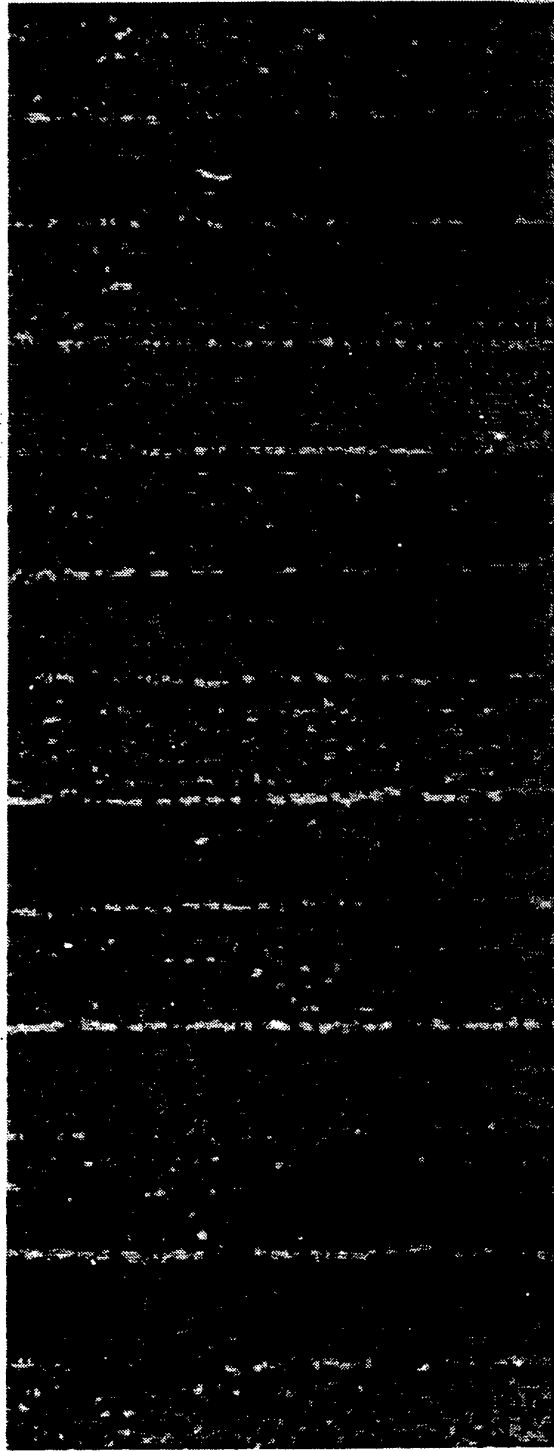
-  Liquid Electrolyte
-  Insulator (Photoresist)



Images of sample #296G (Total poling depth ~0.5 mm)



150X magnification



1500X magnification

17.5 μm



# Periodically-Poled Barium Titanate

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*A Lockheed Martin Company*

## Summary

Advanced Engineering and  
Technology Division

- Barium Titanate single crystals were grown by the TSSG technique
- New refractive index measurements revealed insufficient birefringence for phase-matching, allowed determination of QPM grating spacings
- Periodic poling of bulk BaTiO<sub>3</sub> successfully demonstrated for the first time
  - Wafers survived photoresist patterning and bake-out
  - Domain reversal achieved at low E-fields (200X lower than for PPLN)
  - Mask grating pattern reproduced on wafer (no spreading of domains under photoresist unless overpoled)
  - Large thickness (1.4 mm) poled in first trial
- Quasi-phase-matched SHG demonstrated in PPBT
  - 10W of 2.05um input (10kHz, 10ns) produced 300mW at 1.025um from an uncoated, 8mm-long sample at ~55° C (4% conv. eff. after refl. loss)
  - No evidence of photorefractive damage or thermal lensing was observed